



Promote Iowa's Behavioral and Disabilities Health Status

**Cherokee Mental Health Institute
Clarinda Mental Health Institute
Independence Mental Health Institute
Mount Pleasant Mental Health Institute
Glenwood Resource Center
Woodward Resource Center
Conner Training**

**Civil Commitment Unit for Sexual Offenders
State Payment Program**

Cherokee Mental Health Institute



Purpose

Cherokee Mental Health Institute (MHI) is one of Iowa's four mental health institutes providing short term psychiatric treatment and care for severe symptoms of mental illness. Cherokee has 24 adult psychiatric and 12 child/adolescent beds.

Who Is Helped

Cherokee provides inpatient psychiatric services to children, adolescents and adults.

99 percent of adult patients and 100 percent of the children and adolescent patients were involuntarily admitted in SFY13.

Individuals who are involuntarily committed for psychiatric treatment have been determined by the court to be a danger to themselves or others because of their symptoms of mental illness.

In SFY13:

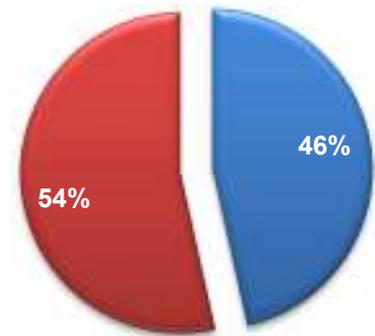
- 468 admissions
- 497 total served
 - 231 adult acute psychiatric
 - 266 children and adolescents

The average adult patient is a 46-year-old male who is involuntarily admitted. The average length of stay is 54 days.

The average child served in the inpatient program is a 13-year-old male who is involuntarily admitted. The average length of stay is 11 days.

Number Served in SFY13

- Adults Acute Psychiatric (46%)
- Children and Adolescents (54%)



- ✓ Cherokee has a total of 36 beds or 4 percent of the 857 inpatient licensed community psychiatric beds in the state.
- ✓ Cherokee served 31 percent of the total adult admissions to the MHIs in SFY13.
- ✓ Cherokee served 78 percent of the total children and adolescent admissions to MHIs in SFY13.

Services

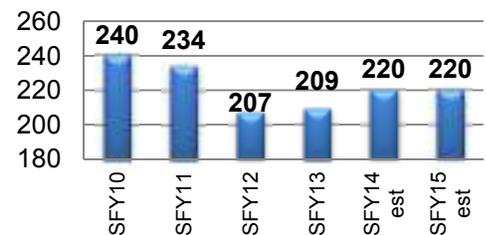
Adult Acute Psychiatric Services

- 24 inpatient beds.
- 24-hour psychiatric treatment and mental health habilitation.
- Cherokee periodically has waiting lists for admission.
- Bed numbers have declined in the last five years, which has affected admissions.

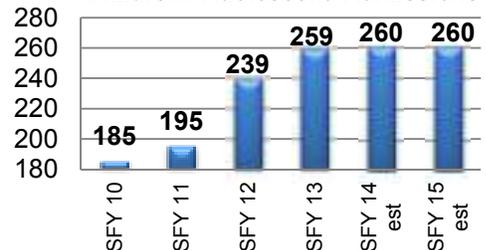
Inpatient Psychiatric Services for Children and Adolescents

- 12 inpatient beds.
- 24-hour psychiatric treatment and mental health habilitation.
- Academic continuance through MHI school.

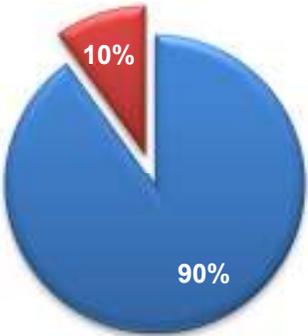
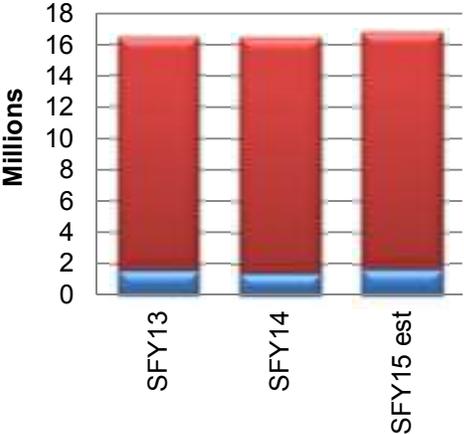
Adult Psychiatric Admissions



Children / Adolescent Admissions



	<ul style="list-style-type: none"> ✓ <i>Cherokee is accredited by The Joint Commission.</i> ✓ <i>32 percent (53 FTEs) of the staff are direct care, 24 percent (41 FTEs) are professional and treatment professionals, 1 percent (2 FTE) is educational, 16 percent (26.5 FTEs) are maintenance, 13 percent (22 FTEs) are other support staff, and 14 percent (24 FTEs) are administrative.</i> ✓ <i>In SFY14, 83.1 percent of the Cherokee MHI operating budget is for staffing costs and 16.9 percent is for the support costs. As a percent of the overall operating budget, these support costs have been reduced in the past year.</i> ✓ <i>11 main buildings on 209 acres.</i> 	
Goals & Strategies	<p>Goal: Promote Iowa's Behavioral Health Status</p> <p>Strategy:</p> <ul style="list-style-type: none"> • Improve the level of functioning of individuals served. • Reduce the use of restraint and seclusion. • Provide quality discharge planning to reduce readmission rate. 	<p>Results in SFY13:</p> <ul style="list-style-type: none"> • 32 minutes of restraint are used per 1,000 hours of adult inpatient hours. • 2 hour 5 minutes of restraint are used per 1,000 hours of child/adolescent inpatient hours. • 99 percent of adult and child/adolescent patients showed improvement in ability to function as measured by the Global Assessment of Functioning (GAF). • 95 percent of adults are not readmitted within 30 days of discharge.
Cost of Services	<p>MHI per diems are defined in Iowa Code and based on cost of care in a calendar year. This means that SFY14 per diems are based on costs experienced January 1, 2012 through December 31, 2012.</p> <p>Daily per diem rate: Adult psychiatric, \$882 Child/adolescent psychiatric, \$792</p> <p>Cost per episode of care: Adult psychiatric, \$30,656 Child/adolescent psychiatric, \$8,278</p> <ul style="list-style-type: none"> ✓ <i>Cherokee employee spending in the local economy induces \$7.47 million in county industrial output, which requires 84 jobs earning \$1.9 million in labor incomes. In all, MHI supports \$21.65 million in county output, \$16.1 million in value added, \$14.3 million in labor incomes, and 255 jobs. (Swenson, D. and Eathington, L., Iowa Mental Health Facility Economic, Fiscal, and Community Impact Analysis, 30 November 2009:16.)</i> ✓ <i>8 tenants operate programs on the Cherokee campus.</i> ✓ <i>The Civil Commitment Unit for Sexual Offenders pays Cherokee for support services such as meals and maintenance.</i> 	

<p>Funding Sources</p>	<p>The Cherokee Mental Health Institute is largely funded by state general funds with a small amount of funding from other sources.</p> <p>The total budget for SFY15 is \$16,803,633:</p> <ul style="list-style-type: none"> • \$15,130,359 (90 percent) is state general fund, including a \$9.1 million transfer from the Medical Assistance appropriation • \$1,673,274 (10 percent) is from other funding sources. 	<p>SFY15 Funding</p> <ul style="list-style-type: none"> ■ State General Fund* (90%) ■ Other Funding (10%)  <p>*Includes General Fund Transfer for Medical Assistance</p>
<p>SFY 2015 Budget Drivers</p>	<p>The total SFY15 Cherokee budget request reflects a \$67,197 (1.1 percent) general fund increase from the SFY15 Governor’s Recommendation.</p> <p>The key budget drivers of the SFY15 increase are:</p> <ul style="list-style-type: none"> • Increased costs of food, pharmaceuticals, utilities, and other items is \$67,197 (1.1 percent). • The total SFY15 budget reflects an overall \$316,645 increase (1.9 percent) from SFY14. 	<p>Total Budget Funding Mix</p> <ul style="list-style-type: none"> ■ State General Fund ■ Other Funding 
<p>Legal Basis</p>	<p>State:</p> <ul style="list-style-type: none"> • Code of Iowa, Chapters 125, 217, 218, 225C, 226, 229, 230, 812 • Iowa Administrative Code, 441 IAC 28 and 29 	

**Request - Cherokee Mental Health Institute
State Fiscal Year 2015**

Request Total: \$16,803,633

General Fund Need: \$6,031,934

Request Description:

The Cherokee Mental Health Institute (MHI) is designated in Chapter 226 of the Code of Iowa to provide one or more of the following: psychiatric treatment, training, care, mental health habilitation, and support of people with mental illness or a substance use disorder. In addition, the Cherokee MHI provides evaluation and treatment for people committed to DHS under Chapter 812, Code of Iowa, who have been charged with a crime to determine competency to stand trial and who are not qualified for pre-trial release or will not seek evaluation and treatment on their own.

Cherokee MHI operates a 24-bed adult psychiatric program and a 12-bed children and adolescent program. Cherokee serves adult patients from 41 counties in northwest Iowa and children and adolescents from 56 counties in western Iowa.

SFY15 Governor's Recommendation - 2013 Session

SFY15 Enacted Appropriation	\$2,977,232
Restore Enacted Approp to SFY15 Gov. Rec.	\$2,977,232
I3 Distribution	\$10,273

Total State \$ Appropriated: \$5,964,737

Funding Needed to Maintain Current Service Level

Decision Package	Decision Package Description	Amount
1	Funding to cover pharmaceuticals, food, transportation and utilities. Additional inflationary costs to sustain operations and service delivery including Worker's Compensation, fees, contract increases, printing, postage, and additional inflationary costs to sustain operations and service delivery in IT support including ITE e-mail services (SFY15).	\$67,197
Total Requested for Current Service Level Funding:		<u>\$67,197</u>

Funding for Improved Results

Decision Package	Decision Package Description	Amount
		\$0
Total Requested for Improved Results Funding:		<u>\$0</u>

General Fund Total	\$6,031,934
---------------------------	--------------------

General Fund Change From Prior Year	\$67,197
--	-----------------

**Request - Cherokee Mental Health Institute
State Fiscal Year 2015**

Total Funding Summary:

State Funding Total		\$15,130,359
----------------------------	--	---------------------

Program	
General Fund	\$6,031,934
Medicaid State	\$9,098,425
SLTF	\$0
Iowa Care	\$0
HCTA	\$0
Other	\$0
Total	\$15,130,359

Federal Funding Total		\$0
------------------------------	--	------------

Program	
TANF	\$0
SSBG	\$0
ARRA	\$0
IowaCare	\$0
HCTA	\$0
Other	\$0
Total	\$0

Other Funding Total		\$1,673,274
----------------------------	--	--------------------

Program	
Other	\$1,673,274

Includes per diem for the Civil Commitment Unit for Sexual Offenders, education (Chapter 34), grants, classroom training, Medical records, fees and rent funds.

Totals	Program
	\$16,803,633

Request Total
\$16,803,633

FTEs included in request:

FTEs	169.2
-------------	--------------

This budget request does not reflect any changes or impact for potential salary adjustments. In the event of a salary adjustment that is not funded by appropriation, reductions in force will likely occur. DOM estimated the Cherokee MHI salary adjustment for SFY14 to be \$216,186 which is the equivalent of 3.3 FTEs. DHS expects a comparable adjustment in SFY15.

Clarinda Mental Health Institute



Purpose

Clarinda Mental Health Institute (MHI) is one of Iowa's four mental health institutes providing short term psychiatric treatment and care for severe symptoms of mental illness. Clarinda has 15 adult psychiatric beds and 20 gero-psychiatric beds.

Who Is Helped

Clarinda provides inpatient psychiatric service to adults.

Clarinda also provides gero-psychiatric services and nursing level care to patients with serious cognitive loss or dementia with significant behavior problems.

67 percent of the adult patients were involuntarily admitted in SFY13. Individuals who are involuntarily committed for psychiatric treatment have been determined by the court to be a danger to themselves or others because of their symptoms of mental illness.

In SFY13:

- 283 admissions
- 315 total served:
 - 21 gero-psychiatric
 - 294 adult acute psychiatric

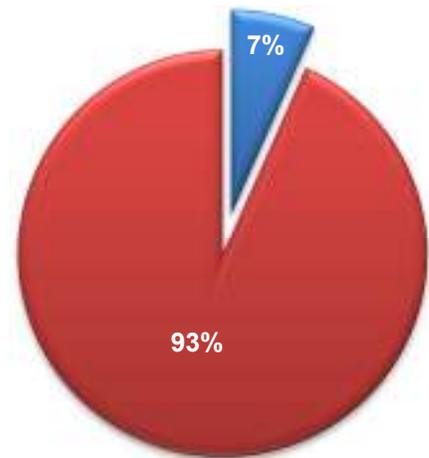
In the past several years the number of beds has decreased which has affected admissions.

The average adult psychiatric patient is a 39-year-old male who is involuntarily admitted. The average length of stay is 11 days.

The average gero-psychiatric patient has had 15 placements prior to admission. Ages range from 41 to 81. The majority are male. Individuals served by in this program will likely need this level of care for the rest of their lives.

Number Served in SFY13

- Gero-psychiatric (7%)
- Adult Acute Psychiatric (93%)



- ✓ *Clarinda has a total of 15 adult psychiatric beds or 2 percent of the 857 inpatient licensed community psychiatric beds in the state.*
- ✓ *Clarinda has a total of 20 gero-psychiatric beds or 16 percent of the total 122 licensed nursing home beds that serve gero-psychiatric patients in the state.*
- ✓ *Clarinda served 42 percent of the total Mental Health Institute adult admissions in SFY13.*

<p>Services</p>	<p>Adult Acute Psychiatric Services</p> <ul style="list-style-type: none"> • 15 inpatient beds. • 24-hour psychiatric treatment and mental health habilitation. • Clarinda periodically has waiting lists for admission. <p>Gero-psychiatric Services</p> <ul style="list-style-type: none"> • 20 inpatient/nursing facility beds. • One new admission in SFY13. 	<p>Adult Psychiatric Admissions</p> <table border="1"> <caption>Adult Psychiatric Admissions Data</caption> <thead> <tr> <th>SFY</th> <th>Admissions</th> </tr> </thead> <tbody> <tr> <td>SFY10</td> <td>208</td> </tr> <tr> <td>SFY11</td> <td>175</td> </tr> <tr> <td>SFY12</td> <td>207</td> </tr> <tr> <td>SFY13</td> <td>282</td> </tr> <tr> <td>SFY14 est</td> <td>310</td> </tr> <tr> <td>SFY15 est</td> <td>310</td> </tr> </tbody> </table>	SFY	Admissions	SFY10	208	SFY11	175	SFY12	207	SFY13	282	SFY14 est	310	SFY15 est	310
SFY	Admissions															
SFY10	208															
SFY11	175															
SFY12	207															
SFY13	282															
SFY14 est	310															
SFY15 est	310															
<p>Goals & Strategies</p>	<p>Goal: Promote Iowa's Behavioral Health Status Strategy:</p> <ul style="list-style-type: none"> • Improve the level of functioning of individuals served. • Reduce the use of restraint and seclusion. • Provide quality discharge planning to reduce readmission rate. 	<p>Results SFY13:</p> <ul style="list-style-type: none"> • 20 minutes of restraint are used per 1,000 hours of adult psychiatric inpatient hours. • Zero hours of restraint are used per 1,000 hours of gero-psychiatric inpatient hours. • 99 percent of adult acute psychiatric patients show improvement in ability to function as measured by the Global Assessment of Functioning (GAF). • 94 percent of acute psychiatric adult patients are not readmitted within 30 days of discharge. 														
<p>Cost of Services</p>	<p>MHI per diems are defined in Iowa Code and based on cost of care in a calendar year. This means that SFY14 per diems are based on costs experienced January 1, 2012 through December 31, 2012.</p> <p>Daily per diem rate:</p> <ul style="list-style-type: none"> Adult psychiatric, \$837 Gero-psychiatric, \$660 <p>Cost per episode of care:</p> <ul style="list-style-type: none"> Adult psychiatric, \$10,621 Gero-psychiatric, \$212,129 															

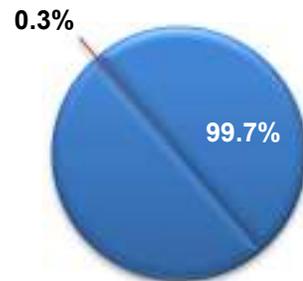
- ✓ *Clarinda employee spending in the local economy induces \$3.54 million in county industrial output, which supports 42 jobs earning \$.950 million in labor incomes. In all, MHI accounts either directly or indirectly for \$12.3 million in area industrial output, \$9.43 million in value added, \$8.52 million in labor income, and 226 jobs. (Swenson, D. and Eathington, L., Iowa Mental Health Facility Economic, Fiscal, and Community Impact Analysis, 30 November 2009:17.)*
- ✓ *Clarinda is co-campused with a 795-bed prison and a 147-bed minimum security unit. The campus shares services with the Department of Corrections for maintenance and business office functions.*
- ✓ *Five tenants operate programs on the Clarinda campus.*

Funding Sources

The Clarinda Mental Health Institute is largely funded by state general funds with a small amount of funding from other sources.

- The total budget for SFY15 is \$8,791,115:
- \$8,764,614 (99.7 percent) is state general fund, including a \$1.98 million transfer from the Medical Assistance appropriation.
 - \$26,501 (0.3 percent) is from other funding sources.

SFY15 Funding
 ■ State General Fund* ■ Other Funding



*Includes General Fund Transfer for Medical Assistance

- ✓ *Clarinda receives an “upfront” appropriation from the state general fund.*
- ✓ *The patient’s county of residence is required to pay 80 percent of the county capped per diem for those who are not Medicaid eligible. Counties are billed the per diem minus any third party payments. County receipts are deposited in the state general fund.*
- ✓ *Gero-psychiatric services are billed to the county of residence at 80 percent of the capped per diem for patients not covered by other payor sources such as private insurance, Medicaid or Medicare.*

SFY 2015 Budget Drivers

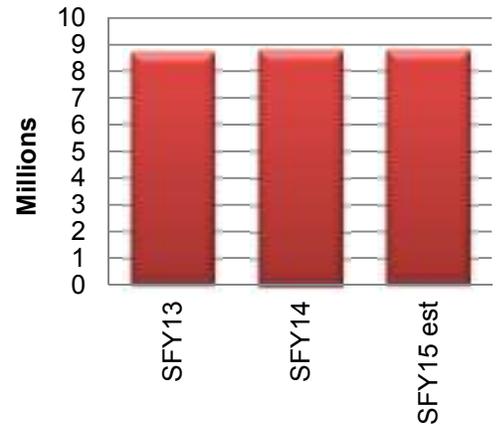
The total SFY15 Clarinda budget request reflects a \$29,620 (0.4 percent) general fund increase from the SFY15 Governor's Recommendation.

The key budget drivers of the SFY15 increase are:

- Increased costs of food, pharmaceuticals, utilities, and other items is \$29,620 (0.4 percent).
- The total SFY15 budget reflects an overall \$29,620 increase (less than 1 percent) from SFY14.

Total Budget Funding Mix

■ State General Fund ■ Other Funding*



* Includes \$26,000 in Other Funding for SFY13 and \$26,501 in Other Funding for SFY14 and SFY15.

- ✓ *The impact of not funding the current service level request will be an estimated loss of 0.4 FTE in SFY15.*

Legal Basis

State:

- Code of Iowa, Chapters 125, 217, 218, 225C, 226, 229, 230, 812
- Iowa Administrative Code 441 IAC 28 and 29

**Request - Clarinda Mental Health Institute
State Fiscal Year 2015**

Request Total: \$8,791,115

General Fund Need: \$6,787,309

Request Description:

The Clarinda Mental Health Institute (MHI) is designated in Chapter 226 of the Code of Iowa to provide one or more of the following: treatment, training, care, habilitation, and support of people with mental illness or a substance abuse problem. In addition, the Clarinda MHI provides evaluation and treatment for people committed to DHS under Chapter 812, Code of Iowa, who have been charged with a crime to determine competency to stand trial and who are not qualified for pre-trial release or will not seek evaluation and treatment on their own.

Clarinda MHI operates a 15-bed adult psychiatric program and a 20-bed gero-psychiatric program. Clarinda serves adults from 15 counties in southwest Iowa for the adult psychiatric program and adults from the entire state for the gero-psychiatric program.

SFY15 Governor's Recommendation - 2013 Session

SFY15 Enacted Appropriation	\$3,375,934
Restore Enacted Approp to SFY15 Gov. Rec.	\$3,375,934
I3 Distribution	\$5,821

Total State \$ Appropriated: \$6,757,689

Funding Needed to Maintain Current Service Level

Decision Package	Decision Package Description	Amount
1	Funding to cover pharmaceuticals, food, transportation and utilities. Additional inflationary costs to sustain operations and service delivery including Worker's Compensation, fees, contract increases, printing, postage, and additional inflationary costs to sustain operations and service delivery in IT support including ITE e-mail services (SFY15).	\$29,620
Total Requested for Current Service Level Funding:		<u>\$29,620</u>

Funding for Improved Results

Decision Package	Decision Package Description	Amount
		\$0
Total Requested for Improved Results Funding:		<u>\$0</u>

General Fund Total	\$6,787,309
---------------------------	--------------------

General Fund Change From Prior Year	\$29,620
--	-----------------

**Request - Clarinda Mental Health Institute
State Fiscal Year 2015**

Total Funding Summary:

State Funding Total		\$8,764,614
----------------------------	--	--------------------

Program	
General Fund	\$6,787,309
Medicaid State	\$1,977,305
Tobacco	\$0
Iowa Care	\$0
HCTA	\$0
Other	\$0
Total	\$8,764,614

Federal Funding Total		\$0
------------------------------	--	------------

Program	
TANF	\$0
SSBG	\$0
ARRA	\$0
IowaCare	\$0
HCTA	\$0
Other	\$0
Total	\$0

Other Funding Total		\$26,501
----------------------------	--	-----------------

Program	
Other	\$26,501

Includes rental income and other miscellaneous funds.

Totals	Program
	\$8,791,115

Request Total
\$8,791,115

FTEs included in request:

FTEs	86.1
-------------	-------------

This budget request does not reflect any changes or impact for potential salary adjustments. In the event of a salary adjustment that is not funded by appropriation, reductions in force will likely occur. DOM estimated the Clarinda MHI salary adjustment for SFY14 to be \$68,044 which is the equivalent of 1.0 FTEs. DHS expects a comparable adjustment in SFY15.

Independence Mental Health Institute



Purpose

Independence Mental Health Institute (MHI) is one of Iowa's four mental health institutes providing short term psychiatric treatment and care for severe symptoms of mental illness. Independence has 40 adult beds, 20 child/adolescent beds, and 15 Psychiatric Medical Institution for Children beds.

Who Is Helped

Independence provides inpatient psychiatric services to children, adolescents and adults.

Independence also provides sub-acute treatment services through the Psychiatric Medical Institution for Children (PMIC) program to children referred by Cherokee, Independence and Toledo.

94 percent of the adult and 89 percent of the children and adolescent patients were involuntarily admitted in SFY13.

Individuals who are involuntarily committed for psychiatric treatment have been determined by the court to be a danger to themselves or others because of their symptoms of mental illness

In SFY13:

- 195 admissions
- 253 total served:
 - 136 adult psychiatric
 - 80 child and adolescent
 - 37 PMIC

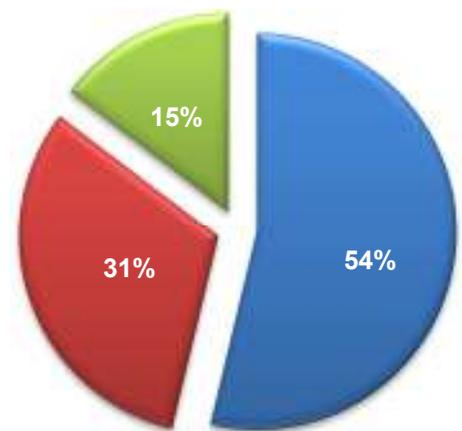
The average adult patient is a 38-year-old male who is involuntarily admitted. The average length of stay is 119 days.

The average child/adolescent served in the inpatient program is a 15-year-old female who is involuntarily admitted. The average length of stay is 43 days.

The average child/adolescent served in the PMIC program is a 14-year-old female who is involuntarily admitted. The average length of stay is 143 days.

Number Served in SFY13

- Adult Psychiatric Program (54%)
- Child and Adolescent Program (31%)
- PMIC (15%)



- ✓ *Independence has a total of 40 adult psychiatric and 20 child/adolescent beds or 7 percent of the 857 inpatient licensed community psychiatric beds in the state.*
- ✓ *Independence served 15 percent of the total Mental Health Institute adult admissions in SFY13.*
- ✓ *Independence served 22 percent of the total Mental Health Institute child/adolescent admissions in SFY13.*
- ✓ *Independence has 15 PMIC beds or 3 percent of the total 532 comprehensive-based licensed PMIC beds in the state for Iowa youth.*

Services

Adult Acute Psychiatric Services

- 40 inpatient beds.
- 24-hour psychiatric treatment and mental health habilitation.

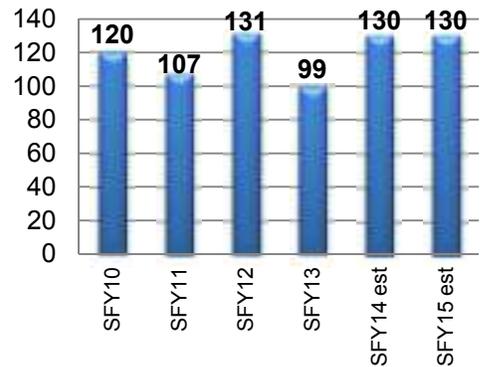
Inpatient Psychiatric Services for Children and Adolescents

- 20 inpatient beds.
- 24-hour psychiatric treatment and mental health habilitation.
- Academic continuance through the MHI school.

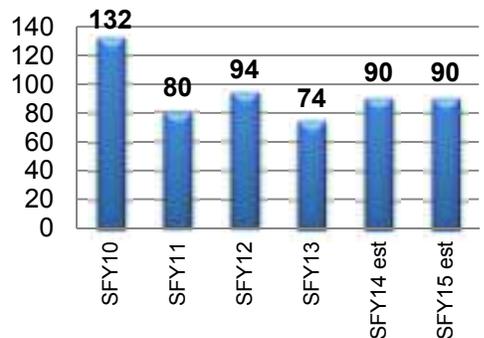
Psychiatric Medical Institution for Children (PMIC) Services

- 15 non-hospital inpatient psychiatric beds for children and adolescents.
- Academic continuance through the MHI school.

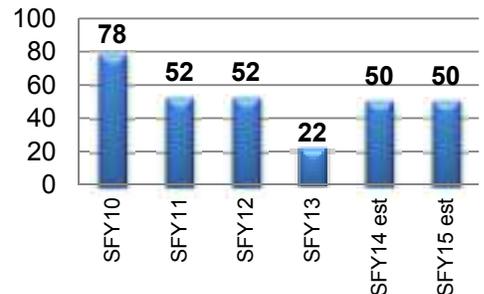
Adult Psychiatric Admissions



Children and Adolescent Psychiatric Admissions



PMIC Admissions



- ✓ *Independence is accredited by The Joint Commission.*
- ✓ *43 percent (101 FTEs) of the staff are direct care, 19 percent (45 FTEs) are professional and treatment professionals, 6 percent (13 FTEs) are educational, 7 percent (16 FTEs) are maintenance, 15 percent (34 FTEs) are other support staff, and 10 percent (24 FTEs) are administrative.*
- ✓ *In SFY14, 85.2 percent of the Independence MHI operating budget is for staffing costs and 14.8 percent is for the support costs. As a percent of the overall operating budget, these support costs have slightly decreased in the past year.*
- ✓ *4 main buildings on 276.60 acres (70.4 acres managed by Iowa Prison Industries.)*

<p>Goals & Strategies</p>	<p>Goal: Promote Iowa's Behavioral Health Status. Strategy:</p> <ul style="list-style-type: none"> • Improve the level of functioning of individuals served • Reduce the use of restraint and seclusion • Provide quality discharge planning to reduce readmission rate. 	<p>Results SFY13</p> <ul style="list-style-type: none"> • 100 percent of children, adolescent and adult patients showed improvement in ability to function as measured by the Global Assessment of Functioning (GAF). • 96 percent of adults were not readmitted within 30 days of discharge. • 1 hour 31 minutes of restraint are used per 1,000 hours of adult patient hours. 25 minutes of restraint are used per 1,000 hours child /adolescent inpatient hours.
<p>Cost of Services</p>	<p>MHI per diems are defined in Iowa Code and based on cost of care in a calendar year. This means that SFY14 per diems are based on costs experienced January 1, 2012 through December 31, 2012.</p> <p>Daily per diem rate: Adult psychiatric, \$957. Child/Adolescent psychiatric, \$1,218. Psychiatric Medical Institution for Children (PMIC), \$746.</p> <p>Cost per episode of care: Adult psychiatric, \$68,669 Child/Adolescent psychiatric, \$45,437 Psychiatric Medical Institution for Children (PMIC), \$70,408</p>	
	<ul style="list-style-type: none"> ✓ <i>Independence employee spending in the local economy induces \$10.2 million in output, which requires 102.3 jobs earning \$2.51 million in labor incomes. In all, MHI directly or indirectly accounts for \$32.95 million in regional output, \$25 million in county value added, \$22.2 million in labor income, and 389 jobs. (Swenson, D. and Eathington, L., Iowa Mental Health Facility Economic, Fiscal, and Community Impact Analysis, 30 November 2009:17.)</i> ✓ <i>Four entities operate programs on the Independence campus.</i> 	
<p>Funding Sources</p>	<p>The Independence Mental Health Institute is funded by state general funds and federal funds with a small amount of funding from other sources.</p> <p>The total budget for SFY15 is \$22,137,429:</p> <ul style="list-style-type: none"> • \$19,516,228 (88.2 percent) is state general fund, including a \$9.05 million transfer from the Medical Assistance appropriation • \$1,188,312 (5.4 percent) is federal funding • \$1,432,889 (6.4 percent) is from other funding sources 	<p>SFY15 Funding</p> <ul style="list-style-type: none"> ■ State General Fund* (88%) ■ Federal (5%) ■ Other Funding (7%) <p>*Includes General Fund Transfer for Medical Assistance</p>

- ✓ *Independence receives an “upfront” appropriation from the general fund.*
- ✓ *The adult patient’s county of residence is required to pay 80 percent of the county capped per diem for those who are not Medicaid eligible. Counties are billed the per diem minus any third party payments. County receipts are deposited in the state general fund.*
- ✓ *The PMIC program is “net budgeted” which means the state appropriation is adjusted for anticipated third party revenues to cover program costs. PMIC services are billed to third party payors including Medicaid. The federal share of medical assistance, client participation, and any other third party revenues directly attributable to the PMIC program are retained in the MHI appropriation (non-federal share returned to the Medicaid appropriation). Currently the maximum amount the MHI can receive from Medicaid cannot exceed the FMAP (Federal Medical Assistance Percentage) rate currently estimated at 57.3 percent for SFY15.*

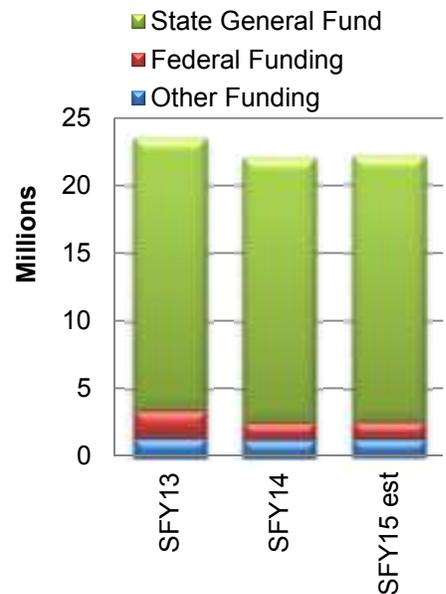
SFY 2015 Budget Drivers

The total SFY15 Independence budget request reflects a \$136,252 (1.3 percent) general fund increase from the SFY15 Governor’s Recommendation.

The key budget drivers of the SFY15 increase are:

- Increased cost of food, pharmaceuticals, utilities and other items is \$114,665 in SFY15 (1.1 percent).
- Declining FMAP rate, \$21,587 in SFY15, the federal share has decreased as Iowa’s economy improves relative to other states (2 percent).
- The total SFY15 budget reflects an overall \$136,252 increase (less than 1 percent) from SFY14.

Total Budget Funding Mix



✓ *The impact of not funding the current service level request will be an estimated loss of 2 FTEs in SFY15.*

Legal Basis

State:

- Code of Iowa, Chapters 125, 217, 218, 225C, 226, 229, 230, 812
- Iowa Administrative Code, 441 IAC 28 and 29

**Request - Independence Mental Health Institute
State Fiscal Year 2015**

Request Total: \$22,137,429

General Fund Need: \$10,470,334

Request Description:

The Independence Mental Health Institute (MHI) is designated in Chapter 226 of the Code of Iowa to provide one or more of the following: psychiatric treatment, training, care, mental health habilitation, and support of people with mental illness or a substance use disorder. In addition, the Independence IMHI provides evaluation and treatment for people committed to DHS under Chapter 812, Code of Iowa, who have been charged with a crime to determine competency to stand trial and who are not qualified for pre-trial release or will not seek evaluation and treatment on their own.

Independence MHI operates a 40-bed acute psychiatric unit for adult patients, a 20-bed acute psychiatric unit for children and adolescents, and a 15-bed Psychiatric Medical Institution for Children (PMIC). Independence serves adults from 28 counties in northeast Iowa and children and adolescent patients from 43 counties in eastern Iowa. Youth served in the PMIC program are referred from the Cherokee and Independence MHIs and the Iowa Juvenile Home.

SFY15 Governor's Recommendation - 2013 Session

SFY15 Enacted Appropriation	\$5,159,389
Restore Enacted Approp to SFY15 Gov. Rec.	\$5,159,389
I3 Distribution	\$15,304

Total State \$ Appropriated: \$10,334,082

Funding Needed to Maintain Current Service Level

Decision Package	Decision Package Description	Amount
1	Funding to cover pharmaceuticals, food, transportation and utilities. Additional inflationary costs to sustain operations and service delivery including Worker's Compensation, fees, contract increases, printing, postage, and additional inflationary costs to sustain operations and service delivery in IT support including ITE e-mail services (SFY15).	\$114,665
2	Federal Medical Assistance Percentage rate adjustment for Independence Mental Health Institute PMIC Unit (SFY15).	\$21,587
Total Requested for Current Service Level Funding:		<u>\$136,252</u>

Funding for Improved Results

Decision Package	Decision Package Description	Amount
		\$0
Total Requested for Improved Results Funding:		<u>\$0</u>

General Fund Total	\$10,470,334
---------------------------	---------------------

General Fund Change From Prior Year	\$136,252
--	------------------

**Request - Independence Mental Health Institute
State Fiscal Year 2015**

Total Funding Summary:

State Funding Total		\$19,516,228
----------------------------	--	---------------------

Program	
General Fund	\$10,470,334
Medicaid State	\$9,045,894
Education	\$0
Iowa Care	\$0
HCTA	\$0
Other	\$0
Total	\$19,516,228

Federal Funding Total		\$1,188,312
------------------------------	--	--------------------

Program	
PMIC	\$1,188,312
SSBG	\$0
ARRA	\$0
IowaCare	\$0
HCTA	\$0
Other	\$0
Total	\$1,188,312

Other Funding Total		\$1,432,889
----------------------------	--	--------------------

Program	
Other	\$1,432,889

Includes third party PMIC, USDA reimbursement, education (Chapter 34), grants, sales, and classroom training funds.

Totals	Program
	\$22,137,429

Request Total
\$22,137,429

FTEs included in request:

FTEs	233.0
-------------	--------------

This budget request does not reflect any changes or impact for potential salary adjustments. In the event of a salary adjustment that is not funded by appropriation, reductions in force will likely occur. DOM estimated the Independence MHI salary adjustment for SFY14 to be \$171,467 which is the equivalent of 2.6 FTEs. DHS expects a comparable adjustment in SFY15.

Mount Pleasant Mental Health Institute



Purpose

Mount Pleasant Mental Health Institute (MHI) is one of Iowa's four mental health institutes providing short term psychiatric or substance abuse treatment and care for severe symptoms of mental illness or substance use disorder. Mount Pleasant has 9 adult psychiatric beds, 19 dual diagnosis beds and 50 residential substance abuse treatment beds.

Who Is Helped

Mount Pleasant provides adult inpatient psychiatric services, adult inpatient psychiatric and substance use disorder services, and an adult 30-day residential substance abuse disorder treatment service.

81 percent of the adult psychiatric, 77 percent of the dual diagnosis, and 84 percent of the substance use disorder patients were involuntarily admitted in SFY13.

Individuals who are involuntarily committed for psychiatric treatment have been determined by the court to be a danger to themselves or others because of their symptoms of mental illness

In SFY13:

- 758 admissions
- 817 total served:
 - 86 adult acute psychiatric
 - 203 dual diagnosis
 - 528 substance abuse

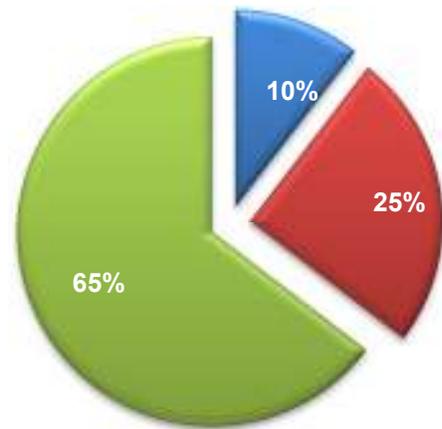
The average adult psychiatric patient is a 37-year-old male who is involuntarily admitted. The average length of stay is 41 days.

The average adult dual diagnosis patient is a 31-year-old male who is involuntarily admitted. The average length of stay is 29 days.

The average adult substance abuse residential patient is a 34-year-old male old who is involuntarily admitted. The average length of stay is 29 days.

Number Served in SFY13

- Adult Acute (10%)
- Dual Diagnosis (25%)
- Substance Abuse (65%)



- ✓ *Mount Pleasant has a total of 9 adult psychiatric and 19 dual diagnosis or 3 percent of the 857 inpatient licensed community psychiatric beds in the state.*
- ✓ *Mount Pleasant served 12 percent of the total Mental Health Institute adult admissions in SFY13.*
- ✓ *Mount Pleasant has 50 beds (4 percent) of the total 1,100 general residential substance use disorder treatment beds in the state.*
- ✓ *The 30-day substance abuse residential treatment program is a primary resource for court-ordered treatments and for offenders in the Community Based Correctional system.*

Services

Adult Acute Psychiatric Services

- 9 inpatient beds.
- 24-hour treatment and mental health habilitation.

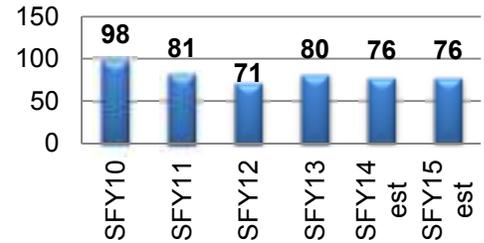
Dual Diagnosis Services

- 19-bed dual diagnosis program is uniquely structured to integrate both psychiatric and substance abuse treatment services.

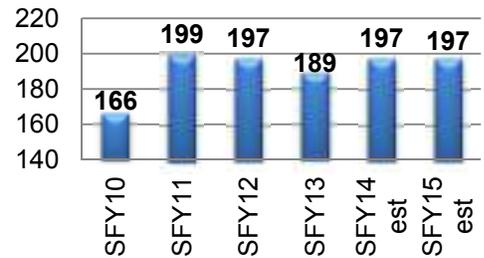
30-Day Substance Abuse Residential Treatment Program

- 50-bed residential unit.

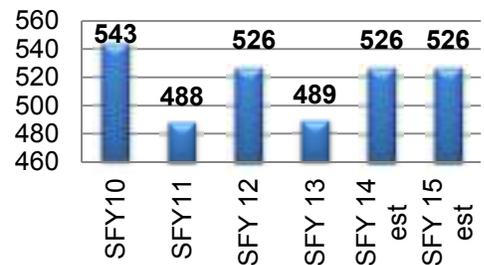
Adult Psychiatric Admissions



Dual Diagnosis Admissions



Substance Abuse Admissions



- ✓ *The Mount Pleasant 30-day residential substance abuse disorder treatment program is licensed by the Department of Public Health.*
- ✓ *44 percent (43 FTEs) of the staff are direct care, 35 percent (34 FTEs) are professional and treatment professionals, 4 percent (4 FTEs) are maintenance, 8 percent (8 FTEs) are other support staff, and 10 percent (9 FTEs) are administrative.*
- ✓ *In SFY14, 83.7 percent of the Mount Pleasant MHI operating budget is for staffing costs and 16.3 percent is for the support costs. As a percent of the overall operating budget, these support costs have decreased in the past year.*
- ✓ *7 buildings on 152.24 acres for the joint campus*

Goals & Strategies

Goal: Promote Iowa's Behavioral Health Status.

Strategies:

- Improve the level of functioning of individuals served.
- Reduce the use of restraint and seclusion.

Results in SFY13

- 95 percent of adult psychiatric and 92 percent of dual diagnosis patients show improvement in ability to function as measured by the Global Assessment of Functioning (GAF).

	<ul style="list-style-type: none"> • Provide quality discharge planning to reduce readmission rate. 	<ul style="list-style-type: none"> • Zero hours of restraint are used per 1,000 hours of dual diagnosis inpatient hours. • 2 minutes of restraint are used per 1,000 hours of adult inpatient hours. • 88 percent of acute psychiatric adult patients are not readmitted within 30 days of discharge. • 74 percent of substance abuse clients successfully complete programs.
<p>Cost of Services</p>	<p>MHI per diems are defined in Iowa Code and based on cost of care in a calendar year. This means that SFY14 per diems are based on costs experienced January 1, 2012 through December 31, 2012.</p> <p>Daily per diem rate: Adult psychiatric, \$653 Dual diagnosis, \$653 30-day substance abuse, \$199</p> <p>Cost per episode of care: Adult psychiatric, \$21,906 Dual diagnosis, \$16,745 30-day substance abuse, \$5,228</p> <ul style="list-style-type: none"> ✓ <i>Mount Pleasant employee spending in the local economy induces \$3.87 million in output, which requires 41.7 jobs earning \$1.08 million in labor income. In all, MHI directly or indirectly supports \$14.97 million in output, \$10.14 million in value added, \$8.97 million in labor income, and 196 jobs. (Swenson, D. and Eathington, L., Iowa Mental Health Facility Economic, Fiscal, and Community Impact Analysis, 30 November 2009:18.)</i> ✓ <i>Mount Pleasant MHI is co-campused with a 914 bed prison and shares services with the Department of Corrections for maintenance and business office functions.</i> 	
<p>Funding Sources</p>	<p>The Mount Pleasant Mental Health Institute is funded by state general funds and federal funds with a small amount of funding from other sources.</p> <p>The total budget for SFY15 is \$9,291,542:</p> <ul style="list-style-type: none"> • \$7,170,383 (77.2 percent) is state general fund, including a \$5.8 million transfer from the Medical Assistance appropriation. • \$1,049,862 (11.3 percent) is federal funding • \$1,071,297 (11.5 percent) is from other funding sources. 	<p>SFY15 Funding</p> <ul style="list-style-type: none"> ■ State General Fund* (77%) ■ Federal (11%) ■ Other Funding (12%) <p>*Includes General Fund Transfer for Medical Assistance</p>

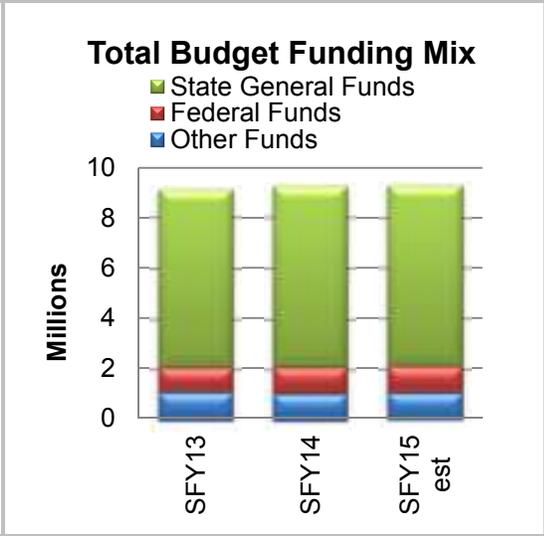
- ✓ *Mount Pleasant receives an “upfront” appropriation from the general fund.*
- ✓ *The adult psychiatric patient’s county of residence is required to pay 80 percent of the county capped per diem for those who are Medicaid eligible. Counties are billed the per diem minus any third party payments. County receipts are deposited in the state general fund.*
- ✓ *The dual diagnosis patient’s county of residence is required to pay 50 percent of the actual per diem costs.*
- ✓ *The substance abuse patient’s county of residence is required to pay 25 percent of the county capped per diem costs (based on a calendar year). County receipts are deposited in the state general fund.*

SFY 2015 Budget Drivers

The total SFY15 Mount Pleasant budget request reflects a \$43,735 (3.2 percent) general fund increase from the SFY15 Governor’s Recommendation.

The key budget drivers of the SFY15 increase are:

- Increased costs of food, pharmaceuticals, utilities, and other items is \$43,735 (3.2 percent).
- The total SFY15 budget reflects an overall \$43,735 increase (less than 1 percent) from SFY14.



✓ *The impact of not funding the current service level request will be an estimated loss of 0.6 FTE in SFY15.*

Legal Basis

State:

- Iowa Code, Chapters 125, 217, 218, 225C, 226, 229, 230, 812
- Iowa Administrative Code, 441 IAC 28 and 29

**Request - Mt. Pleasant Mental Health Institute
State Fiscal Year 2015**

Request Total: \$9,291,542

General Fund Need: \$1,417,796

Request Description:

The Mount Pleasant Mental Health Institute (MHI) is designated in Chapter 226 of the Code of Iowa to provide one or more of the following: psychiatric treatment, training, care, mental health habilitation, and support of people with mental illness or a substance use disorder. In addition, the Mt. Pleasant MHI provides evaluation and treatment for people committed to DHS under Chapter 812, Code of Iowa, who have been charged with a crime to determine competency to stand trial and who are not qualified for pre-trial release or will not seek evaluation and treatment on their own.

Mt. Pleasant MHI currently operates a 9-bed unit for adult patients, a 50-bed unit for patients with substance use disorders, and a 19-bed unit for dual diagnosis patients. Mt. Pleasant serves a 15 county catchment area in southeast Iowa for adult services and a statewide catchment area for substance abuse and dual diagnosis services.

SFY15 Governor's Recommendation - 2013 Session

SFY15 Enacted Appropriation	\$683,343
Restore Enacted Approp to SFY15 Gov. Rec.	\$683,343
I3 Distribution	\$7,375

Total State \$ Appropriated: \$1,374,061

Funding Needed to Maintain Current Service Level

Decision Package	Decision Package Description	Amount
1	Funding to cover pharmaceuticals, food, transportation and utilities. Additional inflationary costs to sustain operations and service delivery including Worker's Compensation, fees, contract increases, printing , postage, and additional inflationary costs to sustain operations and service delivery in IT support including ITE e-mail services (SFY15).	\$43,735
Total Requested for Current Service Level Funding:		<u>\$43,735</u>

Funding for Improved Results

Decision Package	Decision Package Description	Amount
		\$0
Total Requested for Improved Results Funding:		<u>\$0</u>

General Fund Total	\$1,417,796
---------------------------	--------------------

General Fund Change From Prior Year	\$43,735
--	-----------------

**Request - Mt. Pleasant Mental Health Institute
State Fiscal Year 2015**

Total Funding Summary:

State Funding Total		\$7,170,383
----------------------------	--	--------------------

	Program
General Fund	\$1,417,796
Medicaid State	\$5,752,587
Tobacco	\$0
Iowa Care	\$0
HCTA	\$0
Other	\$0
Total	\$7,170,383

Federal Funding Total		\$1,049,862
------------------------------	--	--------------------

	Program
Dual Diagnosis	\$1,049,862
ARRA	\$0
IowaCare	\$0
HCTA	\$0
Other	\$0
Total	\$1,049,862

Other Funding Total		\$1,071,297
----------------------------	--	--------------------

	Program
Other	\$1,071,297

Includes Dual Diagnosis county and third party, rents and miscellaneous funds.

Totals	Program
	\$9,291,542

Request Total
<u>\$9,291,542</u>

FTEs included in request:

FTEs	97.9
-------------	-------------

This budget request does not reflect any changes or impact for potential salary adjustments. In the event of a salary adjustment that is not funded by appropriation, reductions in force will likely occur. DOM estimated the Mt. Pleasant MHI salary adjustment for SFY14 to be \$109,683 which is the equivalent of 1.7 FTEs. DHS expects a comparable adjustment in SFY15.



Purpose

Glenwood Resource Center (GRC) is one of two State Resource Centers that provide a full range of active treatment and habilitation services to individuals with severe intellectual disabilities. Glenwood helps people to live safe and successful lives in the home and community of their choice.

Who Is Helped

Individuals who live at GRC have an intellectual or other developmental disability that requires treatment and support at the level of care provided by an Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/ID).

Today, and in the past 20 years, most individuals are admitted because of significant behavioral challenges or medical issues requiring intensive and complex active treatment.

In SFY13, four individuals were admitted and 16 were discharged. At the end of SFY13, 251 individuals resided at GRC. Of these, 245 (98 percent) are voluntary and six are involuntarily committed by courts.

- Four are children 17 or younger.
- 215 are adults 18 to 64.
- 32 are adults 65 or older.

Of these individuals:

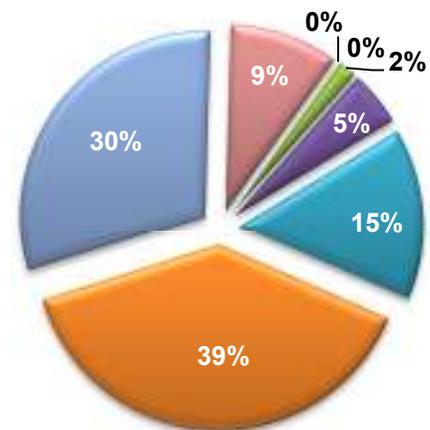
- 76 percent have a dual diagnosis of mental illness and intellectual disability.
- 71 percent have life-threatening eating and chewing disorders (dysphagia) that make it difficult to take in enough food and fluids.
- 61 percent are at high risk for sustaining injuries due to challenging behaviors.
- 59 percent have a seizure disorder.
- 39 percent are at high risk of food or liquid entering the airway and can get into the lungs, causing respiratory problems or infections, such as frequent bouts of pneumonia (i.e. aspiration).

A typical individual has an intellectual disability and an additional co-occurring condition such as eating and chewing disorders (dysphagia), ingesting inedible objects (PICA), self-injurious or assaultive behaviors and other severe health and behavioral difficulties, including sexual offending.

✓ *An individual is admitted after no community-based provider has been found that can meet the individual's service needs.*

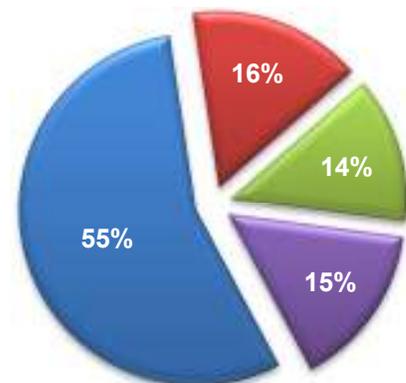
GRC Individuals Served by Age in SFY13

- 0-5 (0%) ■ 6-12 (0%) ■ 13-17 (2%)
- 18-21 (5%) ■ 22-34 (15%) ■ 35-54 (39%)
- 55-65 (30%) ■ 65+ (9%)



Intellectual Functioning Level in SFY13

- Profound - IQ below 20 (55%)
- Severe - 20 to 34 (16%)
- Moderate - 35 to 49 (14%)
- Mild - 50 to 69 (15%)



<p>Services</p>	<p>GRC maintains federal Medicaid certification as an intermediate care facility for individuals with intellectual disabilities (ICF/ID).</p> <ul style="list-style-type: none"> GRC provides active treatment and support services for individuals with intellectual disabilities. GRC actively prepares individuals to move into appropriate community-based living and to be contributing members of their community. <p>GRC Home & Community-Based Services (HCBS)</p> <ul style="list-style-type: none"> GRC is also a Medicaid-funded Home and Community Based Services Waiver provider. In SFY13, GRC provided daily HCBS non-residential supported community services to 27 individuals at six locations in Glenwood. 	<p>GRC ICF/ID Year-End Census</p> <table border="1"> <caption>GRC ICF/ID Year-End Census Data</caption> <thead> <tr> <th>SFY</th> <th>Census</th> </tr> </thead> <tbody> <tr> <td>SFY10</td> <td>292</td> </tr> <tr> <td>SFY11</td> <td>279</td> </tr> <tr> <td>SFY12</td> <td>263</td> </tr> <tr> <td>SFY13</td> <td>251</td> </tr> <tr> <td>SFY14 est</td> <td>243</td> </tr> <tr> <td>SFY15 est</td> <td>231</td> </tr> </tbody> </table>	SFY	Census	SFY10	292	SFY11	279	SFY12	263	SFY13	251	SFY14 est	243	SFY15 est	231
SFY	Census															
SFY10	292															
SFY11	279															
SFY12	263															
SFY13	251															
SFY14 est	243															
SFY15 est	231															
	<ul style="list-style-type: none"> ✓ 68 percent (583 FTEs) of the staff are direct care, 13 percent (108 FTEs) are professional and treatment professionals, 4 percent (38 FTEs) are maintenance, 7 percent (63 FTEs) are other support staff, and 8 percent (67.12 FTEs) are administrative. ✓ In SFY14, 82.2 percent of the Glenwood Resource Center operating budget is for staffing costs and 17.8 percent is for support costs. As a percent of the overall operating budget, these support costs have been reduced in the past year. ✓ GRC has 251 operational beds and is the largest ICF/ID in the state. ✓ Eleven tenants lease space and operate programs on the GRC campus. ✓ GRC maintains 95 buildings and 231.48 acres on campus. 															
<p>Goals & Strategies</p>	<p>Goal: Promote choice for people with disabilities.</p> <p>Strategy:</p> <ul style="list-style-type: none"> Promote access to community based options for persons with disabilities. Prepare and support individuals discharged from GRC to remain in the community. 	<p>Results in SFY13:</p> <ul style="list-style-type: none"> GRC had a net decrease in population of 12. In the past five years, GRC has reduced its population by 24 percent. 50 percent of GRC individuals earned work wages through employment. 100 percent of GRC discharged individuals remained in the community at least 180 days after discharge. 														
<p>Cost of Services</p>	<p>The State Resource Center per diem is bundled and covers the total cost of service, including physician, medication, dental, adaptive equipment and other medical costs. Medicaid is billed separately by community medical providers for individuals served by private ICF/ID. Private ICF/ID do not include these costs in their per diem.</p> <p>The daily per diem rate is \$803. The total annual cost of care per person served is \$292,942.</p> <ul style="list-style-type: none"> ✓ GRC is the largest employer in Mills County with a total estimated \$35 million impact in Mills County and the other surrounding areas. ✓ GRC generates more than a \$17 million impact for the town of Glenwood alone. <p>Sources: http://iwin.iwd.state.ia.us & GRC payroll data (7/12)</p>															

<p>Funding Sources</p>	<p>The Glenwood Resource Center is funded by state general funds and federal funds with a small amount of funding from other sources.</p> <p>The total budget for SFY15 is \$74,661,340:</p> <ul style="list-style-type: none"> • \$28,623,257 (38.3 percent) is state general fund, including a \$7.5 million transfer from the Medical Assistance appropriation. • \$38,377,241 (51.4 percent) is federal funding • \$5,771,121 (7.8 percent) is other funding • \$1,889,721 (2.5 percent) client participation <p>Other funding includes rental and lease receipts, and HCBS revenues. Client participation reflects an assessed amount the individual clients pay towards the cost of care.</p>	<p>SFY15 Funding</p> <ul style="list-style-type: none"> ■ State General Fund (38%)* ■ Federal (51%) ■ Client Participation (3%) ■ Other Funds (8%) <p>* Includes Medical Assistance Appropriation</p>
<p>✓ <i>Federal Medical Assistance Percent is projected to decrease from 58.35 percent in SFY14 to 57.29 percent in SFY15.</i></p>		
<p>SFY 2015 Budget Drivers</p>	<p>The total SFY15 GRC budget request reflects a \$966,905 (4.8 percent) general fund increase from the SFY15 Governor’s Recommendation.</p> <p>The key budget drivers of the increases are:</p> <ul style="list-style-type: none"> • Declining FMAP rate, \$725,830 in SFY15. The federal share has decreased as Iowa’s economy improves relative to other states (3.6 percent). • Increased costs of food, pharmaceuticals, utilities and other, \$13,122 in SFY15 (less than 1 percent). • The total SFY15 budget reflects an overall \$1,105,703 decrease (-1.5 percent) from SFY14. 	<p>Total Budget Funding Mix</p> <ul style="list-style-type: none"> ■ State General Fund ■ Federal ■ Client Participation ■ Other Funding
<p>✓ <i>The impact of not funding the current service level request will be an estimated loss of 14.2 FTEs in SFY15.</i></p> <p>✓ <i>As the revenues and census continue to decline at both resource centers, each will continue to “right size” to match the needs and number of individuals served.</i></p>		
<p>Legal Basis</p>	<p>Federal:</p> <ul style="list-style-type: none"> • Code of Federal Regulations, CFR 483.400 to 483.480 • The State Resource Center must comply with the Conner Consent Decree and the United States Department of Justice Consent Decree <p>State:</p> <ul style="list-style-type: none"> • Iowa Code, Chapters 222 and 135C • Iowa Administrative Code, 441 IAC 28 and 30 	

**Request - Glenwood Resource Center
State Fiscal Year 2015**

Request Total: \$74,661,340

General Fund Need: \$21,088,074

Request Description:

The State Resource Centers (SRCs) at Glenwood and Woodward are licensed as Intermediate Care Facilities for individuals with Intellectual Disabilities (ICFs/ID) and are two of the largest of Iowa's 144 ICFs/ID. The SRCs provide a full range of treatment and habilitation services. Individuals who live at the facility have an intellectual or other developmental disability that requires intensive and complex active treatment. An individual is admitted after no other community-based provider has been found that can meet the individual's service needs.

The SFY13, year-end census at Glenwood Resource Center ICF/ID was 251, SFY14 year-end target estimated at 243 and SFY15 estimated at 231.

The state appropriation allows the Glenwood Resource Center to continue service to children and adults who are Medicaid-eligible by providing the non-Federal share of the per diem.

SFY15 Governor's Recommendation - 2013 Session

SFY15 Enacted Appropriation	\$10,137,236
Restore Enacted Approp to SFY15 Gov. Rec.	\$9,909,283
I3 Distribution	\$74,650
Total State \$ Appropriated:	<u>\$20,121,169</u>

Funding Needed to Maintain Current Service Level

Decision Package	Decision Package Description	Amount
1	This decision package adjusts status quo SFY15 to SF 446 for SFY14 as enacted during the 2013 Legislative session. Adjust to SFY14 Enacted Budget to maintain funding for fixed costs with lower census. Maintain funding for fixed costs with lower census.	\$227,953
2	Funding to cover pharmaceuticals, food, transportation and utilities. Additional inflationary costs to sustain operations and service delivery including fees, contract increases, printing, postage, and additional inflationary costs to sustain operations and service delivery in IT support including ITE e-mail services (SFY15).	\$13,122
3	Federal Medical Assistance Percentage rate reduction for Glenwood Resource Center (SFY15).	\$725,830
Total Requested for Current Service Level Funding:		<u>\$966,905</u>

Funding for Improved Results

Decision Package	Decision Package Description	Amount
		\$0
Total Requested for Improved Results Funding:		<u>\$0</u>

General Fund Total	\$21,088,074
---------------------------	---------------------

General Fund Change From Prior Year	\$966,905
--	------------------

**Request - Glenwood Resource Center
State Fiscal Year 2015**

Total Funding Summary:

State Funding Total		\$28,623,257
----------------------------	--	---------------------

	Program
General Fund	\$21,088,074
Medicaid State	\$7,535,183
Tobacco	\$0
Iowa Care	\$0
HCTA	\$0
Other	\$0
Total	\$28,623,257

Federal Funding Total		\$38,377,241
------------------------------	--	---------------------

	Program
Medicaid	\$38,377,241
SSBG	\$0
ARRA	\$0
IowaCare	\$0
HCTA	\$0
Other	\$0
Total	\$38,377,241

Other Funding Total		\$7,660,842
----------------------------	--	--------------------

	Program
Other	\$7,660,842

Includes revenue from community based services that GRC provides through the Home and Community Based Services waiver (\$ 2.3 million), leases, rentals, and miscellaneous funds.

Totals	Program
	\$74,661,340

Request Total
\$74,661,340

FTEs included in request:

FTEs	859.1
-------------	--------------

This budget request does not reflect any changes or impact for potential salary adjustments. In the event of a salary adjustment that is not funded by appropriation, reductions in force will likely occur. DOM estimated the Glenwood Resource Center salary adjustment for SFY14 to be \$846,788 which is the equivalent of 13.0 FTEs. DHS expects a comparable adjustment in SFY15.



Purpose

Woodward Resource Center (WRC) is one of two State Resource Centers that provide a full range of active treatment and habilitation services to individuals with severe intellectual disabilities and it serves to prepare and support them to live safe and successful lives in the home and community of their choice.

Who Is Helped

Individuals who live at WRC have an intellectual or other developmental disability that require treatment and support services at level of care provided by an Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/ID)

Today and in the past 20 years, most individuals are admitted because of significant behavioral challenges or medical issues requiring intensive and complex active treatment.

In SFY13, five individuals were admitted and 13 discharged. At the end of SFY13, 177 individuals resided at WRC. Of these, 156 (88 percent) are voluntary and 21 (12 percent) are involuntarily committed by courts.

- Two are children 17 or younger.
- 157 are adults 18 to 64.
- 18 are adults 65 or older.

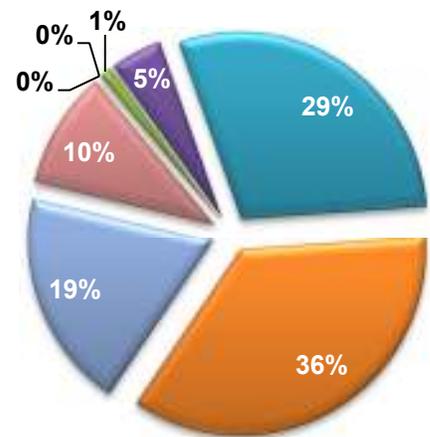
In addition:

- 85 percent have a dual diagnosis of mental illness and intellectual disability.
- 68 percent have a life-threatening eating and chewing disorder (dysphagia) that makes it difficult to take in enough food and fluids.
- 47 percent are at high risk for sustaining injuries due to challenging behaviors.
- 36 percent have a seizure disorder.
- 18 percent are at high risk of food or liquid entering the airway and can get into the lungs, causing respiratory problems or infections, such as frequent bouts of pneumonia (i.e., aspiration).

A typical individual has an intellectual disability and an additional co-occurring condition such as eating and chewing disorders (dysphagia), ingesting inedible objects (PICA), self-injurious or assaultive behaviors and other severe health and behavioral difficulties, including sexual offending.

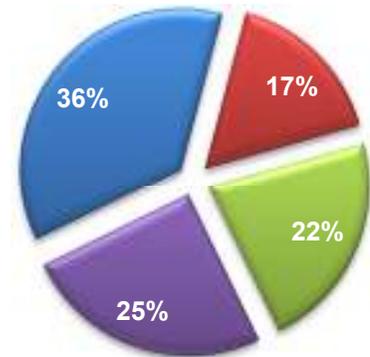
WRC Individuals Served by Age in SFY13

- 0-5 (0%) ■ 6-12 (0%) ■ 13-17 (1%)
- 18-21 (5%) ■ 22-34 (29%) ■ 35-54 (36%)
- 55-65 (19%) ■ 65+ (10%)



Intellectual Functioning Level in SFY13

- Profound - IQ below 20 (36%)
- Severe - 20 to 34 (17%)
- Moderate - 35 to 49 (22%)
- Mild - 50 to 69 (25%)



- ✓ *An individual is admitted after no other community-based provider has been found that can meet the individual's service needs.*

<p>Services</p>	<p>WRC maintains federal Medicaid certification as an intermediate care facility for individuals with intellectual disabilities (ICF/ID)</p> <ul style="list-style-type: none"> • WRC provides active treatment and support services for individuals with intellectual disabilities. • WRC continues to actively prepare and transition individuals to move into appropriate community-based living and to be contributing members of their community. <p>WRC Home & Community-Based Services (HCBS)</p> <ul style="list-style-type: none"> • WRC is also a Medicaid funded Home and Community Based Services provider for individuals ready for community living but for whom no other community providers can be found. • In SFY13 WRC provided daily HCBS non-residential, supported community services to 40 individuals at 12 locations throughout central Iowa. <p>Iowa Technical Assistance and Behavioral Supports (I-TABS)</p> <ul style="list-style-type: none"> • Funded by the Iowa Medicaid Enterprise, WRC's I-TABS provides specialized training and programmatic technical assistance to Medicaid provider agencies and others. I-TABS builds community capacity to appropriately meet the serious behavioral needs of individuals with disabilities to avoid or reduce more restrictive facility placement. 	<p>WRC ICF/ID Year-End Census</p> <table border="1"> <caption>WRC ICF/ID Year-End Census Data</caption> <thead> <tr> <th>Year</th> <th>Census</th> </tr> </thead> <tbody> <tr> <td>SFY10</td> <td>203</td> </tr> <tr> <td>SFY11</td> <td>194</td> </tr> <tr> <td>SFY12</td> <td>181</td> </tr> <tr> <td>SFY13</td> <td>177</td> </tr> <tr> <td>SFY14 est</td> <td>158</td> </tr> <tr> <td>SFY15 est</td> <td>146</td> </tr> </tbody> </table>	Year	Census	SFY10	203	SFY11	194	SFY12	181	SFY13	177	SFY14 est	158	SFY15 est	146
	Year	Census														
SFY10	203															
SFY11	194															
SFY12	181															
SFY13	177															
SFY14 est	158															
SFY15 est	146															
<ul style="list-style-type: none"> ✓ <i>66 percent (448 FTEs) of the staff are direct care, 12 percent (79.6 FTEs) are treatment professionals, 6 percent (38 FTEs) are maintenance, 8 percent (55.65 FTEs) are other support staff, and 8 percent (57.22 FTEs) are administrative.</i> ✓ <i>In SFY14, 84.6 percent of the Woodward Resource Center operating budget is for staffing costs and 15.4 percent is for support costs. As a percent of the overall operating budget, these support costs have been reduced in the past year.</i> ✓ <i>WRC has 177 operational beds and is the second largest ICF/ID in the state.</i> ✓ <i>Two tenants lease space and operate programs on the WRC campus.</i> ✓ <i>92 buildings on 1,144.4 total acreage (460.8 acres managed by WRC and 683.6 acres managed by Iowa Prison Industries as rented farmland.)</i> 																
<p>Goals & Strategies</p>	<p>Goal: Promote choice for persons with disabilities.</p> <p>Strategy:</p> <ul style="list-style-type: none"> • Promote access to community-based options for persons with disabilities. • Prepare and support individuals discharged from WRC to remain in the community. 	<p>Results in SFY13</p> <ul style="list-style-type: none"> • WRC had a net decrease in population of four. In the past five years, WRC reduced its population by 25 percent. • 83 percent of WRC individuals earned work wages through employment. • 82 percent of WRC discharged individuals remained in the community at least 180 days after discharge. 														

<p>Cost of Services</p>	<p>The State Resource Center per diem is bundled and covers the total cost of service including physician, medication, dental, adaptive equipment, and other medical costs. Medicaid is billed separately by community medical providers for individuals served by private ICF/ID. Private ICF/ID do not include these costs in their per diem.</p> <p>Daily per diem rate is \$817. Total annual cost of care per person served is \$298,183.</p> <p>✓ <i>WRC is one of the largest employers in Boone and Dallas Counties with an estimated \$30 million impact to these Counties and surrounding areas.</i></p> <p>✓ <i>WRC generates more than a \$5 million dollar impact for the town of Woodward alone.</i></p> <p>Sources: http://iwin.iwd.state.ia.us & WRC payroll data (7/2012)</p>	
<p>Funding Sources</p>	<p>The Woodward Resource Center is funded by state general funds and federal funds with a small amount of funding from other sources.</p> <p>The total budget for SFY15 is \$55,986,503:</p> <ul style="list-style-type: none"> • \$21,215,035 (37.9 percent) is state general fund, including a \$6.4 million transfer from the Medical Assistance appropriation. • \$25,392,512 (45.4 percent) is federal funding. • \$8,090,405 (14.4 percent) is other funding. • \$1,288,551 (2.3 percent) client participation. <p>Other funding includes rental and lease receipts, and HCBS revenues. Client participation reflects an assessed amount the individual clients pay towards the cost of care.</p>	<p style="text-align: center;">SFY15 Funding</p> <ul style="list-style-type: none"> ■ State General Fund (38%)* ■ Federal (45%) ■ Client Participation (2%) ■ Other Funds (15%) <p style="text-align: center;">*Includes Medical Assistance Appropriation</p>
<p>✓ <i>Federal Medical Assistance Percentage is projected to decrease from 58.35 percent in SFY14 to 57.29 percent in SFY15.</i></p>		

SFY 2015 Budget Drivers

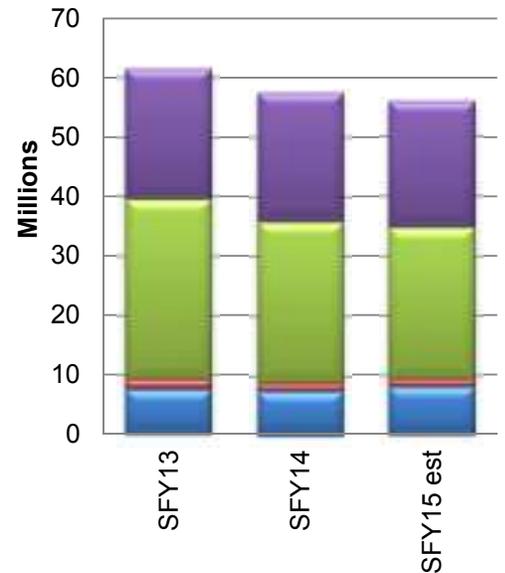
The total SFY15 WRC budget request reflects a \$885,612 (6.4 percent) general fund increase from the SFY15 Governor's Recommendation

The key budget drivers of the increases are:

- Declining FMAP rate, \$470,169 in SFY15. The federal share has decreased as Iowa's economy improves relative to other states (3.3 percent).
- Increased costs of food, pharmaceuticals, utilities and other, \$4,546 in SFY15 (less than 1 percent).
- The total SFY15 budget reflects an overall decrease of \$1,462,637 (-2.5 percent) from SFY14.

Total Budget Funding Mix

■ State General Fund ■ Federal
■ Client Participation ■ Other Funding



- ✓ *The impact of not funding the current service level request will be an estimated loss of 13 FTEs in SFY15.*
- ✓ *As the revenues and census continue to decline at both resource centers, each will continue to "right size" to match the needs and numbers of individuals served.*

Legal Basis

Federal:

- Code of Federal Regulations, CFR 483.400 to 483.480
- The State Resource Center must comply with the Conner Consent Decree and the United States Department of Justice Consent Decree

State:

- Iowa Code, Chapters 222 and 135C
- Iowa Administrative Code, 441 IAC 28 and 30

**Request - Woodward Resource Center
State Fiscal Year 2015**

Request Total: \$55,986,503

General Fund Need: \$14,760,906

Request Description:

The State Resource Centers (SRCs) at Glenwood and Woodward are licensed as Intermediate Care Facilities for individuals with Intellectual Disabilities (ICFs/ID) and are two of the largest of Iowa's 144 ICFs/ID. The SRCs provide a full range of treatment and habilitation services. Individuals who live at the facility have an intellectual or other developmental disability that requires intensive and complex active treatment. An individual is admitted after no other community-based provider has been found that can meet the individual's service needs.

The SFY13, year-end census at Woodward Resource Center ICF/ID was 177, SFY14 year-end target estimated at 158 and SFY15 estimated at 146.

The state appropriation allows the Woodward Resource Center to continue service to children and adults who are Medicaid-eligible by providing the non-Federal share of the per diem.

SFY 2015 Governor's Recommendation - 2013 Session

SFY15 Enacted Appropriation	\$7,110,232
Restore Enacted Approp to SFY15 Gov. Rec.	\$6,699,334
13 Distribution	\$65,728

Total State \$ Appropriated: \$13,875,294

Funding Needed to Maintain Current Service Level

Decision Package	Decision Package Description	Amount
1	This decision package adjusts status quo SFY15 to SF 446 for SFY14 as enacted during the 2013 Legislative session. Adjust to SFY14 Enacted Budget to maintain funding for fixed costs with lower census. Maintain funding for fixed costs with lower census.	\$410,897
2	Funding to cover pharmaceuticals, food, transportation and utilities. Additional inflationary costs to sustain operations and service delivery including fees, contract increases, printing, postage, and additional inflationary costs to sustain operations and service delivery in IT support including ITE e-mail services (SFY15).	\$4,546
3	Federal Medical Assistance Percentage rate reduction for Woodward Resource Center (SFY15).	\$470,169
Total Requested for Current Service Level Funding:		<u>\$885,612</u>

Funding for Improved Results

Decision Package	Decision Package Description	Amount
		\$0
Total Requested for Improved Results Funding:		<u>\$0</u>

General Fund Total	\$14,760,906
---------------------------	---------------------

General Fund Change From Prior Year	\$885,612
--	------------------

**Request - Woodward Resource Center
State Fiscal Year 2015**

Total Funding Summary:

State Funding Total		\$21,215,035
----------------------------	--	---------------------

	Program
General Fund	\$14,760,906
Medicaid Transfer	\$6,454,129
Tobacco	\$0
Iowa Care	\$0
HCTA	\$0
Other	\$0
Total	\$21,215,035

Federal Funding Total		\$25,392,512
------------------------------	--	---------------------

	Program
Medicaid	\$25,392,512
SSBG	\$0
ARRA	\$0
IowaCare	\$0
HCTA	\$0
Other	\$0
Total	\$25,392,512

Other Funding Total		\$9,378,956
----------------------------	--	--------------------

	Program
Other	\$9,378,956

Includes revenue from community based services that WRC provides through the Home and Community Based Services waiver (\$ 4.6 million), leases, rentals, and miscellaneous funds.

Totals	Program
	\$55,986,503

Request Total
\$55,986,503

FTEs included in request:

FTEs	652.5
-------------	--------------

This budget request does not reflect any changes or impact for potential salary adjustments. In the event of a salary adjustment that is not funded by appropriation, reductions in force will likely occur. DOM estimated the Woodward Resource Center salary adjustment for SFY14 to be \$540,982 which is the equivalent of 8.3 FTEs. DHS expects a comparable adjustment in SFY15.

Conner Training



Purpose	<p>This fund provides financial support to transition individuals currently residing in the two State Resource Centers to community living settings of their choice. Conner Training annual appropriation is mandated by a consent decree in 1994.</p>							
Who Is Helped	<p>Conner Training funds provide training and educational materials to assist people living in the State Resource Centers who wish to move to home or community settings.</p>							
Services	<p>The Department contracts with the Center for Excellence at the University of Iowa to administer this funding.</p> <p>Transition Services: Conner funding helps to fill small funding gaps for people transitioning to home or community care or remaining in their community placements. It covers items such as rent, utilities, and other items for interim housing needs. Most transition funding comes from Medicaid or other sources.</p> <p>Education Services: Conner funds support the www.disabilitytraining.org website, the “Let’s Talk Disability” blog, the <i>Possibilities</i> newsletter, and a Facebook page.</p>	<p style="text-align: center;">Expenditures SFY13</p> <table border="1"> <caption>Expenditures SFY13 (Thousands)</caption> <thead> <tr> <th>Category</th> <th>Expenditure (Thousands)</th> </tr> </thead> <tbody> <tr> <td>Services</td> <td>21.5</td> </tr> <tr> <td>Education</td> <td>12.0</td> </tr> </tbody> </table>	Category	Expenditure (Thousands)	Services	21.5	Education	12.0
Category	Expenditure (Thousands)							
Services	21.5							
Education	12.0							
Goals & Strategies	<p>Goal: Promote choice for people with disabilities. Strategy: Provide gap funding and education.</p>	<p>Results in SFY13:</p> <ul style="list-style-type: none"> • 17 individuals transitioning from the resource centers were supported by Conner funds. • 12 individuals who had resided in resource centers were supported in returning to community placements. 						
Cost of Services	<p>Of the \$33,622 appropriation in SFY13, \$21,000 supported the transition of 17 individuals moving to community settings and 12 individuals to remain in their community. The average cost per person was \$724. About \$12,500 was expended to support the Disabilitytraining.org website and other educational media.</p>							
Funding Sources	<p>Conner funding is entirely state general fund.</p>							
SFY 2015 Budget Drivers	<p>The appropriation is constant from year to year at \$33,622.</p>							
Legal Basis	<p>State:</p> <ul style="list-style-type: none"> • The Iowa General Assembly was mandated to annually fund the Conner Training by the consent decree of Conner v. Branstad, No. 4-86-CV-30871 (433 S.D. Iowa, July 14, 1994). 							

**Conner Training
State Fiscal Year 2015**

Request Total: \$33,632

General Fund Need: \$33,632

Request Description:

The Conner Decree appropriation provides facilitation for the development of and effective transition for persons from the State Resource Center to community based services, as required by the Conner Consent Decree through collaboration with the Iowa University Iowa Center for Disabilities and Development.

SFY 2015 Governor's Recommendation - 2013 Session

SFY15 Enacted Appropriation	\$16,811
Restore Enacted Approp to SFY15 Gov. Rec.	\$16,811
I3 Distribution	\$10
Total State \$ Appropriated:	\$33,632

Funding Needed to Maintain Current Service Level

Decision Package	Decision Package Description	Amount
		\$0
Total Requested for Current Service Level Funding:		\$0

Funding for Improved Results

Decision Package	Decision Package Description	Amount
		\$0
Total Requested for Improved Results Funding:		\$0

General Fund Total	\$33,632
General Fund Change From Prior Year	\$0

**Conner Training
State Fiscal Year 2015**

Total Funding Summary:

State Funding Total	\$33,632
----------------------------	-----------------

Program	
General Fund	\$33,632
SLTF	\$0
Tobacco	\$0
Iowa Care	\$0
HCTA	\$0
Other	\$0
Total	\$33,632

Federal Funding Total	\$0
------------------------------	------------

Program	
TANF	\$0
SSBG	\$0
ARRA	\$0
IowaCare	\$0
HCTA	\$0
Other	\$0
Total	\$0

Other Funding Total	\$0
----------------------------	------------

Program	
Other	\$0

Totals	Program \$33,632
---------------	-----------------------------

Request Total
<u><u>\$33,632</u></u>

FTEs included in request:

FTEs	-
-------------	----------

Civil Commitment Unit for Sexual Offenders



Purpose

Civil Commitment Unit for Sexual Offenders (CCUSO) provides secure, long term, highly structured inpatient treatment for violent sexual predators who have served their prison terms but in a separate civil trial have been found likely to commit further violent sexual offenses. CCUSO had 100 patients in-house as of June 30, 2013.

Who Is Helped

CCUSO provides secure treatment services to individuals who have been committed by the court for treatment purposes.

The department may not deny a court-ordered admission.

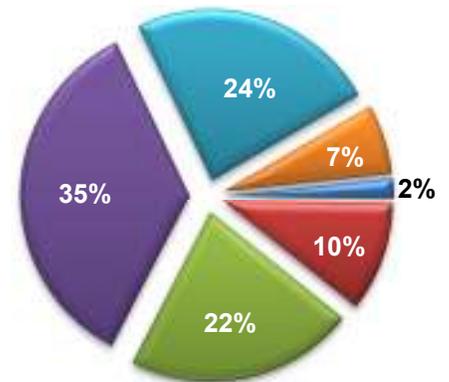
Annual court reviews of each individual's progress are required to determine if the commitment will continue.

All patients are male. There are 100 men in the program as of June 2013. In SFY13 there were 7 admissions. Ages range from 20 to 79 with the average age of 51.

The average patient has one or more chronic medical conditions and is on several prescribed medications.

Age of Patients Served in SFY13

- 25 & under (2%) ■ 26-35 (10%)
- 36-45 (22%) ■ 46-55 (35%)
- 56-65 (24%) ■ >65 (7%)



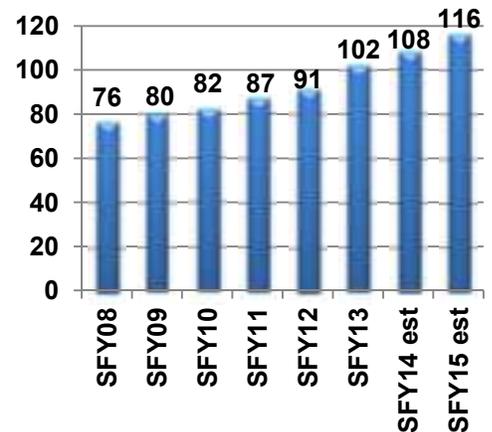
- ✓ *There are 21 states with inpatient treatment programs like CCUSO. One state operates as an outpatient treatment program for committed sexually violent offenders.*
- ✓ *Courts have determined that treatment programs like CCUSO are constitutional if they provide treatment services.*

Services

CCUSO has a five-phase treatment program that includes group and individual therapy, educational programming, physiological assessments, a transition program and a discharge planning unit that:

- Assists individuals in developing cognitive and behavioral skills so their core needs can be met without sexual offending.
- Provides treatment based on the Risk-Need-Responsivity model.
- Measures progress using an 8-point scale in 10 treatment areas.
- Measure progress through structured risk assessments tools, psychological evaluations and various physiological measures of sexual deviancy and interest.

Census Trend of Number Served



Prior to discharge, the court has the option to place a patient in transitional release, Phase 5. Patients begin to live and work more independently with the goal of eventually residing in the community. CCUSO staff maintain involvement with patients providing supervision, treatment and assessment as patients begin developing connections with community providers.

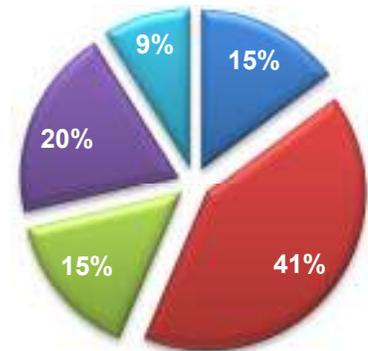
Patients are discharged only after the court has determined the patient is ready to reside in the community. However, patients can be discharged at any point in treatment, once the court has determined the patient no longer meets the legal criteria for commitment.

Since the program began in 1999 and through June 30, 2013, 23 patients have left CCUSO:

- 7 died
- 16 releases when court determined no longer met commitment criteria

Patient Treatment Phase SFY13

- Phase 1 (15%) ■ Phase 2 (41%)
- Phase 3 (15%) ■ Phase 4 (20%)
- Phase 5 (9%)



- ✓ *In addition to an annual court evaluation, each patient receives a progress evaluation every 90 days, an assessment of participation and treatment engagement after each group therapy session, and periodic risk assessments, including risk of sexual acting out, suicide, and assault.*
- ✓ *Each medical appointment or stay at the University of Iowa necessitates at least two CCUSO staff to travel with the patient for safety purposes. This takes staff "off line" for that period of time. In SFY13 there were 78 such visits.*
- ✓ *76 percent (68 FTEs) of the staff are direct care, 17 percent (15 FTEs) are professional and treatment professionals, 2 percent (2 FTEs) are other support staff, and 5 percent (4.5 FTEs) are administrative.*
- ✓ *In SFY14, 87.8 percent of the CCUSO operating budget is for staffing costs and 12.2 percent is for support costs. As a percent of the overall operating budget, these support costs have been reduced in the past year.*

Goals & Strategies

Goal: Effectively Manage Resources

Strategy:

- Provide effective treatment allowing patients to meet discharge criteria.
- Avoid restraints for behavior management.

Results in SFY13:

- As of June 30, 2013, there were 14 patients in transitional release, one patient in release with supervision and two patients court-ordered release with supervision.
- Three minutes of restraint is used per 1,000 hours of inpatient hours.

- ✓ *CCUSO emphasizes work skills and employment as a key treatment modality.*

Cost of Services

Daily per diem rate:

\$252

Annual cost of care per person:

\$89,117

	<ul style="list-style-type: none"> ✓ <i>CCUSO is estimated to have a \$4.4 million economic impact on the community.</i> ✓ <i>CCUSO is one of the largest employers in Cherokee County. The economic impact is spread across several surrounding counties and is vital to the area's economic activity.</i> ✓ <i>CCUSO co-campuses with Cherokee MHI and purchases support services from Cherokee MHI.</i> 									
Funding Sources	<p>CCUSO is funded by state general funds.</p> <p>The total budget for SFY15 is \$9,926,563:</p> <ul style="list-style-type: none"> • \$9,923,563 (99.99 percent) is state general fund. • A nominal \$3,000 is collected through room rentals. <hr/> <ul style="list-style-type: none"> ✓ <i>When patients in transitional release are employed, they pay rent and a portion of their community monitoring fees.</i> 									
SFY 2015 Budget Drivers	<p>The total SFY15 CCUSO budget request reflects a \$497,995 (5.3 percent) general fund increase from the SFY15 Governor's Recommendation.</p> <p>The key budget drivers of the increases are:</p> <ul style="list-style-type: none"> • Annualized staff and resources in SFY15 to provide safety and treatment services for 8 additional patients added in SFY14 is \$185,526 (1.9 percent). • Increased costs for the support and per diem associated with the 5 additional new patients in SFY15 is \$81,909 (0.9 percent); and increased staff to provide safety and treatment services for 5 new patients is \$230,560 (2.4 percent). 	<p style="text-align: center;">Total Budget</p> <p style="text-align: center;">■ State General Fund</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <caption>Total Budget (Millions)</caption> <thead> <tr> <th>Year</th> <th>Total Budget (Millions)</th> </tr> </thead> <tbody> <tr> <td>SFY13</td> <td>~\$9.5</td> </tr> <tr> <td>SFY14</td> <td>~\$9.5</td> </tr> <tr> <td>SFY15 est</td> <td>~\$9.5</td> </tr> </tbody> </table>	Year	Total Budget (Millions)	SFY13	~\$9.5	SFY14	~\$9.5	SFY15 est	~\$9.5
Year	Total Budget (Millions)									
SFY13	~\$9.5									
SFY14	~\$9.5									
SFY15 est	~\$9.5									
Legal Basis	<p>State:</p> <ul style="list-style-type: none"> • Iowa Code, Chapter 229A • Iowa Administrative Code, 441 IAC 31 									
<ul style="list-style-type: none"> ✓ <i>The impact of not funding the current service level request will be an estimated loss of 7.4 FTEs in SFY15.</i> 										

**Request - Civil Commitment Unit for Sexual Offenders
State Fiscal Year 2015**

Request Total: \$9,926,563

General Fund Need: \$9,923,563

Request Description:

The CCUSO program is established by Chapter 229A of the Code of Iowa which describes the civil commitment process. The court system, through a bench or jury trial, orders persons to be civilly committed and admitted to CCUSO. CCUSO is a secure facility located in Cherokee and provides highly specialized, extended-term treatment designed to address the identified mental abnormality of the patients ordered to be committed to the program. At the beginning of SFY14 there were 100 patients in the program. It is projected that 108 patients will be in the program by the end of SFY14, and 113 individuals will be in the program by the end of SFY15. The program is designed to provide treatment and motivation for behavioral change. There are five treatment phases providing cognitive-behavior therapy as well as a five-level system that provides increased privileges and responsibilities to motivate patients to participate in treatment and to remain free of problematic behaviors.

SFY 2015 Governor's Recommendation - 2013 Session

SFY15 Enacted Appropriation	\$4,708,485
Restore Enacted Approp to SFY15 Gov. Rec.	\$4,708,484
I3 Distribution	\$8,599

Total State \$ Appropriated: \$9,425,568

Funding Needed to Maintain Current Service Level

Decision Package	Decision Package Description	Amount
1	Per Chapter 229A of the Code of Iowa, DHS does not have the authority to deny court-ordered admissions to CCUSO. CCUSO's census is expected to increase by 8 patients in SFY14. CCUSO's approved SFY14 budget authorizes increased resources and FTEs to serve patients as they are admitted during the year. This funding annualizes the cost of these increases in SFY15.	\$185,526
2	Per Chapter 229A of the Code of Iowa, DHS does not have the authority to deny court-ordered admissions to CCUSO. To support the anticipated population increase of 5 additional court-ordered patients by the end of SFY15, additional resources including FTEs (4) are requested.	\$312,469
Total Requested for Current Service Level Funding:		<u>\$497,995</u>

Funding for Improved Results

Decision Package	Decision Package Description	Amount
		\$0
Total Requested for Improved Results Funding:		<u>\$0</u>

General Fund Total	\$9,923,563
---------------------------	--------------------

General Fund Change From Prior Year	\$497,995
--	------------------

**Request - Civil Commitment Unit for Sexual Offenders
State Fiscal Year 2015**

Total Funding Summary:

State Funding Total		\$9,923,563
----------------------------	--	--------------------

Program	
General Fund	\$9,923,563
SLTF	\$0
Tobacco	\$0
Iowa Care	\$0
HCTA	\$0
Other	\$0
Total	\$9,923,563

Federal Funding Total		\$0
------------------------------	--	------------

Program	
TANF	\$0
SSBG	\$0
ARRA	\$0
IowaCare	\$0
HCTA	\$0
Other	\$0
Total	\$0

Other Funding Total		\$3,000
----------------------------	--	----------------

Program	
Other	\$3,000

Transitional patient rental reimbursement

Totals	Program
	\$9,926,563

Request Total
\$9,926,563

FTEs included in request:

FTEs	128.5
-------------	--------------

This budget request does not reflect any changes or impact for potential salary adjustments. In the event of a salary adjustment that is not funded by appropriation, reductions in force will likely occur. DOM estimated the Civil Commitment Unit for Sexual Offenders at Cherokee salary adjustment for SFY14 to be \$155,205 which is the equivalent of 2.0 FTEs. DHS expects a comparable adjustment in SFY15.

State Payment Program



Purpose

The purpose of the State Payment Program (SPP) is to maintain and improve the self-sufficiency of adults with a mental illness, intellectual disability, and/or a developmental disability.

Who Is Helped

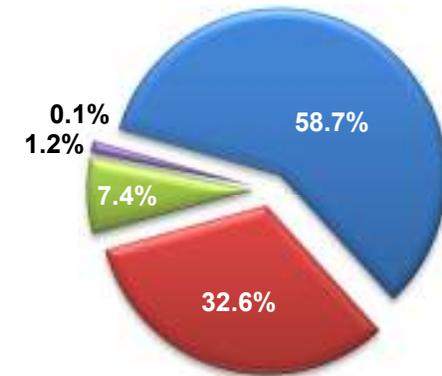
Prior to July 1, 2013, SPP reimbursed counties for the costs of providing non-Medicaid services to people without a county of legal settlement.

Under MHDS Redesign the legal settlement concept was replaced with county of residency effective July 1, 2013. In SFY14 counties are reimbursed for individuals whose county of residency is unknown or not in the State of Iowa.

Under MHDS Redesign beginning July 1, 2014, counties will be participating in an MHDS regional system, unless exempted. At that time the MHDS regions will be reimbursed for providing costs of non-Medicaid services for people whose county of residency is unknown or not in the State of Iowa.

Clients Served by Diagnosis

- Mental Illness (58.7%)
- Chronic Mental Illness (32.6%)
- Intellectual Disabilities (7.4%)
- Other Developmental Disabilities (1.2%)
- Brain Injury (0.1%)



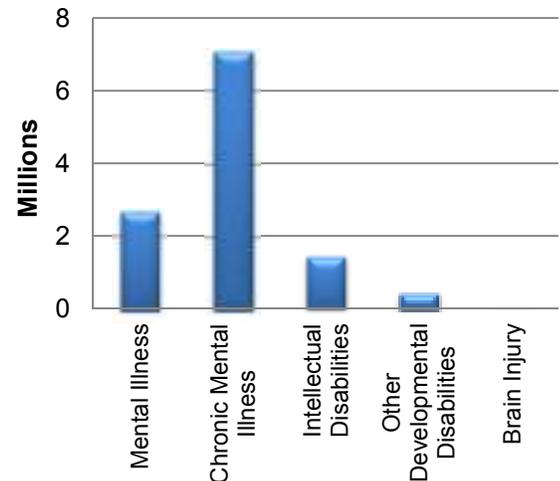
- ✓ *With the change from legal settlement to residency, it is anticipated that there will be very few State Payment Program cases.*
- ✓ *DHS recommended that most funding previously used to pay for state payment program cases be distributed to the counties to cover cases now their responsibility under residency.*

Services

The State Payment Program provides for residential and other mental health and disability-related services as specified in an approved county management plan or MHDS regional service system management plan. DHS then reimburses counties for the costs of providing non-Medicaid services to eligible adults in the State of Iowa.

Services are provided to adults with mental illness, intellectual disability, or a developmental disability.

Expenditure by Diagnosis



Goals & Strategies	<p>Goal: Promote choice for people with disabilities.</p> <p>Strategy: Provide gap funding.</p>	<ul style="list-style-type: none"> The SPP program provided access to MHDS for 3,538 individuals in SFY13 who otherwise would not have access to critical MHDS.
Cost of Services	<p>The annual cost of service varies greatly for State Payment Program cases with the highest cost generally for those cases receiving licensed living services or institutional care.</p> <p>It is anticipated that the few cases remaining with the state under residency will be cases with individuals receiving licensed living services or institutional care.*</p> <p>The average annual cost per client* in SFY13 was \$3,266.</p>	
Funding Sources	<p>The State Payment Program is funded entirely through the federal Social Services Block Grant (SSBG).</p>	
SFY 2015 Budget Drivers	<p>The SFY15 budget request for the State Payment Program is consistent with SFY14 funding.</p> <ul style="list-style-type: none"> Nearly all persons who were enrolled in the SPP during SFY13 will have a county of residency determined for funding services in SFY14. In SFY15, up to \$600,000 in federal SSBG dollars will be used for the SPP (state cases) to fund persons whose county of residence is unknown or not in the State of Iowa. If any of the \$600,000 remains unspent at the end of SFY15, it will be distributed to counties in the following state fiscal year. 	
Legal Basis	<p>State:</p> <ul style="list-style-type: none"> Iowa Code 331.394. 	

**Request - State Payment Program
State Fiscal Year 2015**

Request Total: \$12,374,285

General Fund Need: \$0

Request Description:

Up to \$600,000 in federal SSBG dollars are used for new state cases (persons with no county of residence) and the remaining \$11,774,285 is distributed to counties for non-Medicaid MHDS services. If any of the \$600,000 remains unspent it will be distributed to counties in the following fiscal year.

SFY 2015 Governor's Recommendation - 2013 Session

SFY 2015 Enacted Appropriation	\$0
Restore Enacted Approp to SFY 2015 Gov. Rec	\$0
I/3 distribution	\$0
Total State \$ Appropriated:	<u>\$0</u>

Funding Needed to Maintain Current Service Level

Decision Package	Decision Package Description	Amount
		\$0
Total Requested for Current Service Level Funding:		<u>\$0</u>

Funding for Improved Results

Decision Package	Decision Package Description	Amount
		\$0
Total Requested for Improved Results Funding:		<u>\$0</u>

General Fund Total	\$0
General Fund Change From Prior Year	\$0

**Request - State Payment Program
State Fiscal Year 2015**

Total Funding Summary:

State Funding Total		\$0
----------------------------	--	------------

Program	
General Fund	\$0
SLTF	\$0
Tobacco	\$0
Iowa Care	\$0
HCTA	\$0
Other	\$0
Total	\$0

Federal Funding Total		\$12,374,285
------------------------------	--	---------------------

Program	
TANF	\$4,894,052
SSBG*	\$7,480,233
ARRA	\$0
IowaCare	\$0
HCTA	\$0
Other	\$0
Total	\$12,374,285

* SSBG SFY15 plan for MHDS services allocates up to \$600,000 for new state cases and remaining \$11,774,285 for distribution to counties.

Other Funding Total		\$0
----------------------------	--	------------

Program	
Other	\$0

Totals	Program
	\$12,374,285

Request Total
\$12,374,285

FTEs included in request:

FTEs	-
-------------	----------