

Health and Safety Assessment





Health and Safety Assessment

Child Care Business Name _____		County # _____		NACCRRRA # _____	
Dates of Assessment: Room # _____		Room # _____	Room # _____	Room # _____	Room # _____
Type of Assessment ¹ : Room # _____		Room # _____	Room # _____	Room # _____	Room # _____
Type of Child Care:	<input type="checkbox"/> Center with Full License	<input type="checkbox"/> New Center	<input type="checkbox"/> Center with Provisional License		
	<input type="checkbox"/> Registered Child Development Home		<input type="checkbox"/> Non-registered Home		

HEALTH AND SAFETY ASSESSMENT SCORE SUMMARY²

Health Policy ³ – 50 points possible	Score:	Room	Room	Room	Room
Tabulate the score for each room					
Ages of children in the room					
Sanitation Practices - 15 items					
Nutrition and Food Safety - 8 items					
Medication - 7 items					
Emergency Preparedness - 10 items					
Infant Sleep and Positioning - 5 items					
Indoor Safety - 4 items					
Outdoor Safety ⁴ - 14 items					
Environmental Health - 7 items					
Total Score for Each Room					

HEALTH AND SAFETY WRITTEN POLICY.⁵

Health Policy Scoring Key	No Policy Exists	Poor	Meets DHS Rules	Good	Meets CFOC Standards
	1	2	3	4	5

Health Policy	Score
1. Care of mildly ill or temporarily disabled children	
2. Cleaning and sanitizing environment, toys, equipment	
3. Emergency preparedness	
4. Employee health	
5. Exclusion of ill children	
6. Hand washing for infants, children, and employees	
7. Inclusion of children with special health or developmental needs	
8. Medication administration, authorization, documentation, storage, and handling	
9. Physical activity for all children	
10. Transporting children safely	
Total Score⁶	____/50 possible

¹ Types of assessment: **I** = Initial, **RA6** = Repeat Assessment 6 months after the initial assessment, **RA12** = Repeat Assessment at 12 months after the initial assessment, **RA18** = Repeat Assessment at 18 months after the initial assessment, and so forth.

² Refer to the instructions when calculating the score for each section and the total score for the full assessment.

³ Health policy is scored for the whole facility, not scored by individual rooms.

⁴ Outdoor Safety may be scored for the whole facility or may be scored by room if each room has a separate outdoor play/learning area or certain rooms use only specified portions of the outdoor play/learning area.

⁵ The CCHC shall use the Iowa Department of Human Services child care regulations and the reference text, "Caring for Our Children, National Health and Safety Performance Standards, Guidelines for Out-of-Home Child Care. 2nd Edition. 2002.

⁶ Health Policy Scoring: A score of 45 or above is considered Very Good. A score between 40 - 44 is considered Good. A score between 35 - 40 is Passing. A score of 34 or below is considered Poor.

HEALTH AND SAFETY¹ PRACTICE AND PROCEDURES

Instructions: The health and safety assessment tool is designed for all questions to be answered in the affirmative if the child care provider is following proper health and safety standards. The CCHC shall evaluate each item listed. The CCHC may use the letter **Y** for items achieved and the letter **N** for items not achieved.² During a repeat assessment the letter **I** may be used to indicate improvement. Numerical scoring: Y = 2 points. N = 0 points, I = 1 point.

Background Information

Ask the following question in each room	Room 1	Room 2	Room 3	Room 4
How many children are in this room on a typical day?				

SANITATION PRACTICES

Item to assess	Room 1	Room 2	Room 3	Room 4
1. *Sanitation: Toothbrushes are properly handled and stored.				
2. *Sanitation: Bleach/water sanitizing solution is available and mixed daily.				
3. *Sanitation: All trays and tables are sanitized before food/snacks are served.				
4. *Sanitation: Children are not exposed to sanitation/disinfectant products (mists, vapors, liquids) during the day.				
5. Handwashing: The same sinks are not used for food or bottle preparation and for handwashing.				
6. *Handwashing: Personnel conduct handwashing according to Caring for Our Children national standard 3.020. ³				
7. *Handwashing: Disposable towels are in stock, readily visible and accessible for personnel and child use.				
8. Handwashing: A sink is adjacent to the diaper changing area for personnel to wash hands without leaving the diaper changing area.				
9. *Handwashing: A complete and accurate handwashing protocol or poster is visible at every sink where handwashing occurs.				
10. *Diapering: There are designated diaper changing areas clearly marked. ⁴				
11. *Diapering: The trash receptacles used for diapers are out-of-reach or are enclosed containers with hands-free operation.				
12. *Diapering: The diaper changing surface is easily disinfected and free of cracks.				
13. *Diapering: Disposable gloves are in stock, readily visible and accessible.				
14. Diapering: Diaper changing area is a distinct area and not interchanged with food preparation / food service areas.				
15. *Diapering: A complete and accurate diaper changing protocol poster is visible in every area where diapers are changed.				
Score: Yes = 2 points, No = 0 points. On subsequent assessments, a score of Improving or I = 1 point.				

Notes:

¹ All items based on *Caring for Our Children, National Health and Safety Performance Standards, Guidelines for Out-of-Home Child Care*. 2nd Edition. 2002.

² There are a few items that may appropriately be recorded as NA or not applying. Example: if the child care provider does not care for infants, then all items pertaining to infants may be recorded as NA.

* Item highly correlates to child morbidity and mortality: *Stepping Stones to Using Caring For Our Children, 2nd Edition*. Abridged version of CFOC.

³ Handwashing shall be conducted at the following times 1) upon arrival at the child care business or when moving from one group of children to another group; 2) before and after eating or handling food or feeding a child, giving medication, water-play activity and 3) after diapering, using toilet, handling a body fluid, handling uncooked food (especially uncooked meat), handling animals, sandbox play, cleaning or handling garbage.

⁴ Items pertaining to diapering should be scored for all rooms where infants, toddlers or children with special needs are enrolled. Some children with special needs have bladder or bowel control needs and may wear a diaper-like clothing item or panty liners that need to be changed during the time the child is in attendance. The questions relating to diaper changing may be recorded as not applying (NA) if no infants, toddlers, or children with special needs are enrolled in the room. The floor should not be used as the diaper changing area.

NUTRITION AND FOOD SAFETY

Item to assess	Room 1	Room 2	Room 3	Room 4
1. *All meal and snack menu plans meet the CACFP guidelines regardless of the facility's enrollment in CACFP.				
2. *The names of children with specific dietary needs are posted in food preparation and food service areas. (ASK: What children in this room have food allergies or special diets?)				
3. *Food and bottles are handled safely and stored properly.				
4. *Infant bottles brought from home are clearly labeled with the infant's name and time the bottle was prepared.				
5. *Refrigerator and freezer temperatures are monitored and recorded daily. ¹ Record all temps today in the box/room				
6. *Clean, sanitary drinking water is available indoors and outdoors for all children throughout the day.				
7. *Breastfed babies are welcomed. (ASK: What do you do to promote mom's breastfeeding their infants?)				
8. Temperature of water in dishwasher is 140° degrees F or above. OR when dishes are manually washed, a sanitation rinse is completed.				
Score: Yes = 2 points, No = 0 points on subsequent assessments a score of Improving or I = 1 point				

Notes:

MEDICATION

Item to assess	Room 1	Room 2	Room 3	Room 4
1. *Medication is properly labeled and is in the original container. See note below				
2. *Medication is properly stored (including refrigeration in a separate covered container away from food items).				
3. *Medication is inaccessible to children at all times.				
4. *A signed parent authorization is on file for every medication (prescribed and over-the-counter) given at child care.				
5. *Every dose of medication given at child care is documented on a medication recording form.				
6. *Personnel giving medication know the identify of children receiving medication, name of medication, dosage of medication, reason medication is needed by child, signs and symptoms of medication reaction.				
7. *Personnel giving medication have appropriate measuring tools to measure proper medication dosage.				
Score: Yes = 2 points, N = 0 points on subsequent assessments a score of Improving or I = 1 point				

You may find it helpful to list the names of medications given at child care to better prepare you to give further technical assistance:

¹ Many child care facilities have a refrigerator in each room. If refrigerators are available in each room-then score the item for each room. If the refrigerators are in one area (kitchen) only, then score this item once for the whole facility. Place a NA in the column for the separate rooms. Refrigerator temperature should be Refrigerator temperature: 40 degrees° Fahrenheit or lower. Freezer temperature: 0 degrees° Fahrenheit or lower.

EMERGENCY PREPAREDNESS

Item to assess	Room 1	Room 2	Room 3	Room 4
1. *First aid kits are available in all areas: indoors, outdoors, vehicles, and traveling kits for field trips. (ASK: Where are your first aid kits located?)				
2. First aid kits meet the DHS checklist of contents.				
3. *All emergency telephone numbers, including poison control center, are posted adjacent to all telephones.				
4. *Emergency shelter-in-place and evacuation procedures are posted in every area used by personnel and children.				
5. *Evacuation equipment is present, sturdy and in good repair.				
6. *Personnel demonstrate proper use of evacuation equipment.				
7. *Evacuation equipment is inspected and maintained monthly. (ASK: Where is your evacuation equipment inspection checklist?)				
8. *Fire drills (evacuation) are practiced monthly by the whole facility. (ASK: When was your last drill? How often do you have drills?)				
9. Tornado drills (shelter in place) are practiced monthly by the whole facility. (ASK: When was your last drill? How often do you have drills?)				
10. A complete file of all personnel and children's names and contact information is readily available for notifying parents and officials of personnel and children present within the facility.				
Score: Yes = 2 points, No = 0 points on subsequent assessments a score of Improving or I = 1 point				

Notes:

INFANT SLEEP AND POSITIONING

Item to assess	Room 1	Room 2	Room 3	Room 4
1. *All infants under 1 year of age are always placed on their back to sleep. (ASK: How do you place infants when you lay them down to sleep?)				
2. *Only by written recommendation of the child's physician is any infant placed in any other position than back-to-sleep. (No parent authorizations accepted)				
3. *Infants sleep only on firm appropriate surfaces. (no infant sleeping on sofas, waterbeds, bean bags, adult beds, infant swings, or infant seats)				
4. *The temperature in infant sleeping areas is regulated by the provider in the room.				
5. *Infants are supervised during "tummy time" throughout the day. (Infants are not left in "containers" like infant seats/swings for long periods of time.)				
Score: Yes = 2 points, No = 0 points on subsequent assessments a score of Improving or I = 1 point				

Notes:

INDOOR SAFETY

Item to assess	Room 1	Room 2	Room 3	Room 4
1. Equipment / furniture is developmentally appropriate and accessible for all children. (including children with special needs)				
2. *Indoor equipment and furniture is sturdy, stable, and free of hazards, including the risk of entrapment/entanglement.				

3. *The indoor play area is designed to allow personnel to observe and interact with children in all play areas at all times.				
4. Materials, supplies, and equipment in care giving areas are stored safely.				
Score: Yes = 2 points, No = 0 points on subsequent assessments a score of Improving or I = 1 point				

Notes:

OUTDOOR SAFETY

Item to assess	Room 1	Room 2	Room 3	Room 4
1. *All children (including infants) have time in outdoor activities daily, except in extreme weather conditions. (ASK: How often do you take the children outdoors?)				
2. *Play equipment is developmentally appropriate for typically developing children AND for children with special needs.				
3. *Playground and play equipment is accessible for children with special needs. ¹				
4. *The outdoor play area is designed to allow personnel to observe and interact with children in all play areas at all times.				
5. *Outdoor equipment is manufactured and intended for public playground or commercial use.				
6. *Outdoor play equipment is routinely inspected and maintained according to manufacturer's instructions. (there should not be missing pieces, wood rot, rust, cracks, splinters, or sharp points, edges, and corners)				
7. *Outdoor play areas have adequate shade during times when children are outdoors.				
8. *Children wear protective clothing appropriate for the weather.				
9. *Children wear sunscreen during spring/summer/fall months when outdoors. (including field trips)				
10. Materials, toys, and equipment for outdoor play are stored properly and safely.				
11. *All personnel supervising swimming or wading activities have completed CPR training.				
12. *Wading pools are not used for water play. (ASK: Do you ever use wading pools for water play with children? If answer YES, then ASK: How often do you drain the water?)				
13. If wading pools are used, they are drained immediately following use. (Score this item as NA if wading pools are not used)				
14. Parents and children can safely walk from parked vehicles to the child care building safely without crossing traffic.				
Score: Yes = 2 points, No = 0 points on subsequent assessments a score of Improving or I = 1 point				

Notes:

ENVIRONMENTAL HEALTH

Item to assess	Room 1	Room 2	Room 3	Room 4
1. *There is no peeling or chipping paint. (Lead poisoning)				

¹ Several items in the Outdoor Safety category may be scored for the whole facility, unless each room has a separate outdoor play/learning area. The child care facility may have designated areas for specific age groups. If this is the case, then score the outdoor safety items used per room.

2. *Radon detection devices have been used and the result of detection known to determine radon levels in all lower level areas.				
3. *Carbon monoxide detectors are placed in all areas where combustion equipment is located. (furnace, stove, water heater areas)				
4. *All areas have ventilation that removes fumes and do not bring fumes into occupied areas. (Air quality)				
5. Aerosol or spray air fresheners, air sanitizers are not used in child occupied/used areas.				
6. *There are no persistent moist areas on walls, floors, or ceilings. (Mold spore-air quality)				
7. *Child care facility has public water supply OR the private water supply has been tested-provider knows local resources for water quality testing.				
Score: Yes = 2 points, No = 0 points on subsequent assessments a score of Improving or I = 1 point				

Notes:

Child Care Nurse Consultant name _____

Verbal report given to director/owner: Yes date given _____ No Written report given to director/owner: Yes date given _____ No Director/owner requests consultation, training, or technical assistance: Yes date scheduled _____ No Report sent to licensing consultant: Yes date sent _____ No Report sent to RCCNC: Yes date sent _____ No

List resources or materials given to the child care business owner: