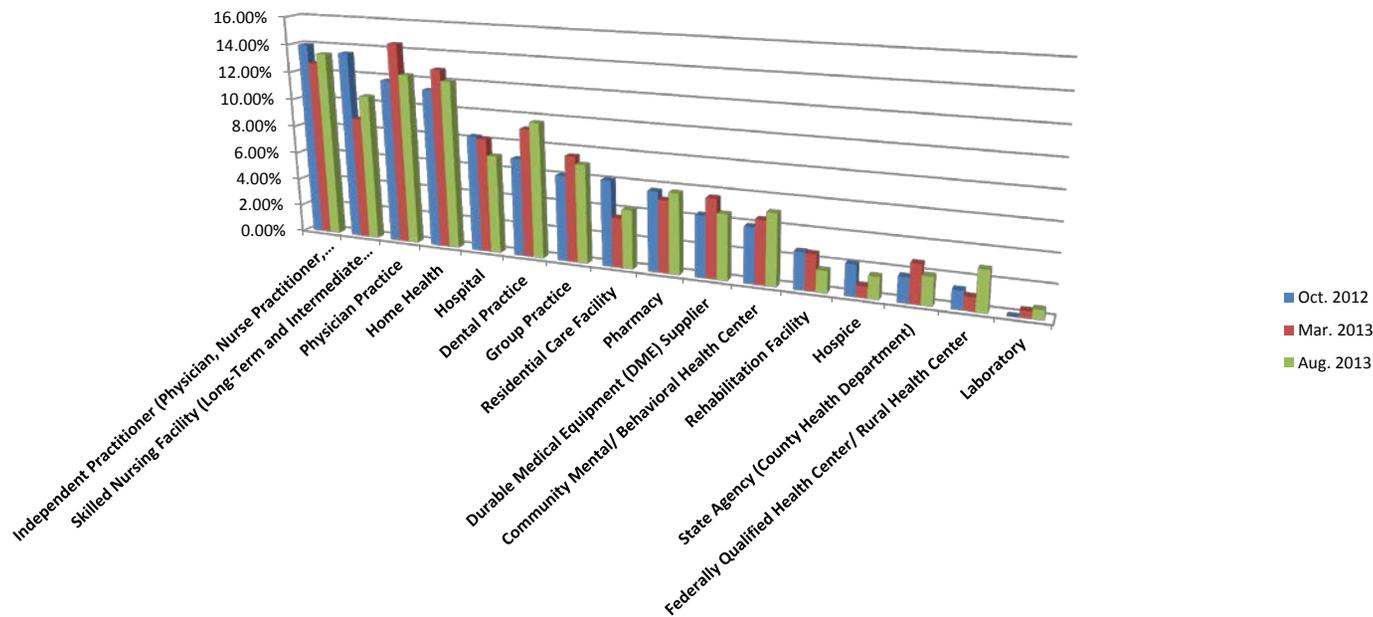


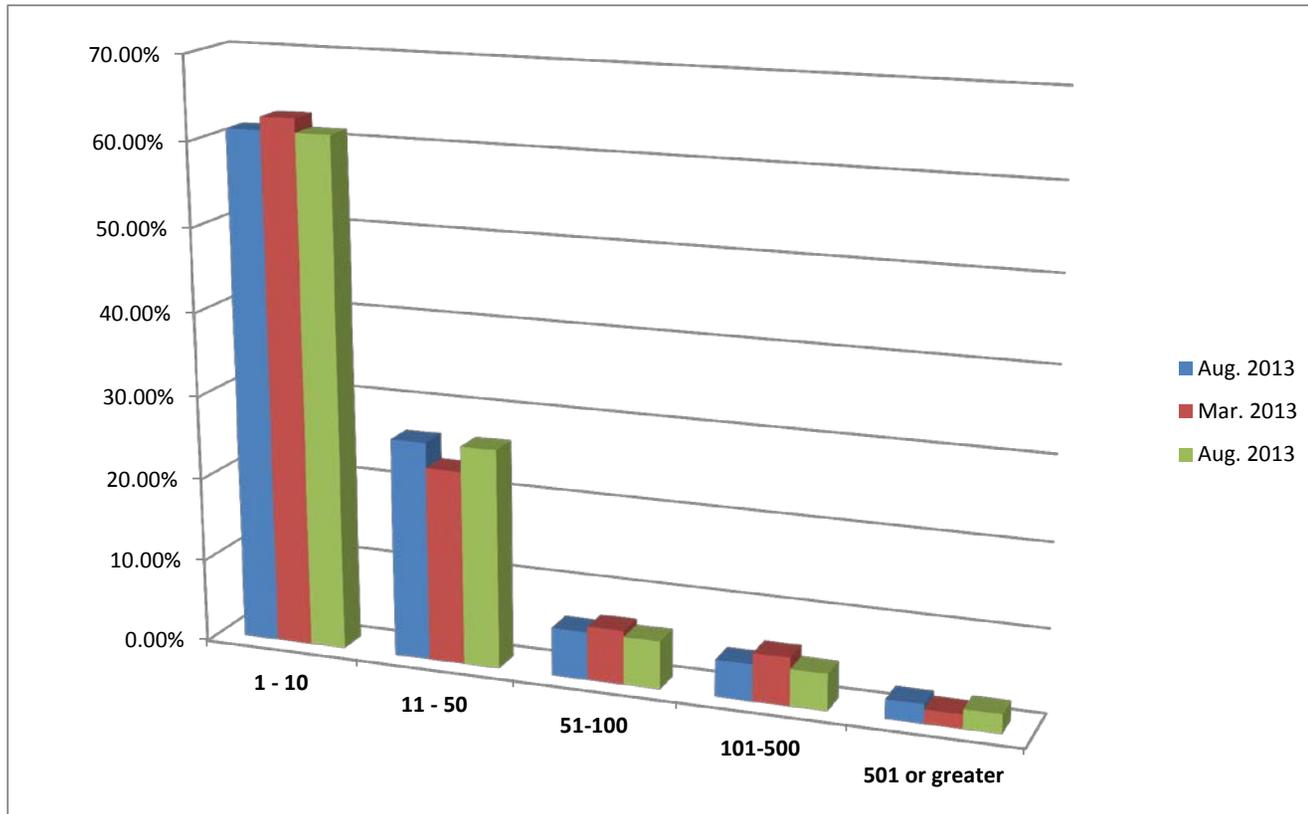
<b>ICD-10 Provider Readiness Survey</b>					<b>SURVEY # 1</b>				<b>SURVEY # 2</b>				<b>SURVEY # 3</b>			
					<b>Start Date:</b>		Sept 28, 2012		<b>Start Date:</b>		Feb 22, 2013		<b>Start Date:</b>		Aug 13, 2013	
					<b>End Date:</b>		Oct. 22, 2012		<b>End Date:</b>		Mar 25, 2013		<b>End Date:</b>		Jul 16, 2013	
<b>Total Started Surveys:</b>					<b>285</b>				<b>781</b>				<b>567</b>			
<b>Total Finished Surveys:</b>					<b>204</b>		<b>72%</b>		<b>608</b>		<b>78%</b>		<b>434</b>		<b>77%</b>	
					<b>Answered</b>	<b>%</b>	<b>Skipped</b>	<b>%</b>	<b>Answered</b>	<b>%</b>	<b>Skipped</b>	<b>%</b>	<b>Answered</b>	<b>%</b>	<b>Skipped</b>	<b>%</b>
1	What best defines the type of provider you are?				229	80%	56	20%	623	80%	158	20%	456	80%	111	20%
2	How many clinical staff are in your organization (doctors, nurses, mid-level providers and therapists)?				269	94%	16	6%	713	91%	68	9%	521	92%	46	8%
3	Do you use ICD-9 diagnosis or ICD-9 procedure codes in the work you currently do for the IME?				276	97%	9	3%	728	93%	53	7%	537	95%	30	5%
4	How do you currently use the ICD-9 diagnosis or ICD-9 procedure codes? (Check all that apply)				191	67%	94	33%	553	71%	228	29%	393	69%	174	31%
5	How complete is your planning for dedicating resources to ICD-10 implementation efforts?				190	67%	95	33%	550	70%	231	30%	393	69%	174	31%
6	Have you developed an ICD-10 training plan for your organization?				187	70%	98	34%	547	77%	234	30%	390	69%	177	31%
7	Have you received information from your major health plans on their ICD-10 plans?				188	66%	97	34%	549	70%	232	30%	391	69%	176	31%
8	Have you received information from any of your clearinghouse or billing vendors on their ICD-10 plans?				177	62%	108	38%	503	64%	278	36%	365	64%	202	36%
9	Does your Patient Billing System generate 5010-compliant transactions natively or is a vendor or clearinghouse creating the 5010-compliant transactions from a print output from the Patient Bill (CMS-1450 or CMS-1500) or other Patient Transaction?				178	62%	107	38%	501	64%	280	36%	356	63%	211	37%
10	Have you received information from any of your software vendors on their ICD-10 plans?				184	65%	101	35%	529	68%	252	32%	382	67%	185	33%
11	If you have not begun ICD-10 implementation planning activities, please provide the reasons/obstacles. (Check all that apply)				123	65%	162	57%	350	64%	431	55%	225	40%	342	60%
12	Do your strategies for systems and/or software updates, design or solution development for your ICD-10 products and services include the following? (Check all that apply)				179	63%	106	37%	520	67%	261	33%	378	67%	189	33%
13	How complete are your IT systems update, design, and/or development plan(s) related to the ICD-10 transition?				183	64%	102	36%	534	68%	247	32%	385	68%	182	32%
14	Do you expect to have all needed system changes completed for ICD-10 by October 1, 2013, in order to begin external testing (if required) with the IME? (external testing timeframe is October, 2013 - October, 2014).				182	64%	103	36%	533	68%	248	32%	383	68%	184	32%
15	Do you expect to be able to utilize ICD-10 codes on the current federal compliance date of October 1, 2014?				185	65%	100	35%	533	68%	248	32%	388	68%	179	32%
16	Would you be interested in collaborating with the Iowa Medicaid Enterprise (IME) as a partner for external testing?				185	65%	100	35%	536	69%	245	31%	386	68%	181	32%

1. What best defines the type of provider you are?	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
Independent Practitioner (Physician, Nurse Practitioner, Physician Assistant, Therapist, Psychologist, Etc.)	13.97%	32	12.68%	79	13.38%	61
Skilled Nursing Facility (Long-Term and Intermediate Care)	13.54%	31	8.83%	55	10.53%	48
Physician Practice	11.79%	27	14.45%	90	12.28%	56
Home Health	11.35%	26	12.84%	80	12.06%	55
Hospital	8.30%	19	8.19%	51	7.02%	32
Dental Practice	6.99%	16	9.15%	57	9.65%	44
Group Practice	6.11%	14	7.54%	47	7.02%	32
Residential Care Facility	6.11%	14	3.53%	22	4.17%	19
Pharmacy	5.68%	13	5.14%	32	5.70%	26
Durable Medical Equipment (DME) Supplier	4.37%	10	5.62%	35	4.61%	21
Community Mental/ Behavioral Health Center	3.93%	9	4.49%	28	5.04%	23
Rehabilitation Facility	2.62%	6	2.57%	16	1.54%	7
Hospice	2.18%	5	0.80%	5	1.54%	7
State Agency (County Health Department)	1.75%	4	2.73%	17	1.97%	9
Federally Qualified Health Center/ Rural Health Center	1.31%	3	0.96%	6	2.85%	13
Laboratory	0.00%	0	0.48%	3	0.66%	3
Other answer		57		149		99
<b>TOTAL</b>		<b>229</b>		<b>623</b>		<b>456</b>
Answered this question		229		623		567
Skipped this question		56		158		434

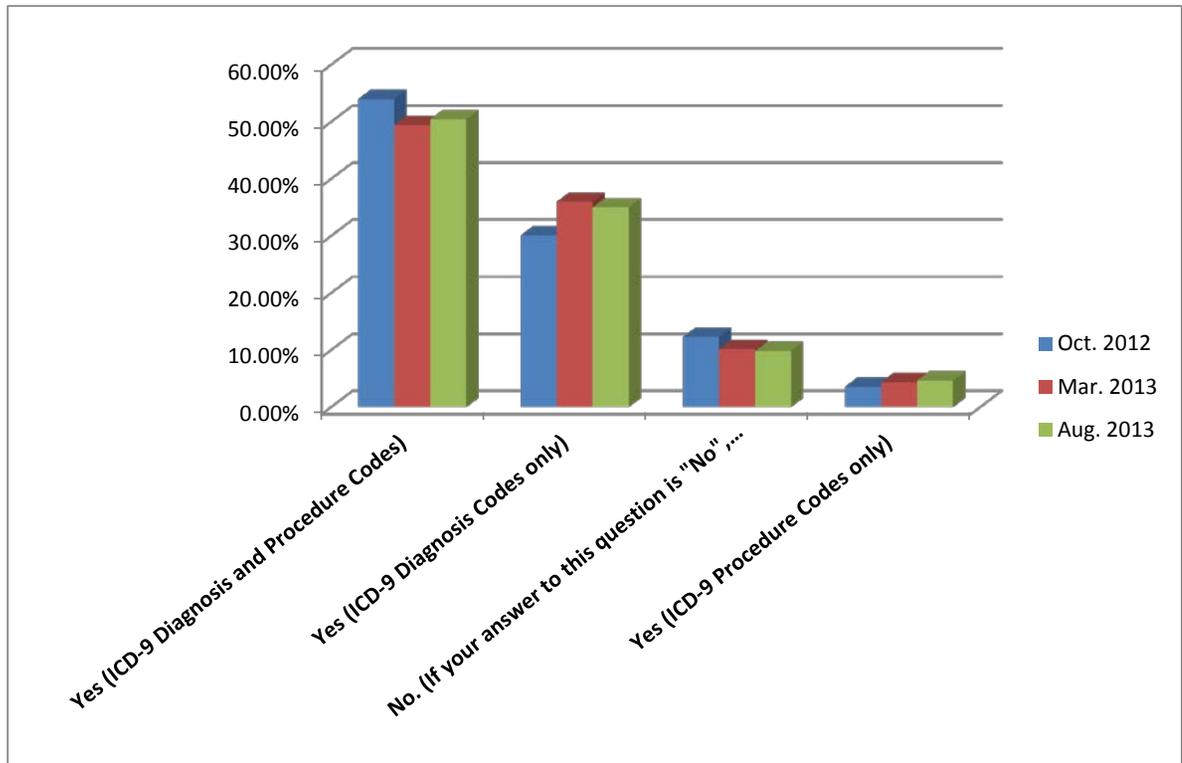


**2. How many clinical staff are in your organization?  
(doctors, nurses, mid-level providers and therapists)**

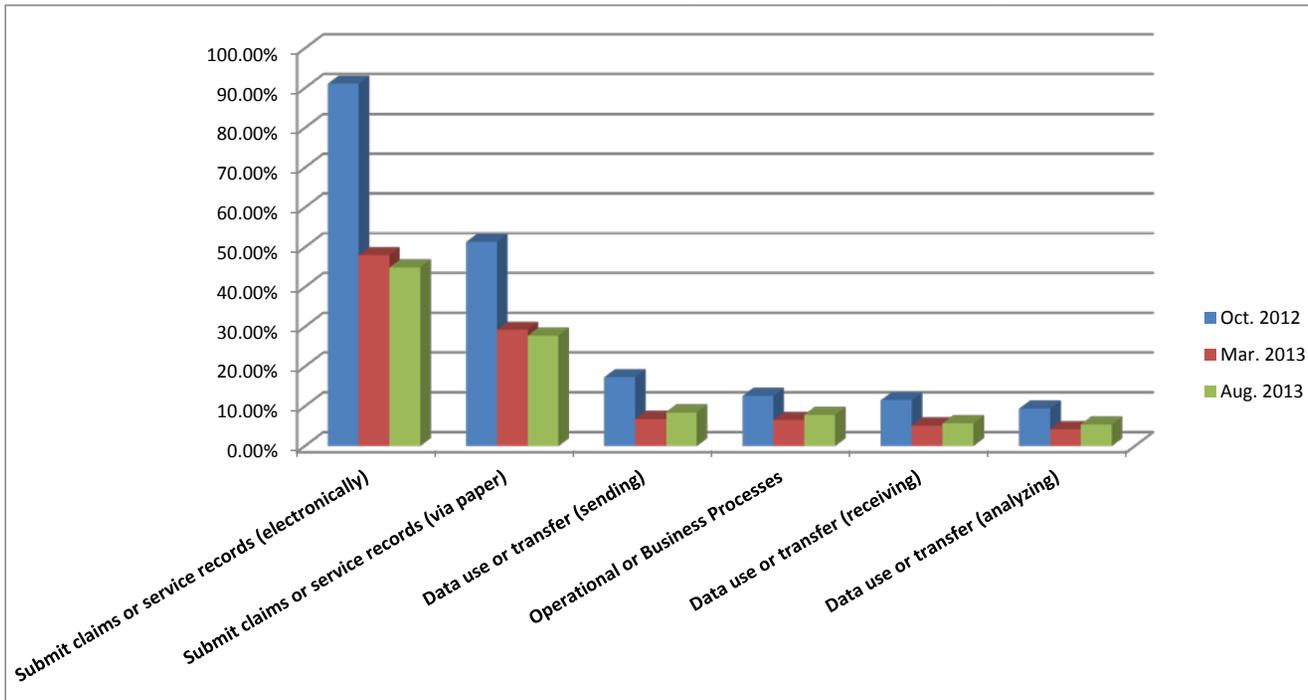
	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
1 - 10	63.20%	170	62.83%	448	61.23%	319
11 - 50	24.50%	66	23.28%	166	26.30%	137
51-100	6.30%	17	6.45%	46	5.76%	30
101-500	4.80%	13	5.75%	41	4.41%	23
501 or greater	1.10%	3	1.68%	12	2.30%	12
<b>TOTAL</b>		<b>269</b>		<b>713</b>		<b>521</b>
Answered this question		269		713		521
Skipped this question		16		68		46



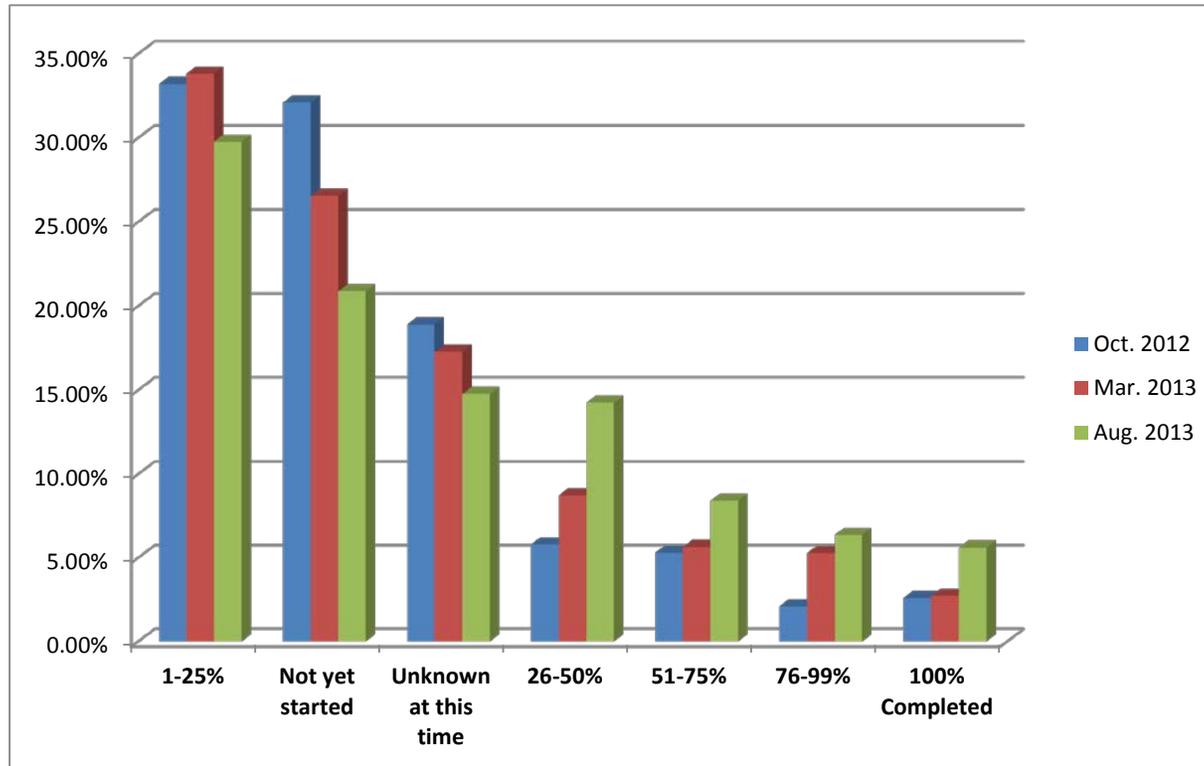
3. Do you use ICD-9 diagnosis or ICD-9 procedure codes in the work you currently do for the IME?	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
Yes (ICD-9 Diagnosis and Procedure Codes)	54.00%	149	49.45%	360	50.47%	271
Yes (ICD-9 Diagnosis Codes only)	30.10%	83	35.99%	262	35.01%	188
No. (If your answer to this question is "No", you do not need to finish the survey. Click on the "exit" button on the top right corner of this page to exit the survey. We thank you for taking the time to participate in this survey.)	12.30%	34	10.16%	74	9.87%	53
Yes (ICD-9 Procedure Codes only)	3.60%	10	4.40%	32	4.66%	25
<b>TOTAL</b>		<b>276</b>		<b>728</b>		<b>537</b>
Answered this question		276		728		728
Skipped this question		9		53		53



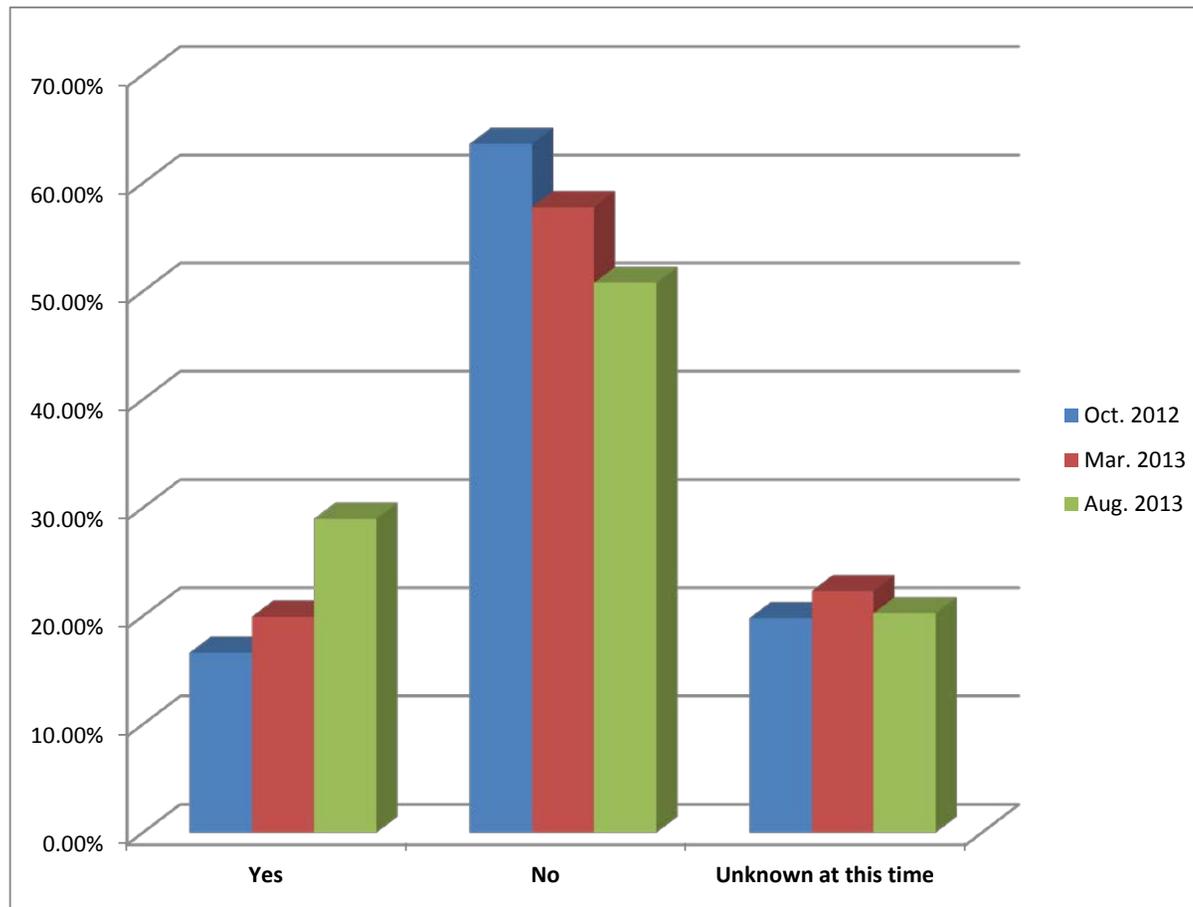
4. How do you currently use the ICD-9 diagnosis or ICD-9 procedure codes? (Check all that apply)	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
Submit claims or service records (electronically)	91.10%	174	47.97%	484	44.89%	356
Submit claims or service records (via paper)	51.30%	98	29.24%	295	27.74%	220
Data use or transfer (sending)	17.30%	33	6.84%	69	8.45%	67
Operational or Business Processes	12.60%	24	6.54%	66	7.82%	62
Data use or transfer (receiving)	11.50%	22	5.15%	52	5.67%	45
Data use or transfer (analyzing)	9.40%	18	4.26%	43	5.42%	43
Other answer		2		6		3
<b>TOTAL</b>		<b>369</b>		<b>1009</b>		<b>793</b>
Answered this question		191		553		393
Skipped this question		94		228		174



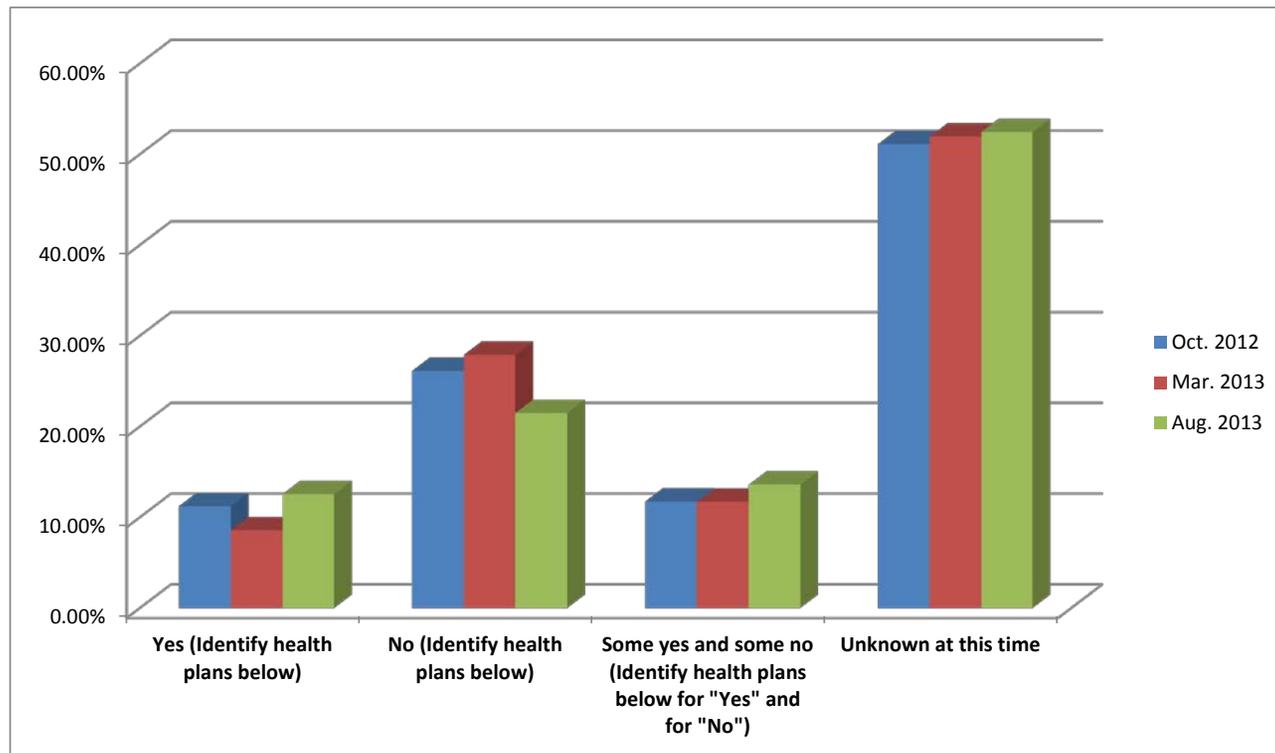
5. How complete is your planning for dedicating resources to ICD-10 implementation efforts?	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
1-25%	33.20%	63	33.82%	186	29.77%	117
Not yet started	32.10%	61	26.55%	146	20.87%	82
Unknown at this time	18.90%	36	17.27%	95	14.76%	58
26-50%	5.80%	11	8.73%	48	14.25%	56
51-75%	5.30%	10	5.64%	31	8.40%	33
76-99%	2.10%	4	5.27%	29	6.36%	25
100% Completed	2.60%	5	2.73%	15	5.60%	22
<b>TOTAL</b>		<b>190</b>		<b>550</b>		<b>393</b>
Answered this question		190		550		393
Skipped this question		95		231		174



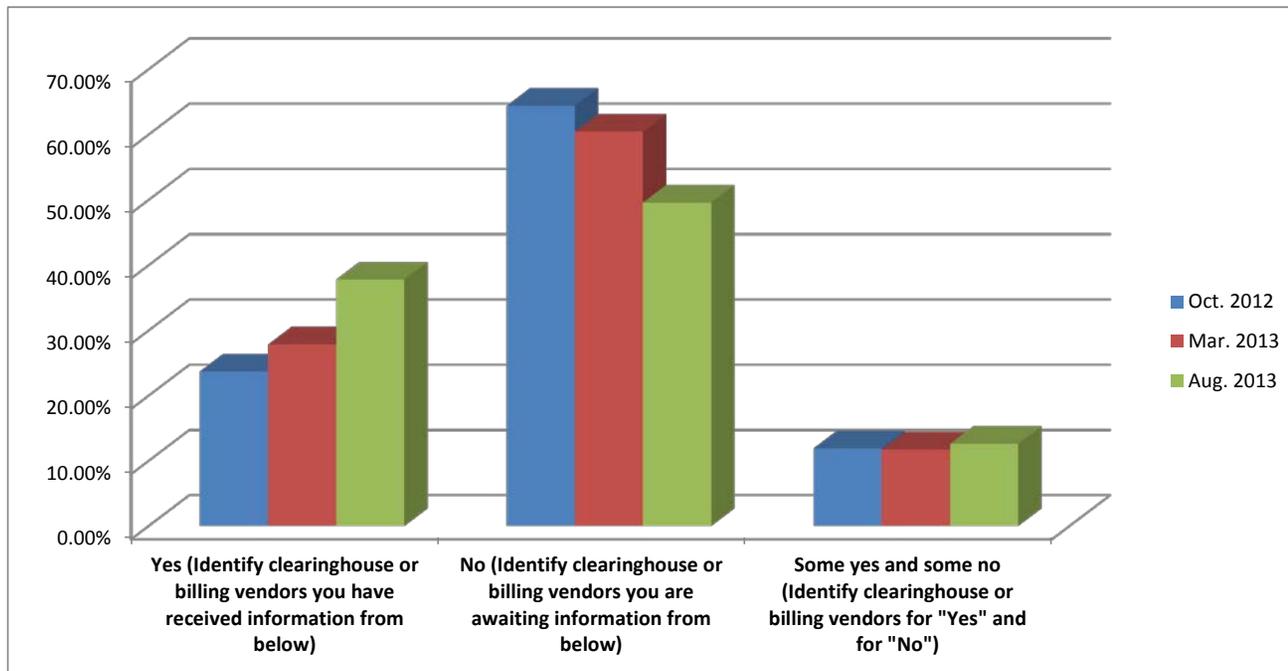
6. Have you developed an ICD-10 training plan for your organization?		October 2012 Survey		March 2013 Survey		August 2013 Survey	
		Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
Yes		16.60%	31	19.93%	109	28.97%	113
No		63.60%	119	57.77%	316	50.77%	198
Unknown at this time		19.80%	37	22.30%	122	20.26%	79
<b>TOTAL</b>			<b>187</b>		<b>547</b>		<b>390</b>
	Answered this question		<b>187</b>		<b>547</b>		<b>390</b>
	Skipped this question		<b>98</b>		<b>234</b>		<b>177</b>



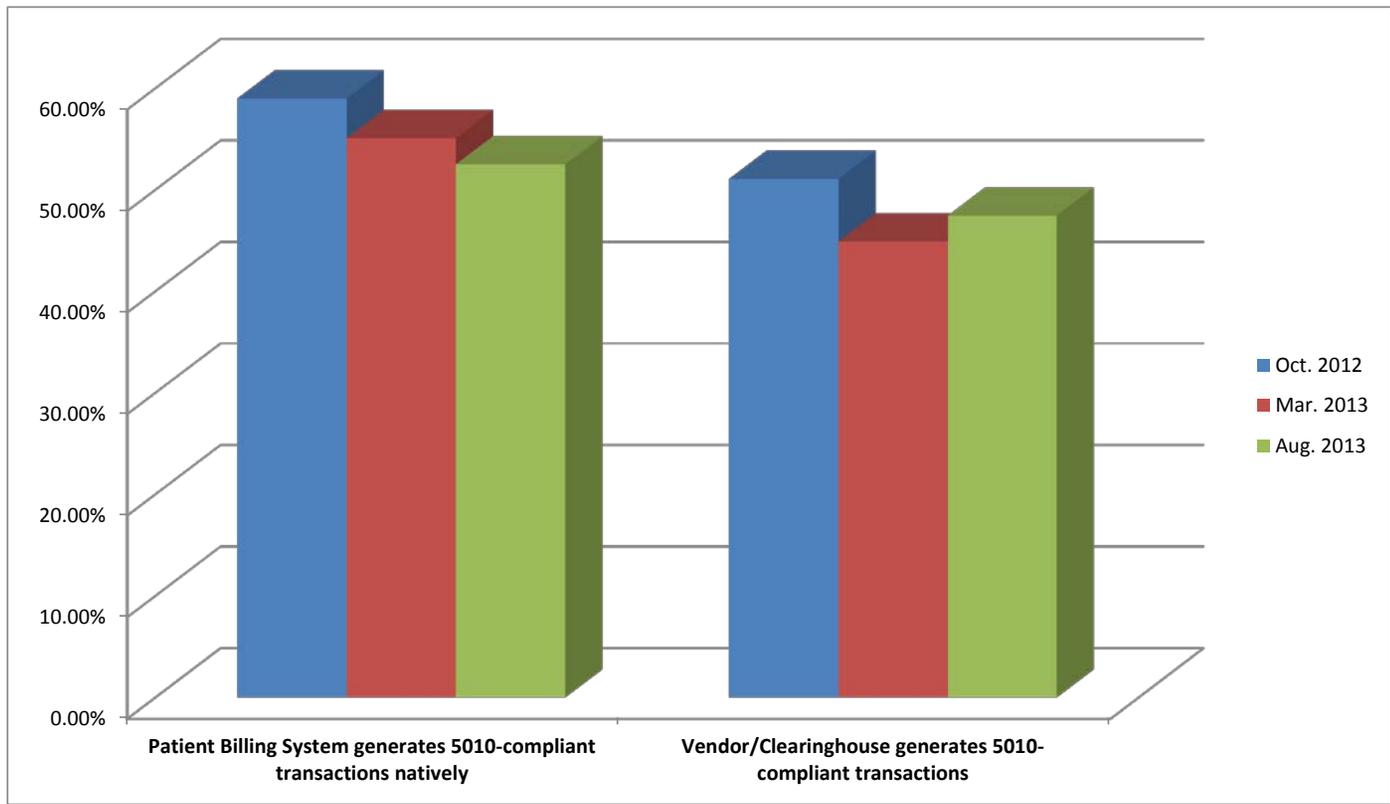
7. Have you received information from your major health plans on their ICD-10 plans?	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
Yes (Identify health plans below)	11.20%	21	8.56%	47	12.53%	49
No (Identify health plans below)	26.10%	49	27.87%	153	21.48%	84
Some yes and some no (Identify health plans below for "Yes" and for "No")	11.70%	22	11.66%	64	13.55%	53
Unknown at this time	51.10%	96	51.91%	285	52.43%	205
<b>TOTAL</b>		<b>188</b>		<b>549</b>		<b>391</b>
Answered this question		188		549		391
Skipped this question		97		232		176



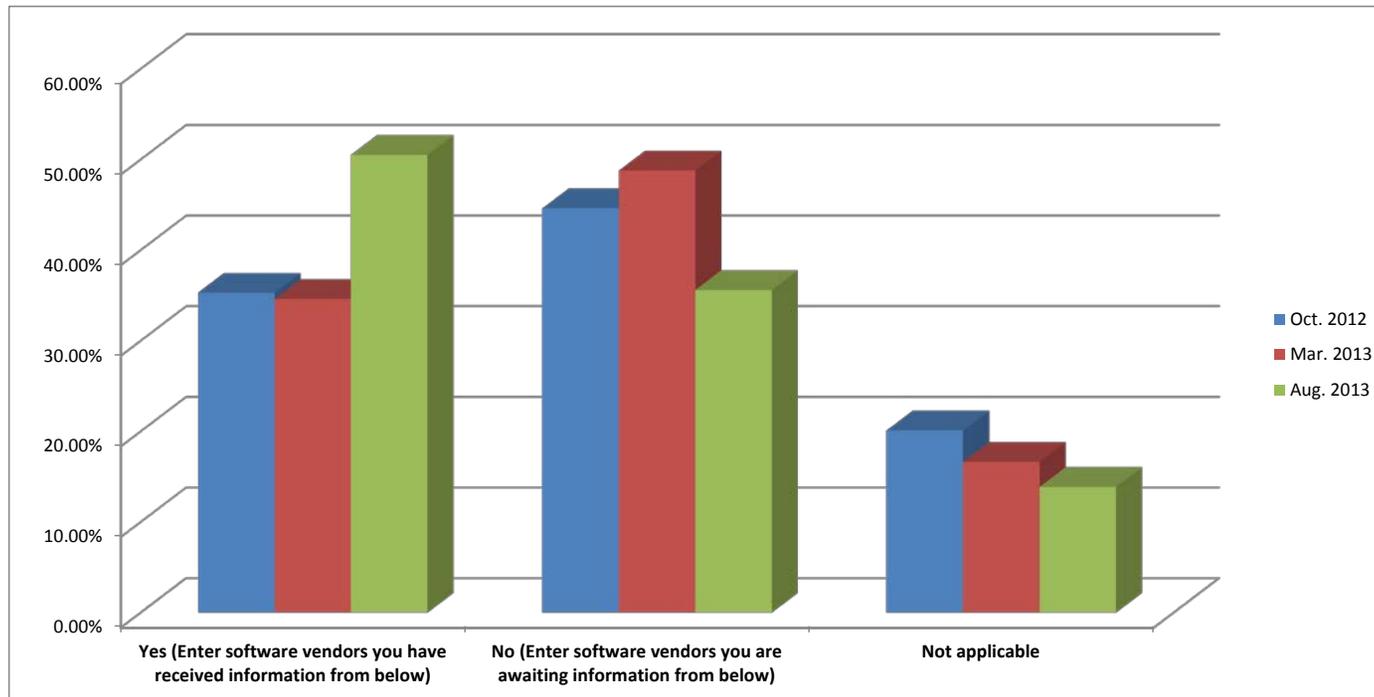
8. Have you received information from any of your clearinghouse or billing vendors on their ICD-10 plans?	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
Yes (Identify clearinghouse or billing vendors you have received information from below)	23.70%	42	27.83%	140	37.81%	138
No (Identify clearinghouse or billing vendors you are awaiting information from below)	64.40%	114	60.44%	304	49.59%	181
Some yes and some no (Identify clearinghouse or billing vendors for "Yes" and for "No")	11.90%	21	11.73%	59	12.60%	46
Please identify clearinghouse or billing vendor that have and/or have not provided information here		97		289		227
<b>TOTAL</b>		<b>177</b>		<b>503</b>		<b>365</b>
Answered this question		177		503		365
Skipped this question		108		278		202



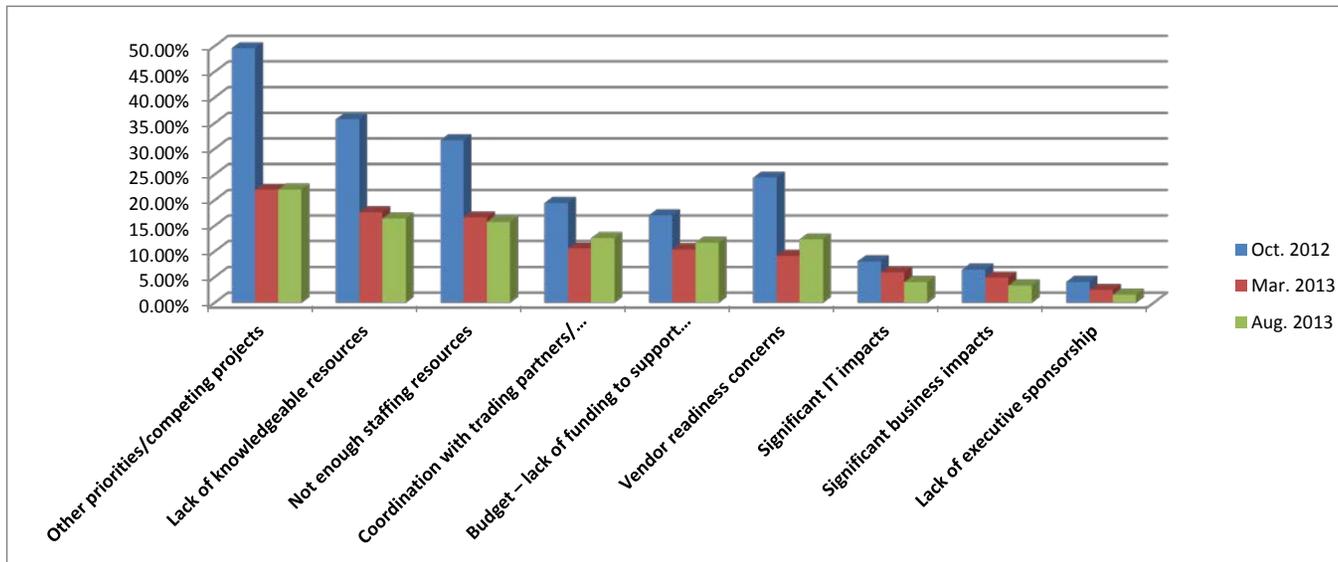
9. Does your Patient Billing System generate 5010-compliant transactions natively or is a vendor or clearinghouse creating the 5010-compliant transactions from a print output from the Patient Bill (CMS-1450 or CMS-1500) or other Patient Transaction?	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
Patient Billing System generates 5010-compliant transactions natively	59.00%	105	55.09%	276	52.53%	187
Vendor/Clearinghouse generates 5010-compliant transactions	51.10%	91	44.91%	225	47.47%	169
<b>TOTAL</b>		<b>196</b>		<b>501</b>		<b>356</b>
Answered this question		178		501		356
Skipped this question		107		280		211



10. Have you received information from any of your software vendors on their ICD-10 plans?	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
Yes (Enter software vendors you have received information from below)	35.30%	65	34.59%	183	50.52%	193
No (Enter software vendors you are awaiting information from below)	44.60%	82	48.77%	258	35.60%	136
Not applicable	20.10%	37	16.64%	88	13.87%	53
Please identify software vendors that have and/or have not provided information		#		286		286
<b>TOTAL</b>		<b>184</b>		<b>529</b>		<b>382</b>
Answered this question		178		529		382
Skipped this question		107		252		185

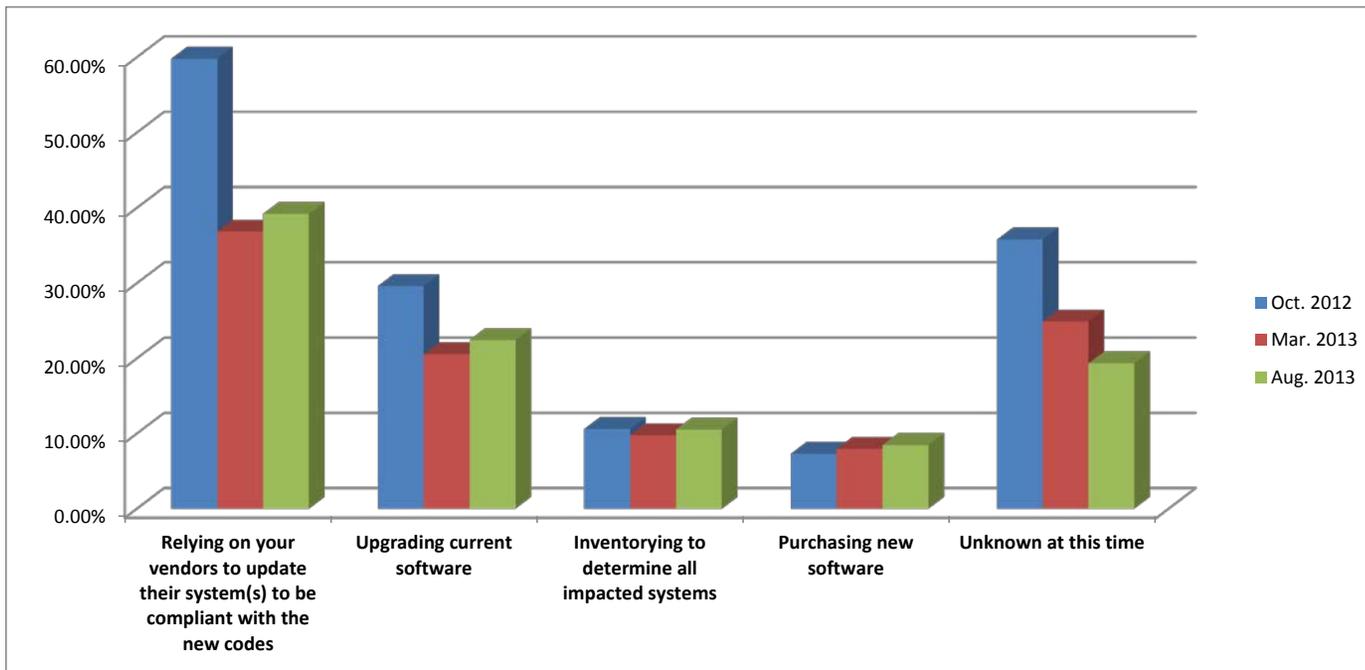


11. If you have not begun ICD-10 implementation planning activities, please provide the reasons/obstacles. (Check all that apply)	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
Other priorities/competing projects	49.60%	61	22.03%	156	22.07%	98
Lack of knowledgeable resources	35.80%	44	17.66%	125	16.44%	73
Not enough staffing resources	31.70%	39	16.67%	118	15.77%	70
Coordination with trading partners/ business associates	19.50%	24	10.59%	75	12.61%	56
Budget – lack of funding to support ICD-10 changes	17.10%	21	10.45%	74	11.71%	52
Vendor readiness concerns	24.40%	30	9.18%	65	12.39%	55
Significant IT impacts	8.10%	10	5.93%	42	4.05%	18
Significant business impacts	6.50%	8	4.94%	35	3.38%	15
Lack of executive sponsorship	4.10%	5	2.54%	18	1.58%	7
Other answer		27		75		35
<b>TOTAL</b>		<b>242</b>		<b>708</b>		<b>444</b>
Answered this question		123		350		225
Skipped this question		162		431		342

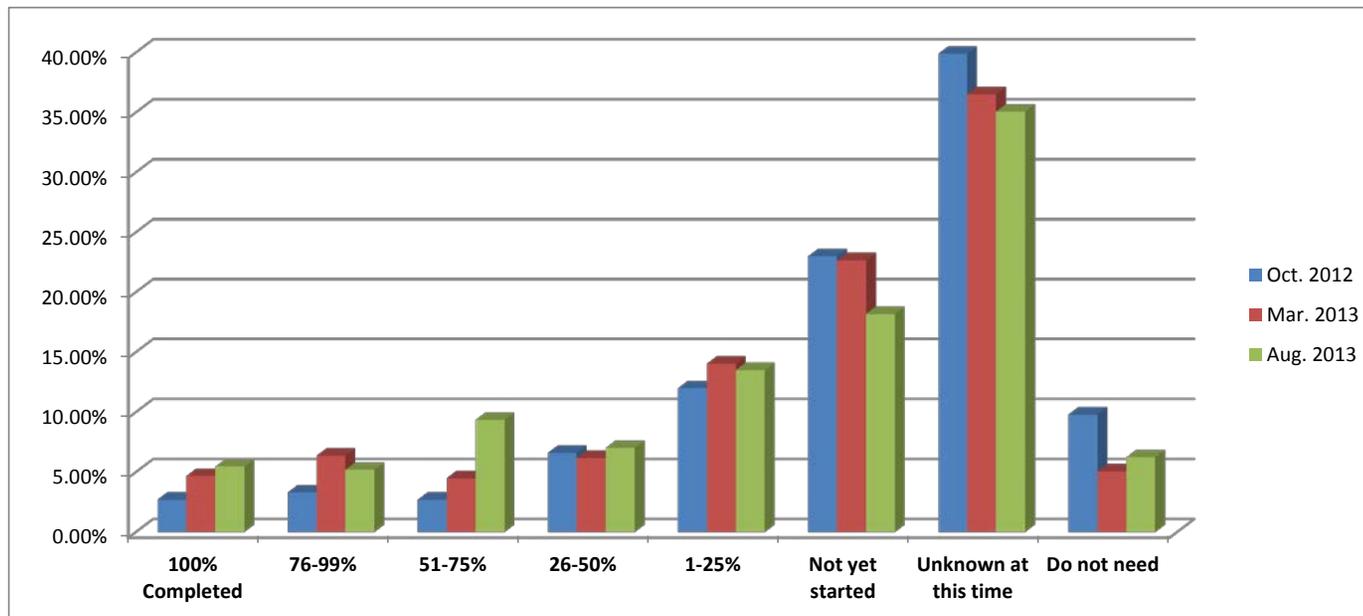


**12. Do your strategies for systems and/or software updates, design or solution development for your ICD-10 products and services include the following? (Check all that apply)**

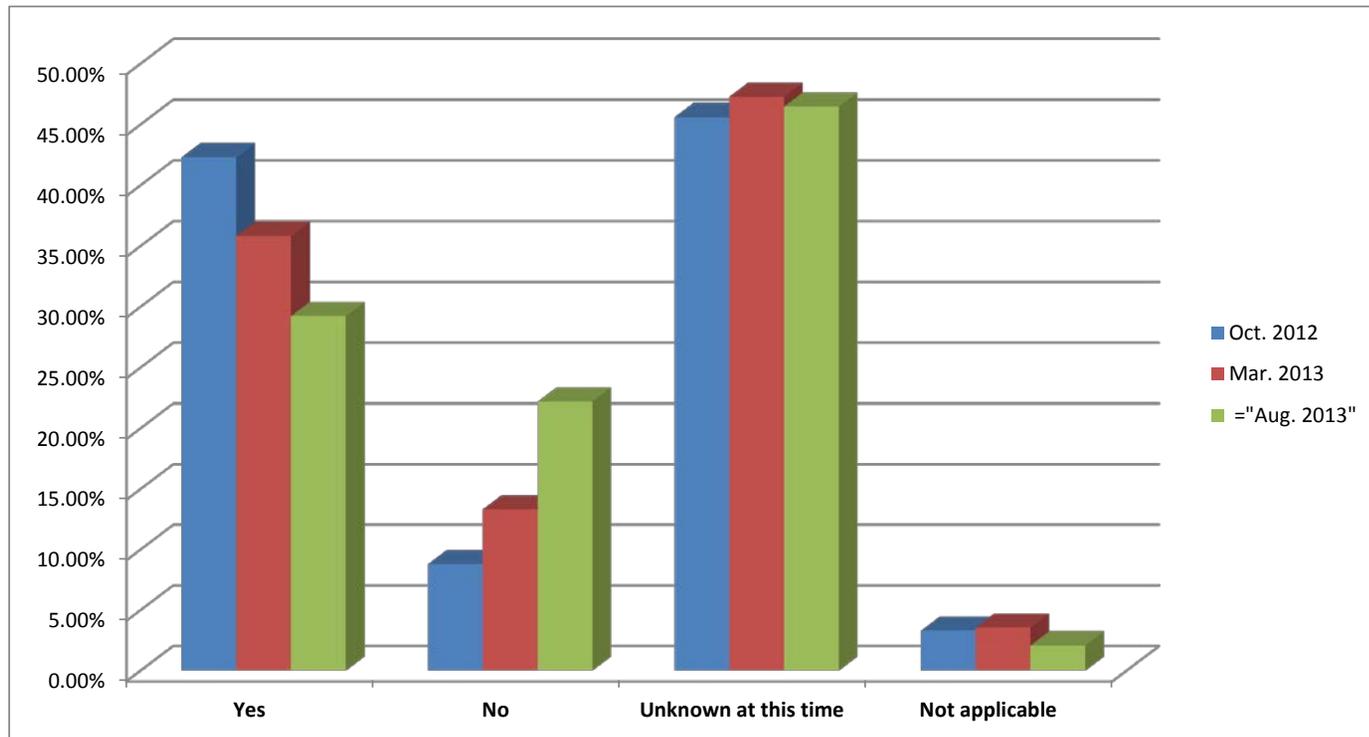
	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
Relying on your vendors to update their system(s) to be compliant with the new codes	59.80%	107	36.84%	287	39.21%	227
Upgrading current software	29.60%	53	20.54%	160	22.45%	130
Inventorying to determine all impacted systems	10.60%	19	9.76%	76	10.54%	61
Purchasing new software	7.30%	13	7.96%	62	8.46%	49
Unknown at this time	35.80%	64	24.90%	194	19.34%	112
Other answer		6		13		7
<b>TOTAL</b>		<b>256</b>		<b>779</b>		<b>579</b>
Answered this question		179		520		378
Skipped this question		106		261		189



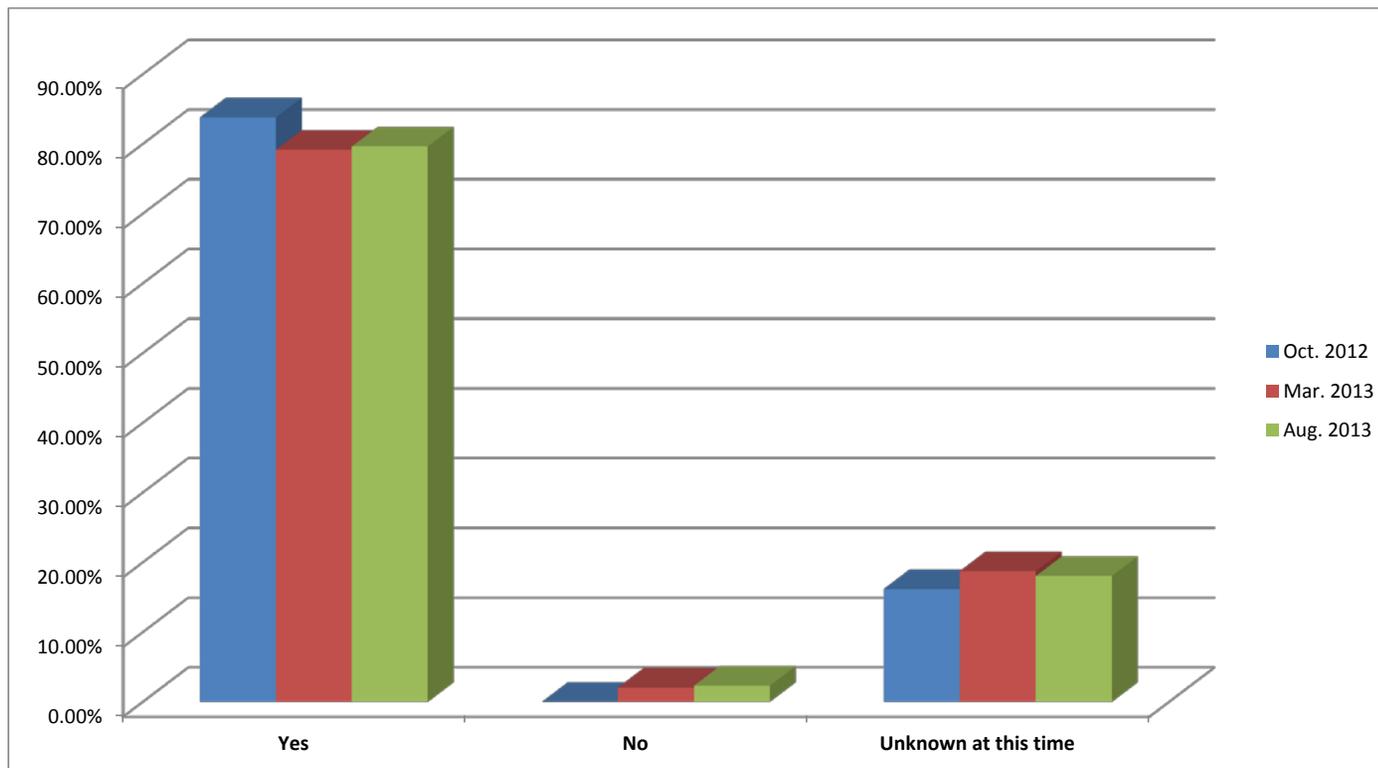
13. How complete are your IT systems update, design, and/or development plan(s) related to the ICD-10 transition?	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
100% Completed	2.70%	5	4.68%	25	5.45%	21
76-99%	3.30%	6	6.37%	34	5.19%	20
51-75%	2.70%	5	4.49%	24	9.35%	36
26-50%	6.60%	12	6.18%	33	7.01%	27
1-25%	12.00%	22	14.04%	75	13.51%	52
Not yet started	23.00%	42	22.66%	121	18.18%	70
Unknown at this time	39.90%	73	36.52%	195	35.06%	135
Do not need	9.80%	18	5.06%	27	6.23%	24
Other answer		0		0		0
<b>TOTAL</b>		<b>183</b>		<b>534</b>		<b>385</b>
Answered this question		183		534		385
Skipped this question		102		247		182



14. Do you expect to have all needed system changes completed for ICD-10 by October 1, 2013, in order to begin external testing (if required) with the IME? (external testing timeframe is October, 2013 - October, 2014).	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
Yes	42.30%	77	35.83%	191	29.24%	112
No	8.80%	16	13.32%	71	22.19%	85
Unknown at this time	45.60%	83	47.28%	252	46.48%	178
Not applicable	3.30%	6	3.56%	19	2.09%	8
Other answer		5		5		5
<b>TOTAL</b>		<b>182</b>		<b>533</b>		<b>383</b>
Answered this question		<b>182</b>		<b>533</b>		<b>383</b>
Skipped this question		<b>103</b>		<b>248</b>		<b>184</b>



15. Do you expect to be able to utilize ICD-10 codes on the current federal compliance date of October 1, 2014?	October 2012 Survey		March 2013 Survey		August 2013 Survey	
	Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
Yes	83.80%	155	79.17%	422	79.64%	309
No	0.00%	0	2.06%	11	2.32%	9
Unknown at this time	16.20%	30	18.76%	100	18.04%	70
Other answer		0		0		0
<b>TOTAL</b>		<b>185</b>		<b>533</b>		<b>388</b>
	Answered this question	185	533	388		
	Skipped this question	103	248	179		



16. Would you be interested in collaborating with the Iowa Medicaid Enterprise (IME) as a partner for external testing?		October 2012 Survey		March 2013 Survey		August 2013 Survey	
		Response Percent	Response Count	Response Percent	Response Count	Response Percent	Response Count
1	Yes	21.10%	39	16.42%	88	20.98%	81
2	No	27.60%	51	31.53%	169	34.20%	132
3	Unknown at this time	51.40%	95	52.05%	279	44.82%	173
	Other answer		0		0		0
<b>TOTAL</b>			<b>185</b>		<b>536</b>		<b>386</b>
		Answered this question	185	536	386		
		Skipped this question	100	245	181		

