



Iowa Department of Human Services Dependent Adult Abuse Dissemination Desk Aid

**clarifying instructions*

ACCESS TO DEPENDENT ADULT ABUSE INFORMATION	FOUNDED: Evaluation	FOUNDED SELF DCC AND CONFIRMED NOT PLACED ON REGISTRY: Assessment	UNFOUNDED: Assessment
Subjects			
Named victim, guardian/legal custodian, guardian's attorney, persons responsible for abuse; Attorneys for subjects, guardian ad litem	Provide report at conclusion and upon request with 470-2444 or 470-0643.	Provide report at conclusion and upon request with 470-2444 or 470-0643.	Provide report at conclusion and upon request with 470-2444 or 470-0643.
Persons Involved in an Assessment			
Health practitioner or mental health professional who is examining, attending or treating a dependent adult or whose consultation is requested by DHS	Provide report upon request with 470-0643.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.
DHS personnel responsible for abuse assessment, evaluation or record checks; DIA personnel responsible for abuse investigation	Provide report upon request.	Provide report upon request.	Provide report upon request.
DHS staff involved in certification or accreditation of agency or program providing services	Provide report upon request.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.
Law enforcement assisting in an assessment or evaluation, including DIA Fraud Investigation units	Provide report upon request.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.
Multidisciplinary teams approved by IDHS	Provide report upon convening of team with signature on 470-2328.	Provide report upon convening of team with signature on 470-2328.	Provide report upon convening of team with signature on 470-2328. No access after conclusion of assessment/evaluation.
Mandatory reporter who reported the individual case	Provide report upon request with 470-0643.	Provide report upon request with 470-0643.	Provide report upon request with 470-0643.
Each board specified under 147 to license, certify, and discipline health care professionals, Iowa Department of Public Health (IDPH)	Provide report upon request with 470-0643.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.
Providers of Care			
Person or agency responsible for care or supervision of an adult victim	Only as necessary, determined by DHS. Provide upon request with 470-0643.	Only as necessary, determined by DHS. Provide upon request with 470-0643.	Provide disposition upon request with subject *authorization on 470-3301.
Person or agency responsible for care or supervision of the alleged perpetrator	Only as necessary, determined by DHS. Provide upon request with 470-0643.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.
Licensing authority for facility providing care to dependent adult	Provide report upon request with 470-0643.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.
Employee or agent of IDHS responsible for registering or licensing or approving registration or licensing of a person or individual providing care to an adult and regulated by IDHS	Provide report upon request.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.
An employee of an agency requested by IDHS to provide case management or other services to the dependent adult	Only as necessary, as determined by DHS. Provide upon request with 470-0643.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.
Iowa Protection and Advocacy Agency if victim or perpetrator has a developmental disability or mental illness (Disability Rights Iowa) if adult is or has received services in a facility defined under 235E.1	Provide report upon request with 470-0643.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.
Judicial and Administrative Proceedings			
Court proceedings	Provide information per court order with a finding of necessity.	Provide information per court order with a finding of necessity.	Provide information per court order with a finding of necessity.
Court or administrative agency hearing for an appeal for correction or expungement of information	Provide report upon request.	Provide report upon request with 470-0643.	Provide report upon request with 470-0643.
Court or administrative agency making an unemployment compensation determination	Provide report upon request with 470-0643.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.
Department of Justice, Department of Justice for victim reparation	Provide report upon request with 470-0643 only for the purpose of filing a claim for reparation pursuant to sections 915.21 and 915.84.	Provide report upon request with 470-0643 only for the purpose of filing a claim for reparation pursuant to sections 915.21 and 915.84.	Provide disposition upon request with subject *authorization on 470-3301.
County attorney	Provide report at conclusion of assessment or evaluation.	Provide report at conclusion of assessment or evaluation.	Provide report at conclusion of assessment or evaluation.
<i>Attorney General's Office</i>			
Expert witness or witness who testifies in an appeal	Provide information per court order.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.

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Others			
Bona fide researchers (MOU and proposal on file, approved with Hoover)	Yes	No	No
Iowa KidsNet	Yes	Yes	Yes
The Office of the Substitute Decision Maker (if pertaining to legal services to be provided or being provided for a client).	Yes	No	No
ABUSE CHECKS	FOUNDED: Evaluation	FOUNDED SELF DCC AND CONFIRMED NOT REGISTERED: Assessment	Unfounded: Assessment
<ul style="list-style-type: none"> Hospital administrator under 135B or student nursing program under 152.5 Health care facility administrator or designee under 135C.33 Community mental health center State, city or county facility or program providing services or care to adults An employee of an agency requested by IDHS to provide case management or other services to a dependent adult Medicaid HCBS waiver provider agency administrator MH/ID/DD provider agency administrator under a county management plan Public employer personnel department Administrator of agency providing care to a dependent adult in another state Superintendent of a school district or superintendent's designee Department of Inspections and Appeals (for employment checks role) Nursing student programs Board of Educational Examiners Department of Aging Iowa Veterans Home (for volunteers) Nursing program approved by the Board of Nursing under 152.5 Administrator of a certified nurse's aide program Juvenile detention or shelter programs Governmental agency responsible for dependent adult protection Employer or prospective employer of a school bus driver 	Yes	No	No
Licensed child-placing agency responsible for an adoptive placement; Certified adoption investigator; Licensed child care centers	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.
Power of Attorney (medical, financial)	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.	Provide disposition upon request with subject *authorization on 470-3301.
Registry, DHS staff, agent, contractor for official duties, attorney representing DHS, Citizen Aide/Ombudsman, Long-term care resident's advocate	Yes	Yes	Yes

* Dissemination of any information that requires subject authorization is limited to **disposition ONLY**.

** Forms: 470-0643, *Request for Child and Dependent Adult Abuse Information*; 470-2444, *Adult Protective Notification: Founded; Confirmed Not Registered; Unfounded*; 470-3301, *Authorization for Release of Child and Dependent Adult Abuse Information*, must be signed by the alleged victim, legal guardian or alleged person responsible for abuse. **Any requestor needing authorization is limited to disposition data, which is only information as to whether or not the individual who consented is named in a founded report as having abused a dependent adult. All requests require verification of identity.**

*** "Necessary information" consists, at a minimum, of disposition data.

**** Reports can ONLY be Redisseminated to those who would already have independent access under 235B.6 and 235B.8.

Key: Who disseminates what?

Processed by Field	Processed by Central Registry
Unfounded	Out-of-state adult protective service agencies
Confirm, Not Placed on Registry	Law enforcement requests
Founded Self DCC which are Assessments and not on the Registry	Licensing Board requests
Requests made by subjects or subject's attorney	Service provider requests including HCBS or programs under 235E
Service requests such as worker seeking full report to consider family member as a caretaker	Any information sought for employment purposes

Any information disseminated by field requires notice to Central Registry on 470-0643 within 72 hours of dissemination.