

**Cass County
Mental Health Plan**

Management Plan Annual Report

December 1, 2013

ANNUAL REPORT

It is the intent of this report to summarize and measure the progress in the management of the MH/DD service system in Cass County. Cass County has developed a Mental Health Policy and Procedures and a Strategic Plan to provide services to the Mentally Ill, Chronically Mentally Ill, Intellectually Disabled and Developmentally Disabled.

MISSION STATEMENT

Cass County has adopted the mission to provide opportunities and services that would empower individuals, with MH/DD, to reach their fullest potential, in a cost-effective manner.

PROGRESS TOWARD GOALS AND OBJECTIVES FOR THE 2010 - 2012 MENTAL HEALTH PLAN – (THESE GOALS WERE EXTENDED TO INCLUDE FY13 DUE TO THE CHANGE TO REGIONALIZATION)

Goal #1: PARTICIPATE IN THE TESTING OF THE WEB BASED DATA TRACKING SYSTEM, COMMUNITY SERVICES NETWORK (CSN), BEING DEVELOPED THRU THE PARTNERSHIP OF IOWA DEPARTMENT OF HUMAN SERVICES (DHS) AND IOWA STATE ASSOCIATION OF COUNTIES (ISAC).

Progress toward Goal for FY 2013:

Cass County is up and running with the Iowa State Association of Counties computer tracking program, Community Services Network (CSN) Cass County has been using the system for over a year. The warehouse data reports submitted for FY 13, with this report and to the Iowa Department of Human Services (DHS), will be from the CSN program.

Goal # 2: CASS COUNTY WILL HELP EDUCATE THE COMMUNITY ON WHAT SERVICES ARE AVAILABLE TO INDIVIDUALS AND HOW TO ACCESS THOSE SERVICES.

Progress toward Goal for FY 2013:

Cass County has a County Resource Directory which was compiled thru a community collaboration including ISU Extension. This directory has been made available thru the Cass County, Iowa website. Printed copies are available from several sources including the Cass County CPC office.

Goal # 3: WORK WITH OTHER COUNTIES AND REGIONAL SERVICE PROVIDERS TO EXPAND EDUCATIONAL OPTIONS AVAILABLE REGIONALLY FOR STAFF OF LOCAL PROVIDERS IN THE MH/MR/DD/BI SERVICES SYSTEM.

Progress toward Goal for FY 2013:

Cass County hosted Mental Health First Aid on April 8 & 9, 2013, we had 30 attendees including individuals from providers, the community, law enforcement and fire departments who were trained on the principals of Mental Health First Aid.

On May 14, 2013 Cass County hosted Michael Walling's Social Security Benefits Training for approximately 30 individuals.

In FY 13 the CPC staff and provider agencies in the Cass County area have changed their focus to work toward regionalization as required by state law. Several staff of local agencies have been part of interim legislative workgroups at the state level. The Cass County Board of Supervisors and the CPC have participated in regional meetings and are prepositioned to go forward with a 9- county region to meet the requirements of regionalization in legislation passed in FY12. The Counties have signed a Letter of Intent to form a region and become operational by 7/1/2014. A draft of the 28E has been drawn up as well as a draft Organizational Chart, and a proposed budget. This has forwarded to the Iowa Department of Human Services. The newly formed region will be known as Southwest Iowa MHDD Region and includes: Fremont, Page, Montgomery, Mills, Pottawattamie, Shelby, Harrison and Monona. These 9 Counties already have a history of working together in several other 28E agreements. The Region will continue forward in the coming year to write the policies and procedures and put all of the elements in place to meet the needs of the MH/DD consumers that reside in the Region. A meeting was held 3/19/2013 with Cass County provider agencies to discuss how the movement toward regionalization would take place.

OVERVIEW OF PAST YEAR'S ACTIVITIES

The Cass County CPC office continued using the web-based data tracking services in CSN, thru the ISAC network.

Cass County continues to use the cost report system CRIS (County Rate Information System) thru ISAC (Iowa State Association of Counties). The local MH/MR/DD county providers use this cost report in analyzing costs and setting rates with Cass County for county funded services.

As the FY2013 started, Cass County adjusted to the budget that now only included property taxes which was capped statewide at \$47.28 per capita and pass thru monies for Case Management services (Cass County has traditionally subcontracted that service to Southwest Iowa Mental Health Center for 18+ years), and State Payment Program dollars. With the changes the County CPC

office is no longer was responsible for the non-federal share of Medicaid services and has been removed from the decision process in the ISIS system for Medicaid services.

Cass County began meeting in April of 2012 with Boards of Supervisors and CPCs of other counties in the surrounding areas to come to a decision as to what would constitute a region that would serve the population of Cass County in the coming regional structure. By April of 2013, Cass County and 8 other Counties signed and submitted a Letter of Intent to create a MHDD Region to the Iowa Department of Human Services. In May of 2013, a response letter was received requesting more information. The requested information was forwarded to the Iowa Department of Human Services and in July of 2013 a letter was received indicating that the proposed region has met all requirements as stated in Iowa Code 331.389 3 a-f. The Southwest Iowa MHDD Region includes Cass, Harrison, Monona, Page, Shelby, Fremont, Mills, Montgomery, and Pottawattamie Counties. The Region has been working on meeting requirements for forming a 28E, deciding on funding structure, deciding on organizational structure, and other decisions getting ready to become a region and be ready to begin operation starting July 1, 2014.

DOCUMENTATION OF STAKEHOLDER INVOLVEMENT

Stakeholders: Cass County Board of Supervisors
 Cass County enrolled consumers
 Cass County Providers
 Regional Providers
 Community Members

Cass County CPC meets weekly with the Board of Supervisors and brings any concerns to their attention from clients, providers and the community. If any clients, providers, or community members would like to voice their concerns to the Board of Supervisors, they are welcome to do so at the regularly scheduled meetings. They may also call, write or visit the CPC office. Cass County has either a Case Manager or the CPC staff attending enrolled consumers' staffings to participate in case planning. This information is then assessed and funding is considered according to the team's recommendations. Cass County meets yearly with each provider agency to negotiate rates and during those meetings discusses any issues and concerns. The CPC is available to all providers and will address their concerns throughout the year.

One member of the Board of Supervisors and the CPC attend the meetings of the Southwest Iowa Mental Health Center's Board and address issues with that board.

Cass County has been a participant with most providers with CRIS (County Rate Information System) for cost reports with most of the local county providers for several years. In FY2007 Cass County moved to using CRIS cost report to negotiate rate setting with Southwest Iowa Mental Health Center for fee-for- services. This has proved to be a useful tool to both the county and provider.

Cass County continues to assess all stakeholder input and manage the mental health system taking suggestions and issues into consideration.

ACTUAL PROVIDER NETWORK

Alegent Health – Psychiatric
The Mercy Center
PO Box 641130
Council Bluffs, IA 51503
(712)-328-6012

Abbe Center
1860 County Home Rd.
Marion, Iowa 52302
(319)-398-3534

C.A.R.E.
500 Opal St.
Afton, Iowa 50830
(641)-347-5611

Cass County Memorial Hospital
1501 East 10th St.
Atlantic, IA 50022
(712)-243-3250

CASS, Incorporated
1406 SW 7th
Atlantic, IA 50022
(712)-243-2668

Chatham Oaks, Inc.
4515 Melrose Ave.
Iowa City, Iowa 52246
(319)-887-2701

Clarinda Treatment Complex
Clarinda, IA 51632
(712)-542-2161

Concerned, Inc.
PO Box 47
1802 Industrial Parkway
Harlan, IA 51537
(712)-755-5834

Country Care Center Corp.
PO Box 351
Harlan, IA 51537-0351
(712)-744-3453

Eyerly-Ball CMHS
1301 Center St.
Des Moines, IA 50309
(515) 343-5181

Golden Circle Behavioral Health
945 – 19th St.
Des Moines, IA 50314
(515) 235-8843

Goodwill Industries
4900 N.E. 22nd St.
Des Moines, IA 50313
(515)-265-5323

Heartland Family Services
515 E. Broadway
Council Bluffs, IA 51503
(712)-322-1407

Hillcrest Family Services CMHC
200 Mercy Dr., Suite 200
Dubuque, Iowa 52001
(563)-582-0145

Ida Services, Inc.
PO Box 16
Battle Creek, IA 51006
(712)-365-4339

Jennie Edmundson Hospital
933 E. Pierce St.
Council Bluffs, IA 51503
(712)-328-6012

Midwest Opportunities
2005 U.S. Hwy 34
PO Box 47
Corning, IA 50841
(515)-322-4280

Myrtue Behavioral Health
1303 Garfield Ave.
Harlan, Iowa 51537
(712)-755-4302

New Hope Village
1211 E. 18th St.
Box 887
Carroll, IA 51401
(712)-792-5500

West Central Iowa Sheltered Workshop
415 S. 11th St.
Denison, IA 51442
(712)- 263-6141

Optimae Life Services
2201 W. Jefferson
Fairfield, Iowa 52556
(641)-472-1684

Partnership for Progress
d.b.a. Willow Heights
60191 Willow Street
Atlantic, IA. 50022
(712)-243-3411

Donna Ray
Mental Health Advocate
2163 – 190th St.
Greenfield, IA 50849
(641) 743-8289

REM Developmental Services, Inc.
300 W. Broadway, Suite 105
Council Bluffs, IA 51503
(712) 323-5459

Southwest Iowa Mental Health Center
1408 E. 10th St.
Atlantic, IA 50022
(712)-243-2606

Southwest Iowa Transit Agency
1501 SW 7th St.
Atlantic, IA 50022
(712)-243-4196

Sunshine Homes, Inc.
d.b.a. Park Place
1307 Sunnyside Lane
Atlantic, IA 50022
(712)-243-1213

St. Anthony Regional Hospital
311 S. Clark St.
Carroll, Iowa 51401
(712)-792-8239

Waubonsie Mental Health Center
PO Box 457
Clarinda, IA 51632
(712)- 542-2388

APPEALS, NUMBERS, TYPE, RESOLUTIONS

Cass County did not have any appeals filed in FY 2013.

QUALITY ASSURANCE IMPLEMENTATION, FINDINGS AND IMPACT OF PLAN

Cass County has seen a continued commitment from our local providers. The quality of services in Cass County continues to be assessed thru the interactions with individuals receiving funding for services, their families, providers, the Mental Health Advocate, and other parties interested in mental health services. Cass County receives the results of satisfaction surveys by providers including the Southwest Iowa Mental Health Center, Cass Incorporated, Case Management, Partnership 4 Progress, and the Cass County Memorial Hospital Behavioral Health Unit. Cass County reviews progress reports of the individuals receiving funding for services thru the mental health funds. The CPC office or a Case Manager reviews the reports and attends individual staffings at least annually, more often if there are changes needing addressed. During the staffing process discussions are routinely held about the individual's satisfaction with services.

It is the plan to continue to develop and implement methods and tools which will be used to monitor and evaluate the service system and outcomes as we move into the regional structure. The results of those tools will provide information for the county and region to plan for a better system. All of the information gathered from interactions with clients, their families, providers and any other party interested in the mental health system will continue to provide the basis for continuing development of the management system.

Cass County, over the last 13 – 18 years, has gathered information to improve the system. New services have been offered as solutions have been developed to fill service gaps. Thru this process we have funded two Drop-in Centers/ Social Centers, we have provided funding for provider training for WRAP (Wellness Recovery Action Planning), and we have funded clients to participate in WRAP classes, and we have helped find new transportation options for clients. The county has been looking for opportunities for the staff of our local providers to receive low or no cost training to help them with their jobs that can be accessed locally to keep the costs of transportation down for them and their employers. Cass County's local providers have historically been progressive and as new programs or funding streams or services have become available they have been willing learners and assist clients in accessing any new service or funding streams. We have a strong supported employment program in this county, which is rare for counties of our size.

Cass County has not had any formal appeals. If there has been any disagreement with decisions of the CPC an informal negotiation process has been used and so far individuals have been satisfied without the formal appeals process being used.

In FY13 the service system changed and the non-federal share of Medicaid was removed from our budgeting and expenditure systems, the budget only consisted of the ending fund balance from FY12, property taxes capped at \$47.28, State Payment Program money, and Case Management money which flowed thru the County to the program at Southwest Iowa Mental Health Center. The budget was \$2,285,950.

As we begin FY14 our challenges include discontinuing Legal Settlement and begin providing county funding according to Residency. Individuals will be served by the county in which they reside for county paid services. Much time in the last half of FY13 has been spent on identifying which individuals would become Cass County responsibility and which individuals would become another county's responsibility.

WAITING LIST INFORMATION

Cass County did not have a waiting list for funding in FY 2013.

ACTUAL SCOPE OF SERVICES

Unduplicated Count Report

Age	Account	Code	MI	CMI	MR	DD	Admin	CM	CPS	BI	Total
Adult	21374	Case Management - T19 Match/ Medicaid		7	34	4					45
Adult	22399	Services Management - Other		1							1
Adult	31350	Transportation - Cab		2	5	2					9
Adult	31354	Transportation - General		3	19	28	4				54
Adult	32322	Support Services - Home Management Services (include PERS)			1	1					2
Adult	32325	Support Services - Respite			5						5
Adult	32329	Support Services - Supported Community Living			18	17	2				37
Adult	32399	Support Services - Other			4						4
Adult	41305	Physiological Treatment - Outpatient		1							1
Adult	42305	Psychotherapeutic Treatment - Outpatient	160	42							202
Adult	43301	Evaluations (Diagnostic) NOT related to Commitments	3	3							6
Adult	50360	Voc/Day - Sheltered Workshop Services	3	11	9	3					26
Adult	50362	Voc/Day - Work Activity Services		10	25	2					37
Adult	50367	Voc/Day - Adult Day Care		1	1						2
Adult	50368	Voc/Day - Supported Employment Services		7	9	2					18
Adult	50369	Voc/Day - Enclave			2						2
Adult	50399	Voc/Day - Other Services		3	15	2					20
Adult	63329	Comm Based Settings (1-5 Bed) - Supported Community Living		1	17						18
Adult	64314	Comm Based Settings (6+ Beds) - RCF		16	1						17
Adult	64316	Comm Based Settings (6+ Beds) - RCF/PMI		4							4
Adult	64318	Comm Based Settings (6+ Beds) - ICF/MR			11						11
Adult	72319	State Hospital Schools - Inpatient per diem charges			1						1
Adult	73319	Other Priv./Public Hospitals - Inpatient per diem charges		5							5
Adult	74300	Commitment - Diagnostic Evaluations		1							1
Adult	74353	Commitment - Sheriff Transportation	3	17							20
Adult	74393	Commitment - Legal Representation	5	19							24
Child	42305	Psychotherapeutic Treatment - Outpatient	12								12
Child	74353	Commitment - Sheriff Transportation	1								1

Growth/Loss report

Disability Group	Children	Adult	Unduplicated Total	DG
Mental Illness	13	160	173	40
Mental Illness,Chronic Mental Illness	0	8	8	40,41
Mental Illness,Other Developmental Disabilities	0	1	1	40,43
Chronic Mental Illness	0	90	90	41
Chronic Mental Illness,Mental Retardation	0	1	1	41,42
Mental Retardation	0	62	62	42
Other Developmental Disabilities	0	5	5	43
Total	13	327	340	99

Persons served report

Disability Group	First Quarter	Second Quarter	Third Quarter	Fourth Quarter	Net Change
Mental Illness	88	100	74	104	16
Chronic Mental Illness	57	65	58	60	3
Mental Retardation	61	58	40	57	-4
Developmental Disabilities	6	5	4	4	-2
Administrative	0	0	0	0	0
Case Management	0	0	0	0	0
County Provided Service	0	0	0	0	0
Brain Injury	0	0	0	0	0
Total	212	228	176	225	13

Dollars by Chart of Accounts

Account	Code	Mental Illness	Chronic Mental Illness	Mental Retardation	Developmental Disability	Admin	CM	CPS	BrainInjury	Total
11260	Direct Admin - Stationary/Forms/General Office Supplies					\$2,792.56				\$2,792.56
11413	Direct Admin - Mileage & Other Travel Expenses					\$2,658.11				\$2,658.11
11414	Direct Admin - Telecommunications Services					\$1,483.16				\$1,483.16
11422	Direct Admin - Educational & Training Services					\$5,634.43				\$5,634.43
11444	Direct Admin - Office Equipment (Repair & Maintenance)					\$555.05				\$555.05
12422	Purchased Admin - Educational & Training Services					\$11,100.19				\$11,100.19
21374	Case Management - T19 Match/ Medicaid		\$3,551.15	\$7,414.23	\$1,013.96					\$11,979.34
21489	Case Management - Miscellaneous						\$403,893.14			\$403,893.14
22399	Services Management - Other		\$1,992.36							\$1,992.36
31950	Transportation - Cab		\$714.03	\$2,027.45	\$478.98					\$3,220.46
31354	Transportation - General	\$233.10	\$6,932.15	\$7,711.49	\$63.63					\$14,940.37
32322	Support Services - Home Management Services (include PERS)			\$56.63	\$49.44					\$106.07
32325	Support Services - Respite			\$2,436.08						\$2,436.08
32329	Support Services - Supported Community Living		\$35,626.83	\$27,279.23	\$2,569.28					\$65,475.34
32399	Support Services - Other			\$8,104.47						\$8,104.47
41305	Physiological Treatment - Outpatient		\$80.00							\$80.00
42305	Psychotherapeutic Treatment - Outpatient	\$154,950.42	\$49,183.18							\$204,133.60
42366	Psychotherapeutic Treatment - Social Support Services	\$23,840.68								\$23,840.68
42399	Psychotherapeutic Treatment - Other	\$10,000.00								\$10,000.00
43301	Evaluations (Diagnostic) NOT related to Commitments	\$5,595.00	\$817.46							\$6,412.46
50360	Voc/Day - Sheltered Workshop Services	\$3,702.66	\$35,175.27	\$40,505.75	\$4,766.19					\$84,149.87
50362	Voc/Day - Work Activity Services		\$33,668.31	\$61,912.81	\$6,201.17					\$101,782.29
50367	Voc/Day - Adult Day Care		\$4,215.00	\$5.48						\$4,220.48
50368	Voc/Day - Supported Employment Services		\$4,308.26	\$7,558.39	\$753.61					\$12,620.26
50369	Voc/Day - Enclave			\$281.65						\$281.65
50399	Voc/Day - Other Services		\$2,336.61	\$23,922.08	\$981.86					\$27,240.55
63329	Comm Based Settings (1-5 Bed) - Supported Community Living		\$2,903.58	\$117,928.70						\$120,832.28
64314	Comm Based Settings (6+ Beds) - RCF		\$140,346.81	\$7,954.47						\$148,301.28
64316	Comm Based Settings (6+ Beds) - RCF/PMI		\$28,619.46							\$28,619.46
64318	Comm Based Settings (6+ Beds) - ICF/MR			\$173,703.87						\$173,703.87
72319	State Hospital Schools - Inpatient per diem charges			\$11,444.16						\$11,444.16
73319	Other Priv./Public Hospitals - Inpatient per diem charges		\$12,383.73							\$12,383.73
74300	Commitment - Diagnostic Evaluations		\$246.00							\$246.00
74353	Commitment - Sheriff Transportation	\$425.00	\$1,300.49							\$1,725.49
74393	Commitment - Legal Representation	\$540.00	\$2,539.60							\$3,079.60
74395	Commitment - Mental Health Advocates	\$3,488.10								\$3,488.10
Total	County	\$202,774.96	\$367,140.30	\$500,246.94	\$16,878.12	\$24,223.50	\$403,893.14			\$1,515,156.96