

# COUNTY SOCIAL SERVICES

## REGIONAL MENTAL HEALTH AND DISABILITY SERVICES MANAGEMENT PLAN



## ANNUAL SERVICE AND BUDGET PLAN

FISCAL YEAR 2017

*County Social Services increases community inclusion  
and capacity through nurturing partnerships.*

Serving these Iowa counties:

Allamakee, Butler, Black Hawk, Cerro Gordo, Chickasaw, Clayton, Emmet, Fayette,  
Floyd, Grundy, Hancock, Howard, Humboldt, Kossuth, Mitchell, Pocahontas,  
Tama, Webster, Winnebago, Winneshiek, Worth, Wright.

[www.countysocialservices.org](http://www.countysocialservices.org)

---

## TABLE OF CONTENTS

---

a. Introduction.....	3
b. Local Access Points.....	3
c. Targeted Case Management.....	5
d. Crisis Planning.....	7
e. Scope of Services & Budget.....	9
f. Financial Forecasting Measures.....	19
g. Provider Reimbursement Provisions.....	20

---

## INTRODUCTION

---

County Social Services (CSS) was formed under Iowa Code Chapter 28E to create a mental health and disability service region in compliance with Iowa Code 331.390.

In compliance with IAC 441-25, the CSS Management Plan includes three parts: *Annual Service and Budget Plan, Annual Report, and Policies and Procedures Manual*. The *Annual Service and Budget Plan* includes the services to be provided and the cost of those services, local access points, Targeted Case Management agencies, a plan for ensuring effective crisis prevention and a description of the scope of services, projection of need and cost to meet the need, and provider reimbursement provisions.

The Annual Service and Budget Plan has been approved by the County Social Services Governing Board and is subject to approval by the Director of Human Services. The CSS Plan is available in each local CSS office, and on the CSS and DHS websites.

---

## LOCAL ACCESS POINTS

---

County Social Services has designated the following access points throughout the region that will provide: information, referral and access that include assistance with completion of eligibility application; access to scheduling of standardized assessments; enrollment into the applicable level of services; follow up to referred services including case management and/or health homes.

Access Point	Address	Phone
LifeLong Links	This 800# will direct your concern to the nearest County Social Services physical location.	866-468-7887
County Social Services	24/7 number for all CSS offices	855-266-1257
County Social Services Local County Offices		
Allamakee County	110 Allamakee Street Waukon, IA 52172	563-568-6227
Black Hawk County	1407 Independence Ave. Waterloo, IA 50703	319-292-2272
Butler County	315 N. Main Street Allison, IA 50602	319-267-2663
Cerro Gordo County	3 4 <sup>th</sup> Street NE Mason City, IA 50401	641-421-3145
Chickasaw County	24 N. Chestnut New Hampton, IA 50659	641-394-3426
Clayton County	600 Gunder Rd. NE, Ste 6 Elkader, IA 52043	563-245-1865
Emmet County	609 1st Ave N, Ste. 5 Estherville, IA 51334	712-362-2452
Fayette County	114 N. Vine Street West Union, IA 52175	563-422-5047

Floyd County	1206 S. Main St., Ste D Charles City, IA 50616	641-257-6363
Grundy County	704 H Avenue Grundy Center, IA 50638	319-824-6779
Hancock, Winnebago and Worth Counties	216 S. Clark Street Forest City, IA 50436	641-585-2340
Howard County	205 2nd Street E Cresco, IA 52136	563-547-9207
Humboldt County	203 Main Street Dakota City, IA 50529	515-332-5205
Kossuth County	109 W. State Street Algona, IA 50511	515-295-9595
Mitchell County	415 Pleasant Street Osage, IA 50461	641-832-2615
Pocahontas County	23 3rd Ave NE Pocahontas, IA 50574	712-335-3269
Tama County	211 W. State Street Toledo, IA 52342	641-484-4191
Webster County	732 1st Ave S Fort Dodge, IA 50501	515-573-1485
Winneshiek County	204 W. Broadway St. Decorah, IA 52101	563-387-4144
Wright County	120 1st Ave NW Clarion, IA 50525	515-532-3309
<b>Designated Mental Health Center Access Points</b>		
Abbe Center for Community Mental Health	129 S. Vine St. West Union, IA 52175	563-422-5344
Berryhill Center for Mental Health	720 S. Kenyon Rd. Fort Dodge, IA 50501	800-482-8305
Black Hawk-Grundy Mental Health Center	3251 West 9th St. Waterloo, IA 50702	319-234-2893
Center Associates	1309 S. Broadway Toledo, IA 52342	800-896-2055
Northeast Iowa Behavioral Health	905 Montgomery St. Decorah, IA 52101	800-400-8923
Pathways Behavioral Services	111 10th St. SW Waverly, IA 50677-0114	800-879-1372
Seasons Center for Behavioral Health	201 E. 11th Street Spencer, IA 51301	800-242-5101
WellSource Health	235 S. Eisenhower Ave. Mason City, IA 50401	800-700-4692

---

## TARGETED CASE MANAGEMENT

---

County Social Services shall offer access to cost effective, evidence based, conflict free Targeted Case Management as described in IAC 441-25.21(1G). Targeted Case Management agencies serving the County Social Services region must be accredited by the Department of Human Services and Case Managers must meet the qualifications as defined in IAC 441.

Targeted Case Management and Service Coordination Services shall meet the following expectations:

- Performance and outcome measures relating to the safety, work performance, and community residency of the individuals receiving the service.
- Standards including but not limited to social history, assessment, service planning, incident reporting, crisis planning, coordination, and monitoring for individuals receiving the services.
- Methodologies for complying with the requirements of sub rule 441-25.21 g, which may include the use of electronic record keeping and remote or internet based training.

County Social Services currently has contracts with all three Managed Care Organizations to provide Targeted Case Management through at least part of FY2017. We intend to continue to provide this service for as long as the MCOs will contract with us to do so.

The region’s Case Management providers are chosen by the County Social Services Governing Board. The following agencies are contracted to provide TCM services in the CSS region:

Agency	Address	Phone	County
County Social Services Integrated Care Management	110 Allamakee Street Waukon, IA 52172	563-568-6227	Allamakee County
	315 N. Main Street Allison, IA 50602	319-267-2663	Butler County
	3 4 <sup>th</sup> Street NE Mason City, IA 50401	641-421-3145	Cerro Gordo County
	24 N. Chestnut New Hampton, IA 50659	641-394-3426	Chickasaw County
	609 1st Ave N, Ste. 5 Estherville, IA 51334	712-362-2452	Emmet County
	114 N. Vine Street West Union, IA 52175	563-422-5047	Fayette County
	1206 S. Main St., Ste D Charles City, IA 50616	641-257-6363	Floyd County
	704 H Avenue Grundy Center, IA 50638	319-824-6779	Grundy County
	205 2nd Street E Cresco, IA 52136	563-547-9207	Howard County
	203 Main Street Dakota City, IA 50529	515-332-5205	Humboldt County
	415 Pleasant Street Osage, IA 50461	641-832-2615	Mitchell County

	211 W. State Street Toledo, IA 52342	641-484-4191	Tama County
	732 1st Ave S Fort Dodge, IA 50501	515-573-1485	Webster County
	120 1st Ave NW Clarion, IA 50525	515-532-3309	Wright County
Kossuth County Case Management	109 W. State Street Algona, IA 50511	515-295-7007	Kossuth County
WHW County Case Management	216 S. Clark Street Forest City, IA 50436	641-585-2340	Hancock, Winnebago and Worth Counties
DHS Targeted Case Management	1407 Independence Ave. Waterloo, IA 50703	319-232-0477	Black Hawk County
	600 Gunder Rd. NE, Ste. 6 Elkader, IA 52043	800-397-0477	Clayton County
	22 N. Georgia Ave., Ste 204 Mason City, IA 50401	641-422-1217	Cerro Gordo County
	515 Court Rockwell City, IA 50579	712-297-5163	Pocahontas County
	204 W. Broadway St. Decorah, IA 52101	563-382-2867	Winneshiek County

---

## CRISIS PLANNING

---

County Social Services provides an array of supports and services available to any resident in crisis who does not require medical emergency services. These services are intended to intervene in a timely fashion to help individuals through an acute episode by developing a recovery plan and services that best meet their needs. County Social Services works with individuals to prepare, plan and prevent crises. When prevention is unattainable, County Social Services intervenes and defuses the crisis by providing quick, effective and therapeutic support during the situation. County Social Services follows up with the individual or provider as needed to offer guidance with any long-term effects of the crisis.

### **PREVENTION**

Sound crisis planning begins with prevention. County Social Services will make an annual per capita allocation available to each local county office for prevention dollars in their community. The allocation will be equitably allocated across the region and target education and early intervention with schools, caregivers and families to reduce the impact of mental illness on individuals, families and communities. Mental Health First Aid (MHFA) training is also offered for all communities within our region. MHFA classes may be requested by calling the County Social Services Contract Manager at 319-292-2261.

### **CRISIS RESPONSE**

The following designated Community Mental Health Centers (CMHCs) are committed to responding to individuals who present in crisis to their Center during regular business hours at the locations below. These CMHCs each have a 24/7 answering service that answers calls and connects an individual to a provider within one hour. The provider will complete a phone evaluation and arrange follow up assistance. In all cases of medical emergency, an individual should call 911.

<b>County</b>	<b>Provider</b>	<b>Location</b>	<b>Phone</b>
Fayette	Abbe Center for Community Mental Health	129 S. Vine St. West Union, IA 52175	563-422-5344
Humboldt, Kossuth, Pocahontas, Webster, Wright	Berryhill Center for Mental Health	720 S. Kenyon Rd. Fort Dodge, IA 50501	800-482-8305
Black Hawk, Grundy	Black Hawk-Grundy Mental Health Center	3251 West 9th St. Waterloo, IA 50702	319-234-2893 800-879-1372
Tama	Center Associates	1309 S. Broadway Toledo, IA 52342	800-896-2055
Allamakee, Clayton, Howard, Winneshiek	Northeast Iowa Behavioral Health	905 Montgomery St. Decorah, IA 52101	800-400-8923
Butler, Chickasaw	Pathways Behavioral Services	111 10th St. SW Waverly, IA 50677-0114	800-879-1372
Emmet	Seasons Center for Behavioral Health	201 E. 11th Street Spencer, IA 51301	800-242-5101
Cerro Gordo, Floyd, Hancock, Mitchell, Winnebago, Worth	WellSource Health	235 S. Eisenhower Ave. Mason City, IA 50401	800-700-4692

LifeLong Links will staff our 24/7 crisis line beginning July 1, 2016, which is available by calling 1-866-468-7887. LifeLong Links will evaluate each situation and route it through the most appropriate intervention.

County Social Services will also staff a Triage Team available for consultation and direction. Local emergency rooms, mental health centers, law enforcement, community providers, and family members may refer individuals to the Triage Team by calling LifeLong Links. The experienced team will be educated in Trauma-Informed Care and able to effectively and calmly assess the individual in crisis. The Team will then determine the most appropriate level of intervention and ensure the individual is responded to and the crisis is resolved. If assessed that the appropriate level of intervention is immediate access to crisis stabilization, the team will complete the referral process and assist the individual in getting to the nearest Stabilization Center in the Region.

Regional Crisis Center	Phone: 319-291-2455 1440 W. Dunkerton Road Waterloo, Iowa 50703
Community and Family Resources (CFR)	Phone: 515-576-7261 726 S 17th St. Fort Dodge, IA 50501

If an individual in crisis requires involuntary admission, the Triage Team will access, and direct law enforcement to, the Regional Subacute Crisis Center where the individual will be medically screened and admitted until their court-ordered hearing, which would be held at the Subacute facility.

Regional Subacute Crisis Center	Phone: 319-291-2455 1440 W. Dunkerton Road Waterloo, Iowa 50703
---------------------------------	---

If crisis intervention can be effective in the community, the Triage Team may dispatch the mobilized I-START (Systematic, Therapeutic, Assessment, Resources, and Treatment) team to respond to the crisis. The I-START team will successfully resolve the crisis by assisting the individual and/or family members, providers and community supporters by offering an interdisciplinary team of professional clinical consultants. If there is no safe alternative found the I-START team will refer to the next appropriate level of intervention by consulting the individual’s team. County Social Services will continue to work with our local service providers to build capacity for crisis stabilization in the community by offering training and consultation to strengthen the provider’s abilities to accept individuals with more challenging behaviors in the community.

County Social Services also works with our region’s children’s shelter service providers in order to provide crisis services for children.

## SCOPE OF SERVICES AND BUDGET

Through the annual budgeting process, County Social Services’ partners, governing board and stakeholders identify core disability service needs in the region, as well as needs that may reach beyond the core services but are instrumental in providing effective and adequate services to individuals with disabilities. Quarterly stakeholder meetings are held in four defined quadrants of the region in order to solicit input on new initiatives, as well as feedback on identified gaps in, or barriers to, services. County Social Services will allow an eligible individual’s resources to exceed the resource guidelines set forth in Iowa Code. We will allow resources up to \$12,000 for an individual and \$13,000 for a multi-person household, which match the current resource limitations for the Iowa Medicaid for Employed Persons with Disabilities program.

Access standards set forth in Iowa Administrative Code 441-25.3(331) are being met through Core and Additional Core service domains. Core service domains, and the services within these domains, are identified in the chart below. Additional Core service domains currently being funded are also identified. Other services in this area will be implemented as funds and service providers are available. Additional services funded, which are not Core Service or Additional Core Service Domains are also identified. For all services, County Social Services is the funder of last resort. Additional funding sources are defined in the chart.

Service	<u>Service Description</u>	Priority Population (MI & ID)	Additional Populations (BI & DD)	FY2017 Proposed Budget	Additional Funding Sources
<b>CORE DOMAIN: TREATMENT</b>					
Assessment & Evaluation (non-crisis) 43301	The clinical review by a mental health professional of the current functioning of the individual using the service in regard to the individual's situation, needs, strengths, abilities, desires and goals to determine the appropriate level of care.	x	x	\$ 10,000	Medicaid or other insurance
Outpatient Therapy 42305	Evaluation and treatment services provided on an ambulatory basis for the target population. Outpatient services include psychiatric evaluations, medication management, and individual, family, and group therapy.	x	x	\$ 550,000	Medicaid or other insurance
Medication Prescribing & Management 42306	Services with the individual present to determine how the medication is affecting the individual; determining any adverse drug effect, proper dosage; monitoring effectiveness; reviewing lab reports and activities pursuant to prescriber orders.	x	x	\$ 25,000	Medicaid or other insurance
Mental health inpatient treatment 71319; 73319	24-hour settings that provide services to treat acute psychiatric conditions. Primary goal is to provide a comprehensive evaluation, rapidly stabilize symptoms, address health and safety needs and develop a comprehensive and appropriate discharge plan.	x	x	\$ 800,000	Medicaid or other insurance

<b>CORE DOMAIN: BASIC CRISIS RESPONSE</b>					
Personal Emergency Response System 32322	An electronic device connected to a 24-hour staffed system which allows the individual to access assistance in the event of an emergency.	x	x	\$ 6,500	Medicaid or other insurance
Crisis Evaluation 44301	Process used with an individual to collect information related to the individual's history and needs, strengths, and abilities in order to determine appropriate services or referral during an acute crisis episode. This includes crisis screening and evaluation as defined in 441.24.10 (225C).	x	x	\$ 450,000	Medicaid or other insurance
24 Hour Access to Crisis Response 44305	Short term individualized mental health services following a crisis screening or assessment, which are designed to restore the individual to prior functional level.	x	x	\$ 75,000	Medicaid or other insurance
<b>CORE DOMAIN: SUPPORT FOR COMMUNITY LIVING</b>					
Home Health Aid 32320	Unskilled medical services which provide direct personal care. May include assistance with activities of daily living, such as helping the recipient to bathe, get in and out of bed, care for hair and teeth, exercise, and take medications specifically ordered by the physician.	x	x	\$ 115,000	Medicaid
Respite 32325	Temporary period of relief and support for individuals and their families provided in a variety of settings. The intent is to provide a safe environment with staff assistance for individuals who lack an adequate support system to address current issues related to a disability. Respite may be for a defined period of time; it is either planned or provided in response to a crisis.	x	x	\$ 25,000	Medicaid
Home & Vehicle Modifications 32328	Physical modifications to the consumer's home environment and/or vehicle which are necessary to provide for the health, welfare, and safety of the consumer, and which enable the consumer to function with greater independence in the home or vehicle.	x	x	\$ 1,000	Medicaid
Supported Community Living 32329	Services provided in a noninstitutional setting to adult persons with mental illness, an intellectual disability, or developmental disability to meet the person's daily living needs.	x	x	\$ 1,364,000	Medicaid
<b>CORE DOMAIN: SUPPORT FOR EMPLOYMENT</b>					
Prevocational Services 50362	Services that focus on developing generalized skills that prepare an individual for employment. Skills include, but are not limited to, attendance, safety, following directions, benefit planning and staying on task.	x	x	\$ 200,000	Medicaid
Day Habilitation 50367	Services that assist or support the individual in developing or maintaining life skills and community integration. Services shall enable or enhance the individual's functioning, physical and emotional health & development, language & communication development, cognitive functioning, socialization & community integration, functional skill development, behavior management, responsibility & self-direction, daily living activities, self-advocacy	x	x	\$ 275,000	Medicaid

	skills or mobility.				
Job Development 50364	Services that assist individuals in preparing for, securing and maintaining gainful, competitive employment. Employment shall be integrated into normalized work settings, shall provide pay of at least minimum wage, and shall be based on the individual's skills, preferences, abilities, and talents. Services assist individuals seeking employment to develop or re-establish skills, attitudes, personal characteristics, interpersonal skills, work behaviors, and functional capacities to achieve positive employment outcomes.	x	x	\$ 75,000	Medicaid, IVRS
Individual Supported Employment 50368	Services including ongoing supports needed by an individual to acquire and maintain a job in the integrated workforce at or above the state's minimum wage. The outcome of this service is sustained paid employment that meets personal and career goals.	x	x	\$ 850,000	Medicaid
Group Supported Employment (Enclave) 50369	The job and training activities in business and industry settings for groups of no more than eight workers with disabilities. Group settings include enclaves, mobile crews, and other business-based workgroups employing small groups of workers with disabilities in integrated, sustained, paid employment.	x	x	\$ 40,000	Medicaid
<b>CORE DOMAIN: RECOVERY SERVICES</b>					
Family Support 45323	Services provided by a family support peer specialist that assist the family of an individual to live successfully in the family or community including, but not limited to, education and information, individual advocacy, family support groups, and crisis response.	x	x	\$ 350,000	Medicaid
Peer Support 45366	Program provided by a peer support specialist including but not limited to education and information, individual advocacy, family support groups, crisis response, and respite to assist individuals in achieving stability in the community.	x	x	\$ 200,000	Medicaid
<b>CORE DOMAIN: SERVICE COORDINATION</b>					
Case Management 21375	Service provided by a case manager who assists individuals in gaining access to needed medical, social, educational & other services through assessment, development of a care plan, referral, monitoring and follow-up using a strengths-based service approach that helps individuals achieve specific desired outcomes leading to a healthy self-reliance and interdependence within their community.	x	x	\$ 15,000	Medicaid
Health Home Coordination 24376	Service model that facilitates access to an interdisciplinary array of medical care, behavioral health care, and community-based social services and supports for both children and adults with chronic conditions. Services may include comprehensive care management; care coordination and health promotion; comprehensive transitional care from inpatient to other settings, including appropriate follow-up;	x	x	\$ 40,000	Medicaid

	individual and family support, which includes authorized representatives; referral to community and social support services, if relevant; and the use of health information technology to link services, as feasible and appropriate.				
<b>CORE EVIDENCE BASED TREATMENT</b>					
Educational & Training Services 04422	Training related to provider competency in delivering co-occurring integrated services, trauma-informed services and evidence based practices	n/a	n/a	\$ 3,328	
Supported Housing 32396	A combination of housing and services intended as a cost-effective way to help people live more stable, productive lives; tenancy not predicted on services.	x	x	\$ 20,000	Medicaid
Assertive Community Treatment (ACT) 42398	An effective evidence based treatment to provide in-home treatment, support and rehabilitative care to persons with serious mental illnesses.	x		\$ 450,000	Medicaid
Family Psychoeducati on 45373	Services including the provision of emotional support, education, resources during periods of crisis, and problem-solving skills consistent with evidence-based practice standards published by SAMHSA.	x	x	\$ 10,000	Medicaid
<b>Core Domains Total</b>				<b>\$ 5,949,828</b>	
<b>MANDATED SERVICES</b>					
Justice System-Involved Services: Oakdale 46319	Hospital services provided at the Iowa Medical & Classification Center	x	x	\$ 165,672	
State Resource Centers 72319	Per diem charges at Glenwood and Woodward State Resource Centers	x		\$ -	State of Iowa
Commitment Related Expenses 74xxx	All expenses related to mental health commitments under Iowa Code Section 229, with the exception of 74301 (Civil Commitment Pre-Screen Evaluation).	x		\$ 415,000	
Mental Health Advocates 75xxx	Person appointed by local county Board of Supervisors to advocate for the interests of individuals involuntarily hospitalized by the court under Iowa Code Chapter 229.	x		\$ 285,000	
<b>Mandated Services Total</b>				<b>\$ 865,672</b>	
<b>ADDITIONAL CORE DOMAINS</b>					
<b>Comprehensive Facility &amp; Community Based Crisis Services</b>					
24 Hour Crisis Line 44346	A crisis line providing information and referral, counseling, crisis service coordination and linkages to crisis screening and mental health services 24 hours a day.	x	x	\$ 180,328	Medicaid
Warm Line 44366	A line staffed by peer counselors, who provide nonjudgmental, nondirective support to an individual who is experiencing a personal crisis.	x	x	\$ 5,000	Medicaid
Mobile Response 44307	Crisis evaluation and treatment services provided by a team of mental health professionals deployed into the community.	x	x	\$ 5,000	Medicaid

23 Hour Crisis Observation & Holding 44302	A level of care provided up to 23 hours in a secure and protected, medically staffed, psychiatrically supervised treatment environment.	x	x	\$ 5,000	Medicaid or other insurance
Crisis Stabilization Community-Based Services 44312	Services provided in community-based settings to de-escalate and stabilize an individual following a mental health crisis.	x	x	\$ 75,000	Medicaid
Crisis Stabilization Residential Services 44313	Services provided in short-term non-community-based residential settings to de-escalate and stabilize a mental health crisis.	x	x	\$ 500,000	Medicaid
<b>Sub-Acute Services</b>					
Subacute Services 63309 and 64309	A comprehensive set of wraparound services for persons who have had or are at imminent risk of having acute or crisis mental health symptoms that do not permit the persons to remain in or threatens removal of the persons from their home and community, but who have been determined by a mental health professional and a licensed health care professional, subject to the professional's scope of practice, not to need inpatient acute hospital services.	x	x	\$ 917,322	Medicaid
<b>Justice system-involved services</b>					
Outpatient 46305	Outpatient mental health services provided to individuals in criminal justice settings.	x	x	\$ 300,000	
Coordination Services 25376	Service coordination provided to individuals in the justice system.	x	x	\$ 15,000	
Crisis Prevention Training 46422	Safety training for law enforcement, first responders, etc. regarding mental health awareness such as Crisis Intervention Training (CIT).	n/a	n/a	\$ 15,000	
<b>Commitments</b>					
Civil Commitment Prescreening 74301	Evaluations completed prior to commitment with goal to divert individuals from commitment process.	x	x	\$ 40,000	Medicaid
<b>Additional Core Evidenced based treatment</b>					
Psychiatric Rehabilitation (IPR) 42397	Individualized services designed to increase the consumer's ability to function independently to prevent or reduce the need for services in a hospital or residential setting, and to promote the consumer's recovery of the ability to perform a valued role in society.	x		\$ 1,000	Medicaid
Social Support Services 42366	Peer self-help drop-in centers	x	x	\$ 40,000	Medicaid
<b>Additional Core Domains Total</b>				<b>\$ 2,098,650</b>	
<b>OTHER SERVICES AND SUPPORTS</b>					
<b>Other Informational Services</b>					
Information & Referral 03XXX	Activities designed to provide facts about resources that are available and help to access those resources.	x	x	\$ 69,672	

Consultation 04XXX	Advisory activities directed to a service provider to assist the provider in delivering services to a specific person.			\$ -	
Public Education 05XXX	Prevention activities designed to convey information about the cause of conditions, situations, or problems that interfere with a person's functioning or convey ways in which the knowledge acquired can be used to prevent their occurrence or reduce their effect; or public awareness activities that include educational & informational techniques that promote the person as an integral part of society & eliminate social & legal barriers to that acceptance.	x	x	\$ 27,000	
<b>Other Coordination Services</b>					
Service Coordination 22376	Activities designed to help individuals and families identify service needs and coordinate service delivery but which do not constitute case management as defined by the Mental Health and Intellectual Disability Commission.	x	x	\$ 1,235,000	
Crisis Care Coordination 23376	Service provided during an acute crisis episode that facilitates working together to organize a plan and service transition programing, including working agreements with inpatient behavioral health units and other community programs. The service shall include referrals to mental health services and other supports necessary to maintain community-based living capacity, including case management.	x	x	\$ 940,260	
<b>Other Community Living Support Services</b>					
Transportation (Non-Sheriff) 31354	Services for consumers to conduct business errands or essential shopping, to receive medical services not reimbursed through Title XIX, to go to and from work, recreation, education or day programs, and to reduce social isolation.	x	x	\$ 275,000	Medicaid
Chore Services 32321	Services such as window and door maintenance including hanging screens, replacing windowpanes and washing windows; minor repairs to walls, floors, stairs, railings and handles; heavy cleaning which includes attics or basements to remove fire hazards, moving heavy furniture, extensive wall washing, floor care or painting and trash removal; and yard work such as mowing lawns, raking leaves and shoveling walks.	x	x	\$ 1,000	Medicaid
Guardian/ Conservator 32326	Activities provided as required by the court system to handle the personal business of the individual.	x	x	\$ 112,500	
Representative Payee 32327	Activities provided to manage an individual's finances.	x	x	\$ 270,000	
CDAC 32335	Activities performed by a person to help an individual with self-care tasks that the individual would typically do independently if he/she were able to. CDAC services must be cost effective and necessary to prevent the individual from institutionalization.	x	x	\$ 85,000	Medicaid

Rent Assistance 33340	Rent support provided to allow an individual to maintain an affordable home in the community. Not intended to be ongoing.	x	x	\$ 560,000	Community Agencies
Other Basic Needs 33399	Other basic needs (i.e. utilities, water, etc.)	x	x	\$ 65,000	Community Agencies
Physiological outpatient treatment 41305	Activities designed to prevent, halt, control, relieve or reverse symptoms or conditions which interfere with the normal physiological functioning of the human body.	x	x	\$ 15,000	Medicaid or other insurance
Prescription Medication 41306	All costs for prescription medication, including medication prescribed for psychiatric conditions.	x	x	\$ 50,000	Medicaid or other insurance
In-home nursing 41307	Nursing services provided in the person's home.	x	x	\$ 18,000	Medicaid or other insurance
Transitional Living Program 42310	Any type of living situation that is transition with the primary purpose or mission to help the individual become a productive member of society; length of stay may vary but is not permanent housing.	x	x	\$ 5,000	Medicaid
Community Support Programs 42396	Comprehensive programs to meet individual treatment and support needs of consumers which enable consumers with a mental illness, intellectual disability, or a developmental disability to live and work in a community setting.	x	x	\$ 80,000	Medicaid
Supported Community Living (daily) 63329	Services and supports determined necessary to enable individuals to live & work in a community. Services are directed to enhancing the individual's ability to regain or attain higher levels of independence, or to maximize current levels of functioning.	x	x	\$ 500,000	Medicaid
<b>Other Congregate Services</b>					
Residential Care Facility 64XXX	Facilities licensed as Residential Care Facilities, including those with special licenses for individuals with intellectual disabilities or for persons with mental illness.	x	x	\$ 3,727,380	
Nursing Facility 64317	Funding for enhanced behavioral support for individuals in county owned Intermediate Care Facility.	x	x	\$ 1,300,000	Medicaid or other insurance
Other 64399	Active Treatment-Brain Injury Program at specialized ICF funded here, as well as county portion of youth shelter costs for eligible youth.	x	x	\$ 129,038	State of Iowa
<b>Other Services and Supports Total</b>				<b>\$ 9,464,850</b>	
<b>Administration</b>					
11XXX	Direct Administration			\$ 1,600,000	
12XXX	Purchased Administration			\$ 151,000	
<b>Administration Total</b>				<b>\$ 1,751,000</b>	
<b>Regional Totals</b>				<b>\$ 20,130,000</b>	
(45XX-XXX)	<b>County Provided Case Management</b>			<b>\$ 3,000,000</b>	
<b>Regional Grand Total</b>				<b>\$ 23,130,000</b>	

<b>FY 2017 EXPENDITURES BY CATEGORY</b>		
Education & Information	\$100,000	0.4%
Coordination Services	\$2,245,260	9.7%
Personal & Environmental Supports	\$2,900,000	12.5%
Treatment Services	\$3,580,000	15.5%
Vocational & Day Services	\$1,440,000	6.2%
Residential	\$6,573,740	28.4%
Institutional	\$1,540,000	6.7%
Administration	\$1,751,000	7.6%
County Provided Case Management	\$3,000,000	13.0%
<b>FY2017 TOTAL PROJECTED EXPENDITURES</b>	<b><u>\$23,130,000</u></b>	

## REVENUE

In an effort to once again equalize property tax dollars, County Social Services has asked each member county to levy at a \$35.18 per capita rate. This lower property tax levy amount will allow our region to spend down our reserves in a responsible and planned manner over the next few years. As in FY2016, this reduces the levy in all but two of our member counties, who are still below this per capita due to SF69, which does not allow these counties to raise their levy. Eight of our region's counties over-levied in FY2016 and one under-levied; therefore, we are offsetting that by adjusting these counties' levies in FY2017. Our total region levy for FY2017 is \$15,737,876.

We are budgeting for case management to sustain its cost neutrality; therefore are budgeting \$3,000,000 in revenue to offset the \$3,000,000 in expenditures. It is currently anticipated that our region's FY2016 ending fund balance will be \$10,059,895 and we are budgeting to use approximately \$4.3 million of that fund balance in this FY2017 budget.

County	2014 Est. Pop.	47.28 Per Capita Levy	Base Year Expenditure Levy	FY17 Max Levy	FY17 Actual Levy	Actual Levy Per Capita
Allamakee	14,038	\$663,717	\$786,775	\$663,717	\$ 401,765	\$ 28.62
Black Hawk	132,897	\$6,283,370	\$5,779,837	\$5,779,837	\$ 4,632,545	\$ 34.86
Butler	15,006	\$709,484	\$389,899	\$389,899	\$ 389,899	\$ 25.98
Cerro Gordo	43,254	\$2,045,049	\$2,284,794	\$2,045,049	\$ 1,521,676	\$ 35.18
Chickasaw	12,264	\$579,842	\$572,250	\$572,250	\$ 394,431	\$ 32.16
Clayton	17,692	\$836,478	\$868,795	\$836,478	\$ 622,405	\$ 35.18
Emmet	9,990	\$472,327	\$820,900	\$472,327	\$ 351,448	\$ 35.18
Fayette	20,343	\$961,817	\$773,024	\$773,024	\$ 704,907	\$ 34.65
Floyd	16,077	\$760,121	\$610,064	\$610,064	\$ 565,589	\$ 35.18
Grundy	12,375	\$585,090	\$530,188	\$530,188	\$ 435,353	\$ 35.18
Hancock	11,027	\$521,357	\$629,221	\$521,357	\$ 386,443	\$ 35.05
Howard	9,449	\$446,749	\$364,201	\$364,201	\$ 332,416	\$ 35.18
Humboldt	9,640	\$455,779	\$473,531	\$455,779	\$ 339,135	\$ 35.18
Kossuth	15,222	\$719,696	\$1,140,780	\$719,696	\$ 416,029	\$ 27.33
Mitchell	10,779	\$509,631	\$610,215	\$509,631	\$ 379,205	\$ 35.18
Pocahontas	7,138	\$337,485	\$440,242	\$337,485	\$ 251,115	\$ 35.18
Tama	17,451	\$825,083	\$568,799	\$568,799	\$ 568,799	\$ 32.59
Webster	36,955	\$1,747,232	\$2,146,797	\$1,747,232	\$ 1,234,105	\$ 33.39
Winnebago	10,559	\$499,230	\$433,910	\$433,910	\$ 369,756	\$ 35.02
Winneshiek	20,768	\$981,911	\$1,428,756	\$981,911	\$ 730,618	\$ 35.18
Worth	7,324	\$346,279	\$441,512	\$346,279	\$ 258,527	\$ 35.30
Wright	12,840	\$607,075	\$554,967	\$554,967	\$ 451,711	\$ 35.18
<b>TOTAL</b>	<b>463,088</b>	<b>\$21,894,801</b>	<b>\$22,649,457</b>	<b>\$20,214,080</b>	<b>\$15,737,876</b>	

Property Tax Levy (\$35.18 per capita)	\$15,737,876
Miscellaneous Revenue/Reimbursements	\$50,000
IME Reimbursement for Case Management	\$3,000,000
<b>Total Estimated FY2017 Revenue</b>	<b>\$18,787,876</b>

---

## FINANCIAL FORECASTING MEASURES

---

Historical service utilization is the starting point for all financial projections and was utilized when developing the current budget. Throughout the year, CSS staff and stakeholders identify unmet needs and areas for service development. With many new initiatives in this budget, these numbers will be adjusted throughout the fiscal year to reflect any necessary changes. Funds may be reallocated to areas of unmet need as we continue to increase crisis services and the move from residential to community living continues.

With our mission of increasing community inclusion and capacity through nurturing partnerships at the forefront, the CSS Governing Board at their January 2016 Meeting, the Board reviewed and voted to move forth with a multi-year projection with the following key initiatives:

- Reduce the cost of long-term institutional support services. Over the next three years we must reduce utilization of Residential Care Facility support from the current level to 28 by FY2019. In order to support this successful transition to community-based living for individuals, the CSS Governing Board has allocated funds in FY2017 for a provider to bring Assertive Community Treatment to Black Hawk County.
- Expand crisis intervention to all residents of the region (adults and children) in support of individuals and our provider network. This includes continued investment in the Training & Triage Center, I-START and expansion of Children's Crisis Services into the entire region.
- Increase community-based support services so individuals with disabilities can live, work and recreate in their own community. This is possibly the largest and most challenging initiative in this plan, with funding earmarked for supported employment and recovery services, which could be developed as Centers for Independent Living. CILs can provide capacity in our communities for peer support, family support, advocacy, job skills training living skills training and more.

---

## PROVIDER REIMBURSEMENT PROVISIONS

---

County Social Services strives to build a welcoming, multi-occurring, trauma informed provider network that exceeds national standards for excellence and meets the needs of all individuals within the region. It is the intent of County Social Services to provide a comprehensive system of care, with services centered upon the identified needs of the individuals.

County Social Services will contract with MHDS providers, both traditional and non-traditional, whose base of operation is in the region. County Social Services may also honor contracts that other regions have negotiated with their local providers and may choose to contract with providers outside of the region.

In order to ensure this region has a strong evidence-based network of providers that also meets the access standards required, County Social Services has initiated a new application process that all providers will need to complete in order to initiate a contract with the region for FY2017. This application will identify a provider's services and location of such services, as well as the provider's ability to meet evidence-based standards. This application process will help ensure our region is providing core and additional core services and meeting the needs of the individuals we serve. The region's contracts also ensure that traditional providers meet applicable licensure, accreditation or certification standards.

Currently County Social Services utilizes a fee-for-service reimbursement methodology for funding, as well as a limited use of block grants for activities not specific to one individual but benefit the population as a whole. Rates will be negotiated with the CEO and Chief Operating Officer, who will then make recommendations to the CSS Board at the next regular scheduled board meeting. County Social Services will not reimburse new rates until the effective date on the signed rate schedule and approved by the CSS Board.

The region will continue to encourage providers to participate in the statewide outcomes collection initiative through the CSN system. County Social Services Integrated Care Management is also tracking these outcomes in greater detail. The region is providing opportunities for training, mentoring and support so that every provider who desires to increase its capabilities will succeed. County Social Services I-START staff also provide training and education in order to build a strong support network that is able to respond to crisis needs and help improve expertise across our entire system of care.