

# **Southwest Iowa MHDS Region**

Mental Health and Disability Services

Management Plan

**Annual Service and Budget Plan**

**FY16/17**



# ANNUAL SERVICE AND BUDGET PLAN FOR FY16/17

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## **ANNUAL SERVICE AND BUDGET PLAN FOR FY16/17**

Geographical Area: Serving the Counties of Cass, Fremont, Harrison, Mills, Monona, Montgomery, Page, Pottawattamie, and Shelby counties. The Southwest Iowa MHDS Region (SWIA MHDS) was formed under Iowa Code Chapter 28E to create a mental health and disability service region in compliance with Iowa Code 331.390.

In compliance with IAC (Iowa Administrative Code) 441-25 the Southwest Iowa MHDS Plan includes three parts: *Annual Service and Budget Plan*, *Annual Report* and *Policies and Procedures manual*. The Annual Service and Budget Plan includes the services to be provided and the cost of those services, local access points, Targeted Case Management agencies, a plan for ensuring effective crisis prevention and a description of the scope of services, projection of need and cost to meet the need, and provider reimbursement provisions.

The Annual Service and Budget Plan is approved by the SWIA MHDS Governing Board and is subject to approval by the Director of Human Services. The Southwest Iowa MHDS Region management plan is available in each local SWIA MHDS office, on the region's website at [www.swiamhds.com](http://www.swiamhds.com) and on the Iowa Department of Human Services website at <http://dhs.iowa.gov/mhds>.

The SWIA MHDS is designed to improve health, hope, and successful outcomes for people with mental illness and intellectual disabilities. This plan covers the period from July 1, 2016 through June 30, 2017.

## Access Points

An access point is a part of the Southwest Iowa MHDS regional service system that is trained to complete MH/DS regional applications for persons with a disability. SWIA MHDS has designated the following access points.

Access Point	Address	Phone number
Burgess Mental Health	1600 Diamond Street Onawa, IA 51040	712-423-9160
CHI Health Missouri Valley Psychiatric Associates	704 North 8th Street Missouri Valley, IA 51555	712-642-2045
CHI Health Mercy Hospital	800 Mercy Drive Council Bluffs, IA 51503	712-382-5000
CHI Health Psychiatric Associates	801 Harmony Street, Suite 302 Council Bluffs, IA 51503	712-328-2609
Cass County Memorial Hospital	1501 E. 10 <sup>th</sup> St. Atlantic, IA 50022	712-243-3250
Heartland Family Service	515 East Broadway Council Bluffs, IA 51503	712-322-1407
Jennie Edmundson Hospital	933 East Pierce Street Council Bluffs, IA 51501	712-396-6000
Southwest Iowa Mental Health Center	1500 E. 10 <sup>th</sup> St. Atlantic, IA 50022	712-243-2606
Myrtue Medical Center-Behavioral Services	1303 Garfield Ave Harlan, IA 51537	712-755-5056
Waubonsie Mental Health Center	216 W. Division Clarinda, IA 51632	712-542-2388
All SWIA MHDS designated Case Management Agencies	See <i>below</i>	

# Targeted Case Management

The SWIA MHDS shall offer access to cost effective, evidenced based, conflict free Targeted Case Management as described in IAC 441-25.21(1)g.

Designated Case Management agencies serving SWIA MHDS must be accredited according to the rules of the Department of Human Services. Targeted Case Managers must meet the qualifications as defined in IAC 441.

Targeted Case Management and Service Coordination services shall meet the following expectations:

- Performance and outcome measures relating to the safety, work performance, and community residency of the individuals receiving the service.
- Standards including but not limited to social history, assessment, service planning, incident reporting, crisis planning, coordination, and monitoring for individuals receiving the services.
- Methodologies for complying with the requirements of sub rule 441-25.21 g, which may include the use of electronic recording keeping and remote or internet based training.

The SWIA MHDS Chief Executive Officer (CEO) and the Disability Services Directors (DSD) “coordinators of disability services” initially evaluated interested agencies, and made recommendations to the Region Governing Board prior to beginning operations in July, 2014. The Governing Board continues to designate the following Targeted Case Management agencies to offer services to individuals enrolled in the Medicaid Program.

Case Management Provider	Address	Phone number
Pottawattamie County Case Management	515 5 <sup>th</sup> Ave., Room 113 Council Bluffs, IA 51503	712-328-5645
Shelby/Harrison County Case Management	719 Market St. Harlan, IA 51537	712-755-2843
Fremont County Community Services	710 Illinois St., P.O. Box 540 Sidney, IA 51652	712-374-3075
Southwest Iowa Mental Health Center Case Management	1500 East 10 <sup>th</sup> St. Atlantic, IA 50022	712-243-2606

- Any other case management programs developed by a county or group of counties in the region as the regional case management provider.

## **Crisis Planning**

A continuum of crisis prevention strategies and services are utilized and will continue to be designed, implemented and enhanced during the initial years of operating as a region. In SWIA MHDS crisis prevention begins with community education through Mental Health First Aid (MHFA) training for the general public. Crisis prevention, response, and resolution are also embedded in the treatment and support plans that are prepared by Network Providers, Targeted Case Management, and Service Coordination. When these plans are developed, the goal is to determine an environment and support structure that works for a person to mitigate the triggers that lead to crisis. The region's website [www.swiamhds.com](http://www.swiamhds.com) hosts a "Resource Connection" page for information regarding mental health, substance abuse and many other community services and resources that people can utilize for quick access to information.

Law enforcement agencies in the region continue to receive education in Crisis Intervention Training (CIT) which is offered at least twice a year in the Omaha, NE/Council Bluffs, IA metropolitan area coordinated by CHI Health. Several officers have been trained and it will continue to be promoted to law enforcement agencies throughout the SWIA MHDS Region. Mobile Crisis Response Team is currently offered in Pottawattamie County and will be operational in all nine counties of SWIA MHDS during FY16/17. In order to avoid unnecessary civil commitments of people who are not familiar with services or do not know how to access a more appropriate level of crisis service, pre-commitment assessments are also offered through the mobile crisis team to help families avoid this hardship.

The Collaborative Support Team (CST) is available in the region with a task to create an interagency team to support individuals that have multiple hospitalizations (and possibly multiple incarcerations) for MH/SA issues. The hope is to provide coordination of care with providers with a goal to reduce the frequency of hospitalization and disruption of placement. Agencies, Case Managers and Service Coordinators are welcome to bring "challenging" consumers to this team to receive assistance with approaches to care.

The SWIA MHDS implemented a Mental Health Court in January 2015. Pottawattamie County submitted a Department of Justice grant prior to regionalization. Because of a grant extension, Pottawattamie County received the planning and implementation grant in October 2014 and it will end during FY17. The goal for this problem solving court is to coordinate services and direct low-level offenders to appropriate treatment in order to avoid future legal problems and acute hospitalization due to mental health symptoms and behaviors and to gain the supports they need to be successful in their community. The Southwest Iowa Mental Health Court is available to all counties in the region. The region plans to continue to finance the mental health court through providing the case managers and funding for the mental health agency once the grant funds are depleted.

In addition, SWIA MHDS will enhance coordination with the Region jails by initiating a Jail Based Case Management Program during FY16/17. The Region will utilize 2 staff who will work closely with jail personnel and inmates to coordinate and refer individuals to services while they are in jail, with a goal to improve client outcomes and decrease jail recidivism.

An enhanced crisis stabilization system continues to be developed in accordance with the crisis stabilization administrative rules. Community planning continues as new services come on board. The Mental Health and

Substance Abuse Network, a region non-profit agency, is the project manager for crisis stabilization efforts. Collaboration is a key to the success of this new crisis stabilization system, therefore, much care is taken to involve stakeholders, continually receive feedback, measure outcomes, and build a system of care responsive to the needs of Southwest Iowa.

The SWIA MHDS contracts with Boys Town National Hotline to provide a 24 hour Crisis Hotline. This service, known as HOPE 4 IOWA, began on June 1, 2015. This service is a crisis hotline for people who are experiencing a mental health crisis or a crisis of any kind that could lead to possible hospitalization or need for emergency treatment if no intervention is provided. The hotline works closely with local providers and crisis services by having staff present in the region. The focus is on immediate crisis counseling assistance, referral information, and “warm hand-offs” to other services as needed including outpatient treatment, crisis stabilization residential services, law enforcement and acute behavioral services when needed.

A Screening and Assessment tool is in development as part of the crisis stabilization system and will be fully functional in FY16/17. SWIA MHDS is encouraging providers to utilize this tool to assist in coordination, including “warm hand-offs”, and to ease the process for the people utilizing region services. Training for this tool is being presented throughout the region as a joint effort of SWIA MHDS, CHI Health and The Network. Crisis Stabilization Residential Services (CSRS) became available in January 2016. “Turning Pointe” is located in Clarinda, IA, chosen as the first sight for a CSRS due to the closing last year of the Clarinda Mental Health Institute. CSRS is available to people in crisis that do not need an acute level of care and focuses on recovery, prevention and peer support. The goal is to restore the individual to their pre-crisis functional level during a short-term alternative living arrangement. The region may look to expand the availability of CSRS in the region by opening an additional location in the north or central area during FY16/17, depending on the utilization of this initial house.

Communication and training about additional services will be important to making this region system run smoothly. Input will continually be gathered from the Local Advisory Councils and Regional Advisory Committee as new services are developed. As part of the Crisis Stabilization System (CSS) in SWIA MHDS, a monthly conference call is held for all CSS providers and interested parties to discuss issues, problems, successes, and to continually plan and improve the efforts around this collaborative partnership in order to make it a successful system and to encourage and support this as a system of care instead of as individual services. Discussion began last year regarding the possibility of development of a rural ACT team to help support systems in the rural areas of the region, and this will continue to be something on the radar for future development.

The following chart is a listing of current SWIA MHDS crisis services.

SERVICE	CRISIS FUNCTION	PROVIDER	CONTACT INFORMATION
Mental Health First Aid and Youth Mental Health First Aid	Mental Health training for the community	Pottawattamie County Community Services	712-328-5645
Mental Health First Aid	Mental Health training for the community	CHI Health Missouri Valley and Council Bluffs offices	712-642-2784 712-328-2609
Mental Health First Aid	Mental Health training for the community	Burgess Mental Health Center, Onawa	712-423-9160

24 hour access to crisis response and evaluation	Hospital Emergency Departments and/or behavioral health units	CHI Mercy Hospital 800 Mercy Drive Council Bluffs, IA 51501	712-328-5230
24 hour access to crisis response and evaluation	Hospital Emergency Departments and/or behavioral health units	Jennie Edmundson Hospital 933 E. Pierce St. Council Bluffs, IA 51503	712-396-6044
24 hour access to crisis response and evaluation	Hospital Emergency Departments and/or behavioral health units	Cass County Memorial Hospital 1501 E. 10 <sup>th</sup> St. Atlantic, IA 50022	712-243-3250
24 hour access to crisis response and evaluation	Hospital Emergency Departments	Myrtue Medical Center 1213 Garfield Ave. Harlan, IA 51537	712-755-5161
24 hour access to crisis response and evaluation	Hospital Emergency Departments	Clarinda Regional Health Center 220 Essie Davison Dr. Clarinda, IA 51632	712-542-8330
24 hour access to crisis response and evaluation	Hospital Emergency Departments	CHI Health Community Memorial Hospital 631 N. 8 <sup>th</sup> St Missouri Valley, IA 51555	712-642-2784
24 hour access to crisis response and evaluation	Hospital Emergency Departments	Montgomery County Memorial Hospital 2301 Eastern Ave. Red Oak, IA 51566	712-623-7226
24 hour access to crisis response and evaluation	Hospital Emergency Departments	Shenandoah Medical Center 300 Pershing Ave. Shenandoah, IA 51601	712-246-7400
24 hour access to crisis response and evaluation	Hospital Emergency Departments	George C. Grape Community Hospital 2959 US Hwy 275 Hamburg, IA 51640	712-382-1515
24 hour access to crisis response and evaluation	Hospital Emergency Departments	Burgess Memorial Hospital 1600 Diamond St. Onawa, IA 51040	712-423-2311
24-hour Crisis Hotline	Mental Health Crisis Line	Boys Town National Hotline	844-673-4469 HOPE4IOWA
24 hour crisis phone response	Telephone crisis information and referral line	CHI Health	402-717-HOPE
24 hour crisis phone response	Telephone crisis information and referral line	Waubonsie Mental Health Center	712-542-2388
24 hour crisis phone response	Telephone crisis information and referral line	Southwest Iowa Mental Health Center	712-243-2606
Crisis Intervention Training - CIT	Training for law enforcement personnel	CHI Health	402-572-2255
Web based information tool – Resource Connection	Community tool to locate available resources	SWIA MHDS Region	www.swiamhds.com
Mobile Crisis Response Team	Assessment support for law enforcement	Heartland Family Service Crisis Response Team	Called by law enforcement
Pre-commitment Screening	screening of individuals who families recommend for commitment	Heartland Family Service	NA
Crisis Stabilization Residential Service	Short-term residential support	Turning Pointe, Waubonsie	712-542-2388
Crisis Screening and Assessment	Tool available to providers to support person in crisis system.	Providers that are part of the SWIA MHDS crisis stabilization system	NA

## **Scope of Services & Budget and Financing Provisions**

The annual budget and planning process is utilized to identify and implement core disability service improvements. The Southwest Iowa MHDS Region collaborates with stakeholders to assess need and to advocate adequate funding for services and supports in the initial core and additional core service domains. Significant time continues to be given to stakeholders through Local Advisory Council “input meetings” held in various areas throughout the SWIA MHDS Region. Over the past budget year the goal was to capture input and feedback of professionals, individuals and their families as the region addressed service need gaps as identified by stakeholders.

The SWIA MHDS funds services not otherwise provided by insurance and Medicaid programs. By combining regional (pooled county tax dollars), state and federal dollars, individuals can be empowered to reach their fullest potential by accessing a combination of available supports. SWIA MHDS is responsible for services that are authorized in accordance with the Regional Management Plan and within the constraints of budgeted dollars. Services funded by SWIA MHDS are subject to change, including reduction or termination with the development of the annual budget each fiscal year. The Regional Management Plan Policy & Procedure Manual addresses mandated services access standards.

The Southwest Iowa MHDS Region Chief Executive Officer (CEO) proposed the FY17 budget on March 7, 2016. The Southwest Iowa MHDS Regional Planning Board of Directors reviewed and adopted the budget. The SWIA MHDS Region CEO is responsible for managing and monitoring the adopted budget.

Annually, the CEO for SWIA MHDS reviews actual expenditures and services provided, stakeholder input and participation, quality assurance implementation findings, waitlist information, progress toward goals and objectives, and if any, appeal type and resolution to determine if gaps in services or barriers to services exist. In December of each year this review is submitted to the Department of Human Services.

The chart below represents the core services as described in 441-25.1(331) and additional services offered in SWIA MHDS. The projected funding need for Fiscal Year 2017 is indicated. When there is a “\$0 or \$-” projection, this indicates that the region does not expect any expenses in this area since they are covered by other funding sources, however should a need arise in these service domains, funding will be made available for those core services not covered. Access standards in IAC 441-25.3(331) have been considered in the formation of the budget and are based on the projected need in the region. It is felt that access standards will be met based on the number of providers, their locations, historical data and input from stakeholders. SWIA MHDS is the funding body of last resort. An individual is expected to utilize all other federal, state, and private insurance coverage before the region will be considered as a funder for any available service. By utilizing all available funding sources, it is the intention of SWIA MHDS to effectively, responsibly and efficiently utilize its resources in order to cover as many individuals as possible and provide a wide range of MH/DS services.

Funding for the disability category of Developmental Disabilities is provided based on the past provision of services for member counties in the SWIA MHDS that previously funded individuals in this disability category prior to becoming a region. Services were provided based on an assessed need that was similar to a person diagnosed with an Intellectual Disability. Therefore, SWIA MHDS will consider a full scale IQ between 70-78, along with significant adaptive functioning needs when considering eligibility for a person with a developmental disability as defined in the SWIA MHDS *Policies and Procedures* Manual.

**Projected FY17 Expenditures**

FY 2017 Budget	SWIAMHDS Region	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total
<b>Core Domains</b>							
<b>COA</b>	<b>Treatment</b>						
43301	Assessment & evaluation						\$ -
42305	Mental health outpatient therapy	\$ 100,000	\$ 200				\$ 100,200
42306	Medication prescribing & management						\$ -
71319	Mental health inpatient therapy-MHI	\$ 200,000					\$ 200,000
73319	Mental health inpatient therapy	\$ 150,000					\$ 150,000
<b>Basic Crisis Response</b>							
32322	Personal emergency response system						\$ -
44301	Crisis evaluation						\$ -
44305	24 hour access to crisis response	\$ 64,000					\$ 64,000
<b>Support for Community Living</b>							
32320	Home health aide	\$ 4,000					\$ 4,000
32325	Respite		\$ 500	\$ 3,500			\$ 4,000
32328	Home & vehicle modifications						\$ -
32329	Supported community living	\$ 90,000	\$ 60,000	\$ 15,000			\$ 165,000
<b>Support for Employment</b>							
50362	Prevocational services	\$ 60,000	\$ 700,000	\$ 20,000			\$ 780,000
50367	Day habilitation	\$ 2,000	\$ 25,000	\$ 25,000			\$ 52,000
50364	Job development						\$ -
50368	Supported employment	\$ 200,000	\$ 250,000	\$ 15,000			\$ 465,000
50369	Group Supported employment-enclave	\$ 1,000	\$ 2,000				\$ 3,000
<b>Recovery Services</b>							
45323	Family support						\$ -
45366	Peer support						\$ -
<b>Service Coordination</b>							
21375	Case management						\$ -
24376	Health homes						\$ -
<b>Core Evidenced Based Treatment</b>							
04422	Education & Training Services - provider competency						\$ -
32396	Supported housing						\$ -
42398	Assertive community treatment (ACT)	\$ 100,000					\$ 100,000
45373	Family psychoeducation						\$ -
	<b>Core Domains Total</b>	\$ 971,000.00	1,037,700.00	\$ 78,500.00	\$ -		\$ 2,087,200
<b>Mandated Services</b>							
46319	Oakdale	\$ 20,000	\$ 90,000				\$ 110,000
72319	State resource centers						\$ -
74XXX	Commitment related (except 301)	\$ 100,000					\$ 100,000
75XXX	Mental health advocate	\$ 111,783					\$ 111,783
	<b>Mandated Services Total</b>	\$ 231,783.00	\$ 90,000.00	\$ -	\$ -		\$ 321,783

FY 2017 Budget	SWIAMHDS Region	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total
<b>Additional Core Domains</b>							
<b>Comprehensive Facility &amp; Community Based Crisis Services</b>							
44346	24 hour crisis line	\$ 175,000					\$ 175,000
44366	Warm line						\$ -
44307	Mobile response	\$ 300,000					\$ 300,000
44302	23 hour crisis observation & holding						\$ -
44312	Crisis Stabilization community-based services	\$ 500					\$ 500
44313	Crisis Stabilization residential services	\$ 900,000					\$ 900,000
<b>Sub-Acute Services</b>							
63309	Subacute services-1-5 beds						\$ -
64309	Subacute services-6 and over beds						\$ -
<b>Justice system-involved services</b>							
46305	Mental health services in jails						\$ -
25xxx	Coordination services	\$ 195,278					\$ 195,278
46422	Crisis prevention training						\$ -
46425	Mental health court related costs	\$ 208,531					\$ 208,531
74301	Civil commitment prescreening evaluation	\$ 50,000					\$ 50,000
46399	Justice system-involved services-other						\$ -
<b>Additional Core Evidenced based treatment</b>							
42397	Psychiatric rehabilitation (IPR)	\$ 5,000					\$ 5,000
42366	Peer self-help drop-in centers	\$ 45,000					\$ 45,000
	<b>Additional Core Domains Total</b>	\$ 1,879,309	\$ -	\$ -	\$ -		\$ 1,879,309
<b>Other Informational Services</b>							
03XXX	Information & referral	\$ 32,588	\$ 15,041	\$ 2,506			\$ 50,135
04XXX	Consultation (except 422)						\$ -
05XXX	Public education	\$ 10,000					\$ 10,000
	<b>Other Informational Services Total</b>	\$ 42,588	\$ 15,041	\$ 2,506	\$ -		\$ 60,135
<b>Other Community Living Support Services</b>							
06399	Academic services						\$ -
22XXX	Services management	\$ 300,426	\$ 128,343	\$ 21,390			\$ 450,159
23376	Crisis care coordination						\$ -
23399	Crisis care coordination other						\$ -
24399	Health home other						\$ -
31XXX	Transportation	\$ 100,000	\$ 45,000	\$ 12,000			\$ 157,000
32321	Chore services						\$ -
32326	Guardian/conservator		\$ 1,000				\$ 1,000
32327	Representative payee		\$ 1,000				\$ 1,000
32399	Other support						\$ -
32335	CDAC						\$ -
33330	Mobile meals						\$ -

FY 2017 Budget	SWIAMHDS Region	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total
33340	Rent payments (time limited)	\$ 5,000	\$ 500				\$ 5,500
33345	Ongoing rent subsidy						\$ -
33399	Other basic needs						\$ -
41305	Physiological outpatient treatment	\$ 10,000					\$ 10,000
41306	Prescription meds	\$ 10,000	\$ 200				\$ 10,200
41307	In-home nursing						\$ -
41308	Health supplies						\$ -
41399	Other physiological treatment						\$ -
42309	Partial hospitalization						\$ -
42310	Transitional living program						\$ -
42363	Day treatment						\$ -
42396	Community support programs						\$ -
42399	Other psychotherapeutic treatment						\$ -
43399	Other non-crisis evaluation						\$ -
44304	Emergency care						\$ -
44399	Other crisis services						\$ -
45399	Other family & peer support						\$ -
50361	Vocational skills training						\$ -
50365	Supported education						\$ -
50399	Other vocational & day services						\$ -
63XXX	RCF 1-5 beds						\$ -
63XXX	ICF 1-5 beds						\$ -
63329	SCL 1-5 beds	\$ 50,000					\$ 50,000
63399	Other 1-5 beds						\$ -
<b>Other Comm Living Support Services Total</b>		\$ 475,426	\$ 176,043	\$ 33,390	\$ -		\$ 684,859
<b>Other Congregate Services</b>							
50360	Work services (work activity/sheltered work)	\$ 40,000	\$ 600,000	\$ 5,000			\$ 645,000
64XXX	RCF 6 and over beds	\$ 1,500,000	\$ 250,000	\$ 25,000			\$ 1,775,000
64XXX	ICF 6 and over beds						\$ -
64329	SCL 6 and over beds						\$ -
64399	Other 6 and over beds						\$ -
<b>Other Congregate Services Total</b>		\$ 1,540,000	\$ 850,000	\$ 30,000	\$ -		\$ 2,420,000
<b>Administration</b>							
11XXX	Direct Administration					\$ 531,600	\$ 531,600
12XXX	Purchased Administration					\$ 210,520	\$ 210,520
<b>Administration Total</b>						\$ 742,120	\$ 742,120
<b>Regional Totals</b>		\$ 5,140,106	\$ 2,168,784	\$ 144,396	\$ -	\$ 742,120	\$ 8,195,406
<b>(45)County Provided Case Management</b>							\$ -
<b>(46)County Provided Services</b>							\$ -
<b>Regional Grand Total</b>							\$ 8,195,406.00

Projected FY17 Revenues			
FY 2017 Budget	SWIA MHDS Region		
Revenues			
	<b>Projected Fund Balance as of 6/30/16</b>		<b>\$ 16,591,129</b>
	<b>Local/Regional Funds</b>		<b>\$ 4,364,572</b>
10XX	Property Tax Levied	4,364,572	
5310	Client Fees	0	
	<b>State Funds</b>		<b>\$ 14,000.00</b>
2250	MHDS Equalization	0	
2645	State Payment Program	14,000	
	<b>Federal Funds</b>		<b>\$ 150,000.00</b>
2344	Social services block grant	0	
2345	Medicaid	0	
	Mental Health Court grant	150,000	
	<b>Total Revenues</b>		<b>\$ 4,528,572.00</b>

<b>Total Funds Available for FY17</b>	<b>\$ 21,119,701.00</b>
<b>FY17 Projected Regional Expenditures</b>	<b>\$ 8,195,406.00</b>
<b>Projected Accrual Fund Balance as of 6/30/17</b>	<b>\$ 12,924,295.00</b>

- The deficit (\$3,666,834) between expenditures and revenues will be expended from the region fund balance.

County Property Taxes are pooled into a single region fund. Counties employing region personnel retain in their Mental Health fund enough money to cover payroll expenses including payroll of the mental health advocates. Pottawattamie County Mental Health fund also retains Mental Health Court revenues and expenditures due to federal grant tracking purposes until it ends during FY17. \* Cass County below indicates incorrect calculations when certifying the budget with the State of Iowa. Cass County utilized 2013 estimated population (13,598) which increased their levy by \$3,450.

County levy information						
County	2014 Est. Pop.	47.28 Per Capita Levy	Base Year Expenditure Levy	FY17 Max Levy	FY17 Actual Levy	Actual Levy Per Capita
Cass	13,448*	\$ 635,821	\$ 789,047	\$ 635,821	\$ 312,754*	*23.26
Fremont	7,022	\$ 332,000	\$ 462,193	\$ 332,000	\$ 161,506	23.00
Harrison	14,324	\$ 677,239	\$ 920,559	\$ 677,239	\$ 329,452	23.00
Mills	14,831	\$ 701,210	\$ 609,781	\$ 609,781	\$ 341,113	23.00
Monona	8,996	\$ 492,705	\$ 375,993	\$ 375,993	\$ 206,908	23.00
Montgomery	10,421	\$ 425,331	\$ 369,740	\$ 369,740	\$ 239,683	23.00
Page	15,496	\$ 732,651	\$ 652,027	\$ 652,027	\$ 356,408	23.00
Pottawattamie	93,128	\$ 4,403,092	\$ 4,745,180	\$ 4,403,092	\$ 2,141,944	23.00
Shelby	11,948	\$ 564,901	\$ 885,694	\$ 564,901	\$ 274,804	23.00
<b>Region</b>	<b>189,614</b>	<b>\$ 8,964,950</b>	<b>\$ 9,810,214</b>	<b>\$ 8,620,594</b>	<b>\$ 4,364,572</b>	

## **Financial Forecasting Measures**

Historical service utilization is the starting point for all financial projections. The SWIA MHDS has been operating under one single region budget since beginning operations July 1, 2014. The region had approximately 18 months history of expenditures in developing its third year of operation projected budget. There continue to be changes in the system which leads to some unpredictability in forecasting. New people continue to access the Iowa Health and Wellness Plan and a waiting list continues to be implemented for HCBS ID Waiver. Additionally, the Iowa Medicaid system will begin to be managed by Managed Care Organizations beginning April, 2016, which brings additional unknowns to the system. The region forecasts any new services it is creating based on cost estimates from other similar programs in the state.

The Governing Board recommended a county tax collection rate based on a per capita amount for FY17 which was constructed on a number of factors including 1) the need for predictable, stable tax levies in each county, 2) continued positive relationships between counties based on an equal dollar investment into the region system of fully pooled funds, 3) the need for utilizing fund balance for the creation and startup of new services, and 4) recognition of the legislature's desire to have fund balances at approximately 25 percent. The Governing Board developed a 5-year plan to achieve these things and will continue to assess each year because of the unpredictability in state and federal funding and programs.

Throughout the year; Southwest Iowa MHDS regional staff and stakeholders have identified unmet needs and areas for service development, which are incorporated into subsequent service plans and budgets. SWIA MHDS gathers information through Local Advisory Councils and the Regional Advisory Committee at least four times a year in order to assess community priorities, begin planning for development of additional service needs and costs, and forming the ongoing advisory groups which will continually provide input on needs and service strategies for our communities. The region will use this information to effectively and responsibly plan for use of available financial resources.

Beyond the historical information used to develop this budget, the following items were included for expansion of services during FY17. These include expansion of Mobile Crisis Response, Crisis Stabilization Residential Services, and Supported Employment. Additionally the region will continue to advance the use of Evidenced Based Practices and Quality Service Development and Assessment. The region is adding an additional Service Coordinator in July 2016 to focus on the SOAR program as well as two Coordinators to be based out of the county jails. The expenditures of these services were included in the previous expenditure chart.

## **Provider Reimbursement Provisions**

The SWIA MHDS will contract with MH/DS providers whose base of operation is in the region. SWIA MHDS may also honor contracts that other regions have with their local providers or may choose to contract with providers outside of the region. A contract may not be required with providers that provide one-time or as needed services. A fee for service approach will be utilized in most provider contracts outlining the services to be provided and the rate of reimbursement. All payments will be based on a pre-authorized request for service authorization with the exception of court ordered payments, such as civil commitment costs. As described above in Scope of Service and Budget Financing Provisions, SWIA MHDS provides funding by incorporating all available funding and insurance resources in its system of care.

The region may also utilize block grant payments when a service does not fit the traditional methods of payment based on a pre-approved individual service request. Requests for grant funding opportunities may also be offered by SWIA MHDS based on an assessed community need in order to engage providers in Evidence Based Practices.

When a non-traditional provider arrangement is more appropriate than a fee for service approach with a contracted provider, Service Coordinators will work with the region's CEO to request such arrangements. These arrangements will be based on a fee according to the individual's need with written expectations of goals and outcomes for the individual.

Southwest Iowa MHDS Region service contracts require that providers meet all applicable licensure, accreditation or certification standards; however the SWIA MHDS makes serious efforts to stimulate access to more natural supports through use of nontraditional providers in its service provider network. Successful attainment of positive outcomes, consumer and family satisfaction, and cost effectiveness measures are the most important factors in continued network participation. SWIA MHDS has identified its Network Providers in brochures available throughout the regional offices. Reimbursement to providers is described in the *SWIA MHDS Policy and Procedure Manual* "J. Service Provider Payment Provisions".

*This Plan was approved by the Southwest Iowa MHDS Region Governing Board on March 7, 2016.*