DISCUSSION DRAFT OUTLINE

OUTLINE:
RULES FOR EXEMPTING COUNTIES FROM FORMING INTO REGIONS

October 29, 2012

Counties wishing to be exempted from forming into regions of counties of three or more must submit applications that meet the following requirements. A county/counties must demonstrate that the requirements are currently being met or provide a viable plan for meeting each requirement. The Director may deny a county/counties waiver application if:

- The county/counties cannot demonstrate it/they currently meet the requirements, and
- The county/counties do not have a viable plan for meeting the requirements, or
- At any point the county/counties do not meet any regional requirement consistent with 331.438B 5.

- Community engagement – Demonstrate that in the county or counties has/have:
  - Active operational understandings (e.g., memorandum of understandings) with other public and private service entities such as:
    - Physical health,
    - Housing,
    - Employment,
    - Education,
    - Courts,
    - Criminal Justice
  - Obtained input from consumers, families, providers and other stakeholders regarding adequate community engagement

- Access to core services – Demonstrate that in the county or counties there is/are:
  - A sufficient number and adequate service access points
  - Access points that have been communicated to potential consumers, families, and referral sources
    - Effective response for emergencies including a 24/7/365 telephone contact system
  - Access to service providers that have demonstrated the capability of providing:
    - Treatment that objectively meets the fidelity of evidenced based practices including:
      - Strengths based case management and/or assertive community treatment
      - Illness management and recovery
      - Family psycho-education
      - Supportive housing
      - Integrated treatment for co-occurring disorders
      - Supported employment
    - Services to persons with co-occurring conditions including two or more of the following – mental illness, intellectual disability, developmental disability, brain injury, or substance use disorder.
    - Trauma informed care
  - Sufficient amounts of services that demonstrate that the per capital number of individuals:
    - In each disability category served by the county or counties is at least equal to or exceeds the statewide average
    - Using in-patient psychiatric hospital services is less than or equal to the statewide average
    - Using intermediate care facilities for individuals with intellectual disabilities is less than or equal to the statewide average

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- Provider network sufficiency – Demonstrate that in the county or counties has/have:
  - Contracts to provide each service in the required core service domains in sufficient amounts to ensure a network of properly licensed and accredited providers can provide needed services without a waiting list
  - A contract with a community mental health center or federally qualified health clinic that provides psychiatric services that provides services in the county or counties
  - An inpatient psychiatric hospital program within 100 miles of the county or counties

- Targeted case management – Demonstrate that in the county or counties there is/are:
  - Sufficient trained case managers or care coordinators to serve individuals needing the service at the required case load levels
  - Targeted case management that is conflict free
  - A choice of case management providers

- Utilization management and review process – Demonstrate that the county or counties has/have:
  - Sufficient skilled clinical capacity to issue clinically appropriate service authorizations

- Quality Management/Improvement Process – Demonstrate that the county or counties has/have:
  - A quality management/improvement plan that is managed by qualified staff
  - Incorporates performance measures required by the department
  - A plan to review outcomes and performance measures regularly and to use the review to improve services

- Staffing – Demonstrate that the county or counties staffing meets the requirements of 331.438B 3.
  - The regional administrator is under the control of the governing board
  - The regional administrator(s) shall have a bachelor's degree in a human service related field or public or business administration or relevant management experience

- Business Management – Demonstrate that the county or counties has/have:
  - A risk management plan that:
    - Accurately forecasts expenditures and revenue
    - Provides an early warning of financial risks
    - A provision for effectively managing risk
  - The capability to fund current and on-going service obligations
  - An average cost of service per individual equal to or less than the statewide average
  - Administrative costs, as a percentage of non-Medicaid service expenditures, that are less than or equal to the statewide average
  - Maintain funding in designated accounts
  - An accounting system that conforms with OMB – A 87
  - A process for performing an annual independent audit

- Forming into a Region is unworkable – Demonstrate that the county or counties has/have:
  - Contacted all contiguous counties and determined that forming into a region with those counties is unworkable
  - Identified the reasons why forming into regions with contiguous counties is unworkable

- Maintain an approved management plan that meets all requirements