



Elderly Waiver Assessment Selection and Process

Balancing Incentive Program
Core Standardized Assessments Webinars
May 21 and 27

Introductions

- Presenter introductions
 - Patricia Johnston, BIP Program Manager
 - Le Howland, Waiver Program Manager
 - Jan Jordan, CSA Implementation Manager
 - Carla Pickering, webinar moderator
- CSA Managers
 - Vicki Vermie, CSA Project Manager
 - Cindy Fletcher, CSA Assessment Manager

Webinar Process

- Slides also on website
<http://dhs.iowa.gov/ime/about/initiatives/BIPP/CSA/listening>
- Chat function – send to all participants
- Lecture Mode
- Raise hand online for discussion

Agenda

- Balancing Incentive Program (BIP)
- Waiver Assessments
- Assessment requirements
- Assessments under consideration
- Assessment process
- Surveys
- Discussion and comments

Balancing Incentive Program (BIP)

- States must reach 50% threshold for community based LTSS by September 2015.
- Have exceeded threshold several times but percentages fluctuate
- Iowa received an additional 2% FMAP for structural changes

Required Structural Reforms

- No Wrong Door/Single Entry Point (NWD/SEP) System
- Conflict-Free Case Management Services
- Implementation of Core Standardized Assessments
- Conflict-Free Case Management and the Core Standardized Assessments are in the MCO RFP

Core Standardized Assessment

- Began with SIS® for people with intellectual disability August 2014
- Uniform assessments by independent entity determines individual's need and promotes person-centered approach
- Conflict free assessment
- Accurate reflection of individual need which prevents over- and under-utilization
- Helps guide resource allocation – funding tied to individual needs; no more, no less

Elderly Waiver Data

As of 12/31/15

	65-74	75-84	85+	Total
Female	2,457	2,429	1,781	6,667
Male	1,145	907	413	2,465
Total	3,602	3,336	2,194	9,132
% Total	39.5%	36.5%	24.0%	

Elderly Waiver Data (cont.)

For Q2 FY15

- Number of member served in Q2 FY15 = 9,138
- Total EW expenditures \$20.6M
- Average expenditure per member \$2,251
- Most frequently billed services
 - PERS
 - Case Management
 - CDAC

Centers for Medicare and Medicaid (CMS) Requirements

- Core standardized assessment for persons in same population
 - Person-centered
 - Covers specific topics in the core data set (CDS)
 - Independent
 - Conflict free

CMS Required Core Data Set Domains and Topics

ADLs

- Eating
- Bathing
- Dressing
- Hygiene
- Toileting
- Mobility
- Positioning
- Transferring
- Communicating

IADLs (not required for children)

- Preparing Meals
- Transportation
- Housework
- Managing Money
- Shopping
- Telephone Use
- Managing Medications
- Employment

Required Core Data Set Domains and Topics (cont.)

Medical Conditions/Diagnoses

Cognitive Function & Memory/Learning

- Cognitive Function
- Judgment/Decision-Making
- Memory/Learning

Behavior Concerns

- Injurious Behavior
- Destructive Behavior
- Socially Offensive Behaviors
- Uncooperative Behavior
- Other Serious Behavior

Assessment Tools Under Consideration

- Minimum Data Set (MDS) 3.0
 - <http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/NursingHomeQualityInits/MDS30RAIManual.html>
- Outcome and Assessment Information Set (OASIS)
 - <http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/OASIS/Background.html>
- interRAI- Home Care (iHC)
 - <http://catalog.interrai.org/>

Reviewing Assessment Tools

- Copyright laws prohibit reproduction
- Use websites to review
 - Background of each assessment
 - Tracking sheet tools can be found online for OASIS and MDS
 - Sample questions available for iHC by clicking on the picture of the book

Assessment Comparison

MDS 3.0	OASIS	interRAI-HC
Used by nursing facilities, hospital swing beds and in one BIP state	Used by home health agencies and in one BIP state	Used in 9 BIP states; selected for Iowa H & D, PD and AIDS/HIV Waivers
Interview format with individual and observations of individual	Interview format	Separate interviews of individual and caregiver preferred
20/28 CMS required topics	19/28 CMS required topics	27/28 CMS required topics
Some questions ask about last 7 days; some ask about last 14 days	Some questions ask about last 14 days	Some questions ask about last 90 days; some ask about last 3 days
Observation of tasks can occur prior to assessment	Demonstration of tasks not required	Demonstration of some tasks required
Appropriate for children and adults	Appropriate for children and adults	Appropriate for children and adults

Assessment Process

- Persons newly entering services – referred to BIP (Telligen) through ISIS
- Current ISIS process in place for ID Waiver and ICF/ID
- Schedulers contact member/guardian to schedule assessment
- Location of member home unless otherwise indicated
- Duration – 1- 1.5 hours

Person-centered Process

- Member/guardian decides who will attend assessment
- Scheduler will contact participants per member direction
- Unlike the SIS, information may be obtained from other sources
 - Physician
 - Medical records
 - Case manager
 - Provider

Assessor Assignment and Training

- Scheduler assigns to assessor in same region as member
- Assessors strategically located across the state
 - Experienced in working with elders and persons with physical limitations
 - Trained by the creators of the assessment
 - Demonstrated proficiency
 - Completed at least 10 practice interviews

Quality Assurance

- Quality Assurance Plan
 - Introductory script for all assessors
 - Trained quality assurance reviewers provide ongoing oversight
 - Process of tracking key questions to ensure consistent interpretation of assessment items
 - Ongoing testing for consistency and accuracy

Appeal Process

- If the member doesn't agree with the determination of the core standardized assessment
 - Same process as is currently used
 - Assessment itself is not a decision
 - Information from assessment is used to inform decisions
 - Level of care or service decision may be appealed as it currently is done

Hard to Reach Members

- Many new families are hesitant to schedule the assessment
- For IDW we have made 12 attempts to contact and rescheduled up to 5 times
- Will request assistance from the case manager if needed
- Have not defined a limit to number of attempts

Assessment Results

- Will provide results to case manager
- Will provide training to case managers
 - Interpretation of results
 - Use in service planning

Surveys

- Survey for Case Managers and Providers

https://dhs.iowa.gov/sites/default/files/ElderlyWaiverSurvey-CaseManagers-and-Providers_1.pdf

- Survey for members, caregivers and applicants

<https://dhs.iowa.gov/sites/default/files/ElderlyWaiverSurvey-Members-and-Applicants.pdf>

- Please distribute to members

Can be mailed to:

Telligen Core Standardized Assessments
1776 West Lakes Parkway
West Des Moines, Iowa 50266

Comments/Questions Assessment Selection

- Initial comments about assessments presented?
- What positives do you identify?
- What are your concerns?

Comments/Questions

Assessment Process

- What are the most important features of the assessment process to consider?
 - Scheduling
 - Setting
 - Method of assessment
 - Length of assessment
 - Participants
- What are your process preferences?

Comments/Questions

- What should we have asked you that wasn't asked today?
- Other comments?

Recap – Purpose of Webinar

- Provide background on BIP, assessments and requirements
- Obtain feedback from Iowa Medicaid stakeholders
- Inform selection of assessment and process for Elderly Waiver
- Surveys – another opportunity for feedback
- Email comments to DHSCoreStandardizedAssessments@dhs.state.ia.us

Contact Information

- Toll free number – 877-563-6972
- BIP/CSA Webpage
<http://dhs.iowa.gov/ime/about/initiatives/BIPP/CSA>
- Email
DHSCoreStandardizedAssessments@dhs.state.ia.us

Thank you!