



Elderly Waiver Survey for Case Managers and Providers

The Elderly Waiver program is selecting a new assessment. It will be a face-to-face assessment by a trained, independent assessor using a standardized tool. Your feedback is needed to help the Medicaid program choose the assessment tool. Please respond to the survey questions following the introductory information. Please follow the subsequent instructions for submitting this survey electronically.

Introductory Information

A core standardized assessment asks a standard set of questions about basic functional skills and abilities. Once the information is gathered, it can be used for:

- Level of care determination
- Identifying services and supports for individualized service plans, and
- Guiding the allocation of resources in an equitable and consistent manner.

The Centers for Medicare and Medicaid Services (CMS) require that the following five domains and topics be covered by the assessment tool:

1. Activities of daily living:

- Eating
- Bathing
- Dressing
- Hygiene
- Toileting
- Mobility
- Positioning
- Transferring
- Communicating

2. Instrumental activities of daily living (not required for children):

- Preparing meals
- Transportation
- Housework
- Managing money
- Shopping
- Telephone use
- Managing medications
- Employment



3. Medical conditions and diagnoses:

4. Cognitive function and memory/learning:

- Cognitive function
- Judgment/decision-making
- Memory/learning

5. Behavior concerns:

- Injurious behavior
- Destructive behavior
- Socially offensive behavior
- Uncooperative behavior
- Other serious behavior

Three tools are currently under consideration:

- **MDS 3.0**, <http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/NursingHomeQualityInits/MDS30RAIManual.html>
- **OASIS**, <http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/OASIS/Background.html>
- **interRAI-HC**, <http://catalog.interrai.org/>

Other tools may be suggested for consideration by emailing:

DHSCoreStandardizedAssessments@dhs.state.ia.us

Below is a high level comparison. Please copy the URLs above and paste in a web browser for further study of these tool options.

| MDS 3.0 | OASIS | interRAI-HC |
|--|---|---|
| Used by nursing facilities, hospital swing beds and in one BIP state | Used by home health agencies and in one BIP state | Used in 9 BIP states |
| Interview format with individual and observations of individual | Interview format | Separate interviews of individual and caregiver preferred |
| 20/28 CMS required topics | 19/28 CMS required topics | 27/28 CMS required topics |
| Some questions ask about last 7 days; some ask about last 14 days | Some questions ask about last 14 days | Some questions ask about last 90 days; some ask about last 3 days |
| Observation of tasks can occur prior to assessment | Demonstration of tasks not required | Demonstration of some tasks required |
| Appropriate for children and adults | Appropriate for children and adults | Appropriate for children and adults |



The Survey

After completing the survey, click on the “SUBMIT” button at the end of the survey. The completed survey will attach itself to your email account as a PDF attachment. Alternatively, you may print your completed survey and mail it to:

Telligen
1776 West Lakes Parkway
West Des Moines, Iowa 50266

For inquiries, please send us an email to DHSCoreStandardizedAssessments@dhs.state.ia.us.

1. Please identify yourself by choosing among the options below.

- I am a case manager
- I am an Elderly Waiver provider
- Other (please specify)

2. Who should attend the assessment interview? Check all that apply.

- Elder applicant or waiver
- Family member
- Members/caregivers/providers
- Case Manager

3. Where should the assessment take place?

- Member/elder home
- Provider office
- Other (please specify)



4. How long should the assessment take?

- Less than an hour
- 1 – 2 hours
- More than 2 hours

5. What are the most important things to assess? Check all that apply.

- Fall risks
- Activities of daily living (bathing, dressing, grooming)
- Medical needs
- Housekeeping needs
- Community activity needs
- Other (please specify)

6. How should the elder individual and care givers be interviewed?

- Together at the same time
- With the case manager
- Separate interviews

7. What role, if any, should the elder's physician have in the assessment process?

- Provide medical diagnoses
- No role
- Other (please specify)



8. What role should the case manager have in the assessment process? Check all that apply.

- Provide information related to functional abilities
- Act as liaison between assessment scheduler and member/family
- Provide contact information to the scheduler
- Contact the member and interview participants with details of the scheduled interview
- No role
- Other (please specify)

9. What role should the provider have in the assessment process? Check all that apply.

- Provide information related to functional abilities
- No role
- Other (please specify)

10. Of the three assessment tools described above, which one is your preference?

- MDS 3.0
- OASIS interRAI-HC
- None of the above
- No preference
- Other (please specify)



11. Please provide rationale for your decision in #10 above.

12. What suggestions do you have for the implementation of a new assessment process?

13. What else would you like the Elderly Waiver program to know?

SUBMIT