



Home and Community Based Services Settings Summary of Changes to Draft Transition Plan

This summary does not include minor wording changes that were made throughout the document to improve clarity. Significant changes are noted below.

General:

- The title was updated to indicate that this transition plan is for Iowa's Intellectual Disability (ID) Waiver
- Added an explanatory narrative to each of the three sections of the plan (assessment, remediation, and public comment)
- Removed columns for estimated hours and progress tracking. These were only needed for internal use and are not essential to include in the plan.
- The "players" column was removed. When necessary, stakeholders will be noted in the description column for each item or in the explanatory narrative for each section of the plan
- Documents listed in the Sources column have been updated with current titles, for example, "Modified Template for State Setting Analysis" has been changed to "Iowa HCBS Settings Analysis" throughout.

Assessment Section:

- The first 3 items in the draft plan, formerly titled "site of service breakdown", "site of service sort", and "assessment of site of service viability" were merged into one item titled "Settings Analysis" in order to clarify that these steps are all related to the development of the settings analysis document.
- The 6th and 7th items in the draft plan, formerly titled "site of service - individual identification (QOI)" and "site of service - individual identification (HCB)" were merged into one item titled "Onsite Assessment" in order to clarify that these are two aspects of the same process. The description for this item was expanded to provide more detail about the process.
- For the item titled "Enrolled Providers Self-Assessment", the end date has been changed to "ongoing" as this activity will continue indefinitely. The dates associated with the 2014 - 2015 process are noted in the description column for this item.
- The 11th and 12th items in the draft plan, formerly titled "Onsite Assessment Results Report" and "Onsite Assessment Results Report Presentation", have been merged into one item titled "Onsite Assessment Results Report" for brevity.

Remediation Strategies Section:

- The description for the "Provider Assessment Findings" item was expanded to clarify that results will be available to providers as discovery of issues occurs,

and the end date for the item was changed to “ongoing” as this activity will continue indefinitely.

- The description for the “Provider Individual Remediation” item was changed to clarify that a corrective action plan (CAP) is the avenue for providers to submit plans for remediation.
- The item titled “Compliance Tool” was deleted because compliance will be reviewed through the regular quality assurance process (including on-site reviews, provider self-assessments, and IPES results) rather than developing a separate compliance tool.
- The description for the item titled “Member Transitions to Compliant Settings” was changed to clarify that members will be afforded due process if relocation is necessary due to a setting not coming into compliance. The description also clarifies that the new setting must conform to the requirements in the regulation.

Public Comment Section:

- The first item, formerly titled “PSA - Assessment Plan and Public Input” was renamed as “Announcement of Public Comment Period” and the description was changed to emphasize activities to inform the public about the settings requirements and to seek public comment.
- For the “Assessment Findings Report” item, the sources/documents column was updated to clarify that to protect confidential data, results reported will be at the aggregate level and not the individual level.