



# Iowa Juvenile Home and State Training School for Girls

September 18, 2013

# Iowa Juvenile Home (IJH)

The Iowa Juvenile Home serves as the State Training School for Girls and is also a comprehensive residential facility for children.

The Iowa Juvenile Home is a comprehensive residential facility for children and is entrusted with providing effective interventions for the most troubled youth in the state. (EO 82)

# Applicable Regulations

IJH:

- Established in Chapter 218
- Iowa Administrative Code 441-Chapter 101 Iowa Juvenile Home and Chapter 103 State Training School
- 441-101 with a few exceptions requires IJH to meet requirements of
  - 441-114 Licensing and Regulation of all Group Living Foster Care Facilities for Children
  - 441-115 Licensing and Regulation of Comprehensive Residential Facilities for Children

# Basic Information

- Projected SFY14 Budget - \$10,309,502
- Serve up to 57 youth daily
- Staffing – 114 Full Time Equivalents
  - 69% Direct Care
  - 11% Education
  - 8% Support
  - 6% Treatment and Professional
  - 6% Administration

# Buildings and Infrastructure

- Buildings
  - 142,320 sq. ft. in 13 Buildings from 1920's – 2010
  - 27 acre campus
- In 2006 the Legislature provided \$8.1M for a new school and special treatment needs. Project was finished in 2010
  - New School
  - New Infirmary
  - Support Unit

# Youth Profile

- On September 03, 2013 IJH served:
  - 30 Girls
  - 8 Boys
- Ages 12 to 18 years of age
- Average 15 - 16 years of age
- Average 6 -10 previous placements
- Discharged to parental home – 24%

# Youth Profile

- Girls
  - Children in Need of Assistance (CINA) 66%
  - Delinquent 26%
  - Both CINA and Delinquent 8%
- Boys
  - CINA 25%
  - Both CINA and Delinquent 75%

# Youth Profile

- Most Frequent Reasons for Placement
  - Assault
  - Criminal Behavior
  - Self Abuse
- Most Frequent Diagnosis
  - Conduct Disorder
  - Attention Deficit Hyperactivity Disorder
  - Antisocial/Borderline Personality Traits
  - Post Traumatic Stress Disorder
  - Substance Use Disorder

# Youth Profile

- Delinquent youth:

Adjudicated Offense:

Frequency:

|   |    |   |
|---|----|---|
| – Assault                               | 6  |   |
| – Assault causing injury                | 12 |   |
| – Assault on peace officer              | 5  |   |
| – Assault/certain occupations           | 3  |   |
| – First degree robbery                  |    | 2 |
| – Armed with intent                     | 1  |   |
| – Willful injury causing serious injury | 1  |   |

- **CINA Non-adjudicated Acts in Prior Placements**

- 31/32 Assaults
- 26/32 Self-abuse
- 25/32 Both assault and self-abuse

# Youth Placement

- IJH is the Placement of Last Resort
  - Case managers typically seek other options first
- Case Manager Recommends Placement
  - DHS case manager for CINA
  - JCS case manager for delinquent
- Court Directs Placement
  - Court decision may be different than the case manager's recommendation

# Topics

- Use of Seclusion and Restraint
- Staff Training
- Education/Special Education
- Substance Abuse Program

# Seclusion

- Seclusion is the involuntary confinement of a youth alone in a room or an area from which the youth is physically prevented from leaving
  - Definition from 42 CFR 483 Federal for inpatient psychiatric services for individuals under age 21
- Each of the cottages has a seclusion room
  - The room is also used for voluntary time out
- The Support Unit
  - Has individual seclusion rooms
  - The front door has, in the past, been locked

# EO 82 Seclusion Update

- On August 12, 2013 IJH implemented a new seclusion policy and all staff were trained by Aug. 28. The policy, which met Chapters 114 and 115, now has new requirements that exceed those expectations.
- Key requirements include:
  - Positive, less restrictive methods must be used before using seclusion
  - Seclusion is used only as a last resort
  - Seclusion must end immediately when it is determined safe to do so
  - Only trained staff can use seclusion
  - Supervisors must approve seclusion longer than one hour
  - Psychiatrist must approve seclusion longer than 12 hours in a 24 hour period
  - Seclusion cannot last longer than a maximum of 24 hours

# EO 82 Seclusion Update cont.

- The new policy includes components of the federal requirements for inpatient psychiatric services for individuals under the age of 21:
  - Post incident review for seclusions longer than 2 hours
  - A behavioral assessment is done if seclusion is used frequently
  - Quarterly peer review of the use of seclusion

# EO 82 Seclusion Update cont.

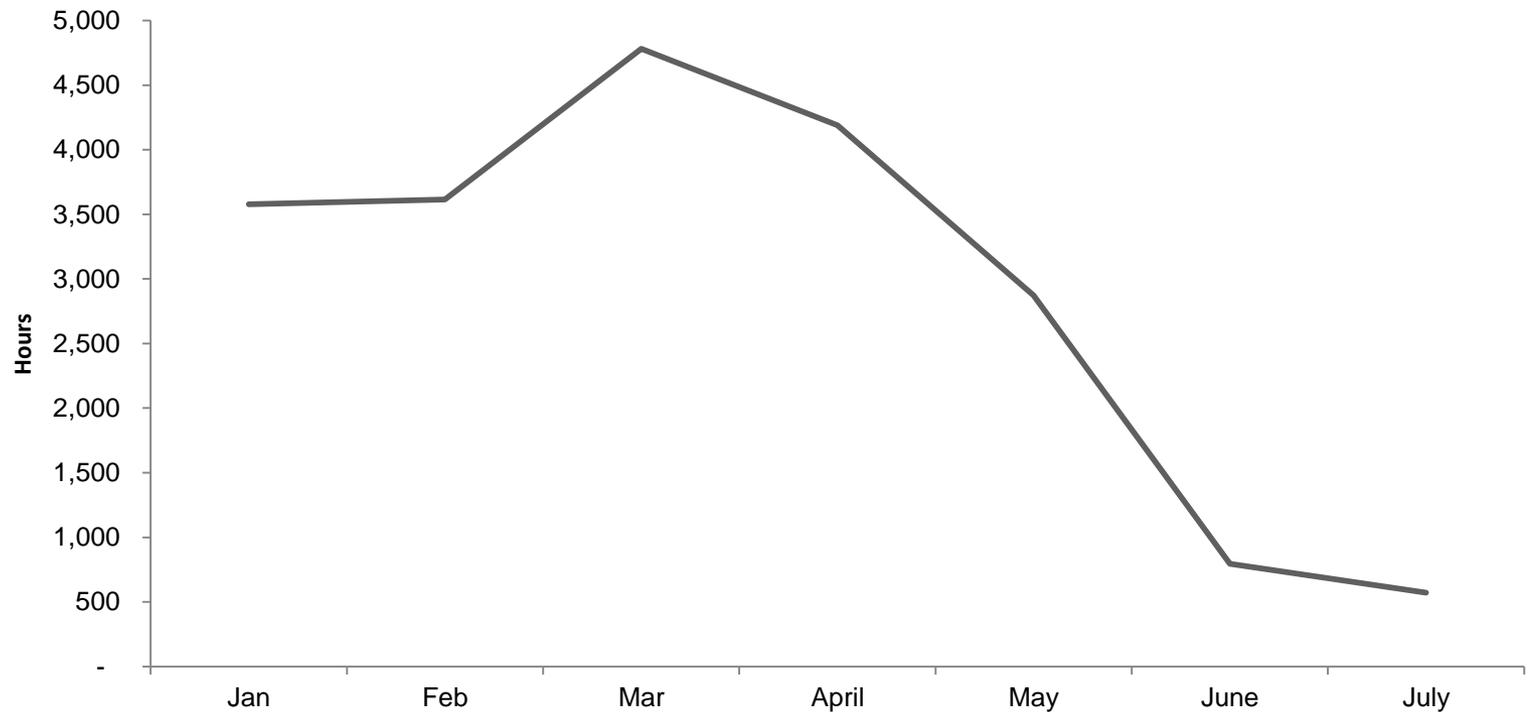
- Changes to the Support Unit include:
  - Doors removed to four seclusion rooms in the Support Unit
  - Support Unit front door is unlocked except if a youth in the unit requires that level of safety
  - No minimum length of stay in support unit

# EO 82 Seclusion Update cont.

- Next Steps
  - Assist students in the identification and utilization of coping strategies as alternatives to voluntary seclusion
  - Continue training staff on how to de-escalate youth in crisis
  - Track use of seclusion for individuals with high usage

# Seclusion Trend Data

## Campus Wide Hours of Seclusion 2013



# Restraint

- Physical restraint is the direct physical contact required on the part of a staff member to prevent a child from hurting self, others or property.
- The original restraint policy met Chapter 114 and 115 requirements however it was updated August 12, 2013 to add new requirements.

# EO 82 Restraint Update cont.

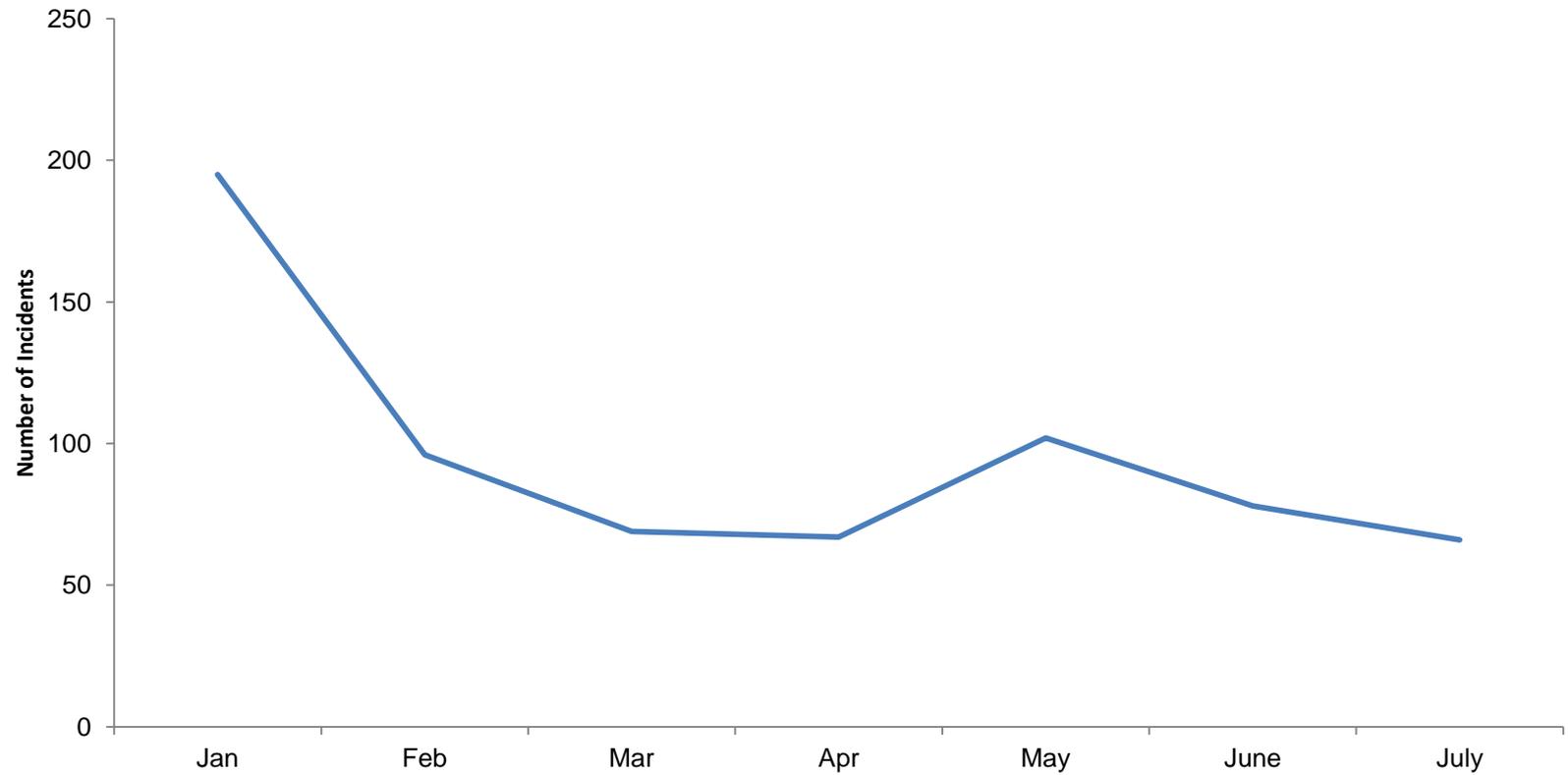
- The new restraint policy requirements include:
  - Less restrictive methods must be used before using restraint
  - Restraint is used only as a last resort
  - Restraint must end immediately when it is determined safe to do so
  - Only trained staff can use restraint
  - Staff must follow restraint procedures they are trained in based on a nationally recognized method – *Handle with Care*
  - Post incident review for restraint lasting longer than 5 minutes
  - Behavioral assessment when restraint is used frequently
  - Quarterly peer review of the use of restraint

# EO 82 Restraint Update

- There will continue to be a focus on developing a positive, successful, engaging environment
- Between July 16, 2013 and August 28, 2013 all staff were trained on Handle with Care, a nationally recognized intervention system. This training will continue throughout the year
- There will be continued training of staff on how to help de-escalate youth in crisis
- Closely monitor the use of restraints

# Restraint Trend Data

## Number of Restraint Incidents 2013



# EO 82 Staff Training Update

Staff training has been and remains a key strategy to provide effective services as well as a key strategy to reduce the use of restraint and seclusion AND for creating a positive environment for youth and staff.

- Between July 31 and today all staff received real time face to face training on how to de-escalate youth
- All staff were trained on the *Handle with Care* intervention system
- Additional training was provided as noted in the next chart

# Selected 2013 Staff Training

| TOPIC   | TRAINER  | NUMBER OF STAFF | NUMBER OF HOURS |
|---|--|-----------------|-----------------|
| Science of Human Behavior                         | Susan Smith  | 29              | 73              |
| Building Rapport                                  | Mark Day   | 47              | 147             |
| Treatment of Maladaptive Behavior                 | Mark Day   | 21              | 54              |
| Leaving the Door Open to Seclusion and Restraints | Federal Substance Abuse and Mental Health Services Administration          | 7               | 14              |
| Trauma Informed Care                              | Jeff DeVine & Tammi Hoffman, Dr. Brian Simms & Sharon Wise, Frank Grijalva | 11              | 110             |
| Handle With Care                                  | Variety of Trained Trainers  | 47              | 569             |

# EO 82 Staff Training Update cont.

- Trauma Informed Care training has been arranged and all staff will be trained
- Training for school personnel is in future slides
- The use of the hands-on application of Science of Human Behavior will continue

# Education Services

- IJH operates a full time school
- Education services are funded by the Department of Education and Toledo's general fund appropriation
- The projected SFY14 education budget is \$1,131,699
- Staffing includes a principal and 10 certified teachers
- 61% of the students are in special education
- There were 7 high school graduates and 5 GED graduates in SFY 13

# Education Services cont.

## **General Education**

- Students start their education where they left off last in their schooling
- No student is suspended or expelled from school
- IJH provides a basic curriculum for the youth it serves

## **Special Education**

- IEPs are maintained and/or developed for children in Special Education
- Special Education requires transition plans for youth beginning at 14 years of age

# Education Update cont.

Steps taken to improve services:

- Classroom size reduced from 10 to 7
- A Transition class room is available for youth unable to remain in regular classrooms due to disruptive behavior
- A review of all of the IEPs
- Collaboration with AEA to ensure Special Education compliance

# Education Update cont.

Significant focus on collaboration with AEA

Who: IJH administration, principal and teachers, AEA consultant and administrator

What: Weekly meetings

Why: Review of IEPs, objectives and strategies

Objective:

- Improved IEP compliance
- Specialized instruction for students
- Transitional planning
- Resource planning and utilization

# Education Update cont.

## Special Education Training:

- Who: IJH Principal, Educators and Administrators
- What:
  - IMS IEP compliance system {Key-star}
  - Online curriculum- CORE and Electives
  - Progress monitoring {FBA & BIP}
  - Transition Planning

# Education Update cont.

## Special Education Application and Monitoring:

- Ongoing application of assessment tool
- Ongoing continuing education
- Continued collaborative progress monitoring
- Broadening of and accountability for transitional services
- Development of 1,3, 5 year plan for SPED services and compliance

# Substance Abuse Program

- July 29 - IJH Licensure Inspection
- August 6 - 15 criteria noted as “out of compliance”
- August 22-23 – SA counselors trained in I-Smart data collection program
- August 26 - Requested technical support from IDPH and collateral agencies (RSAT)

| Area of non-compliance  | Corrective Action   | Projected date of completion  |
|---|---|---|
| Clinical Oversight (program supervisor)   | Submitted Mark Day as interim program supervisor.   | IDPH is reviewing and will respond by 9/17/13.  |
| Failed to report data to the data repository  | Counselors to attend I-SMART training and implement state wide data reporting. Updated policy 9.06 to include I-SMART system.   | Completed – attended training on 8/22-23, 2013 and have begun to enter client data into system. |
| Failed to document critical clinical issues on intake/placement screening                                       | Update placement screening template to include youth’s strengths, needs, counselor impressions, and critical clinical issues.   | Completed and included in corrective action plan.   |
| Treatment Plans were in non-compliance in six areas.  | Counselors received technical assistance from IDPH for clarification in areas of non-compliance. All forms (templates) in regards to treatment plans have been updated and I-SMART has been implemented.                | Completed but will be ongoing through the use of I-SMART.                                       |
| Continuing Stay Reviews (ASAM) were not rated properly and were incorrectly attached to treatment plan reviews. | Re-evaluate our understanding of ASAM dimensions which included consultation with the Boy’s State Training School Substance Abuse Department. Implement Clinical Oversight policy (# 9.09) and update peer review form. | Completed   |
| Quality Improvement program was not evaluated annually  | Will begin with the hiring of a Treatment Programs Administrator  | On September 12, 2013 discussed with Jeff Gronstal and he approved this plan                    |

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