



# Children's Mental Health and Habilitation Assessment Selection and Process

Balancing Incentive Program  
Core Standardized Assessments

# Introductions

- Presenter introductions
  - Patricia Johnston, BIP Program Manager
  - Core Standardized Assessments
    - Jan Jordan
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# Agenda

- Balancing Incentive Program (BIP)
- Waiver and Habilitation Assessments
- Assessment requirements
- Assessments under consideration
- Assessment process
- Discussion and comments

# Balancing Incentive Program (BIP)

- States must reach 50% threshold for community based LTSS by September 2015.
- Have exceeded threshold several times but percentages fluctuate
- Iowa received an additional 2% FMAP for structural changes

# BIP Structural Reforms

- No Wrong Door
- Conflict Free Case Management
- Core Standardized Assessments

# Conflict Free Case Management

- Clinical or non-financial eligibility determination is separated from direct service provision
- Case managers and evaluators not related to the individual
- Robust monitoring and oversight

# Conflict Free Case Management (cont)

- Safeguards and firewalls to mitigate risk of conflict
- Grievances or appeals
  - Clear, accessible pathways for consumers
  - Tracked, monitored and used to inform policy and operations
- Meaningful stakeholder engagement strategies

# Core Standardized Assessments (CSA)

- Uniform assessments to determine individual need
- Person-centered
- More accurate reflection of individual need
  - Prevents over- and under- utilization
- Inter-rater reliability = quality assurance
- Core domains

# CMS Required Core Data Set Domains and Topics

## ADLs

- Eating
- Bathing
- Dressing
- Hygiene
- Toileting
- Mobility
- Positioning
- Transferring
- Communicating

## IADLs (not required for children)

- Preparing Meals
- Transportation
- Housework
- Managing Money
- Shopping
- Telephone Use
- Managing Medications
- Employment

# Required Core Data Set Domains and Topics (cont.)

## Medical Conditions/Diagnoses

## Cognitive Function & Memory/Learning

- Cognitive Function
- Judgment/Decision-Making
- Memory/Learning

## Behavior Concerns

- Injurious Behavior
- Destructive Behavior
- Socially Offensive Behaviors
- Uncooperative Behavior
- Other Serious Behavior

# Assessment Tools Under Consideration

- interRAI Community Mental Health (iCMH)
  - <http://www.interrai.org/community-mental-health.html>
- Level of Care Utilization System (LOCUS)
  - [http://www.dhs.state.il.us/OneNetLibrary/27896/documents/By\\_Division/MentalHealth/FY2011/Locus2010/LOCUS2010.pdf](http://www.dhs.state.il.us/OneNetLibrary/27896/documents/By_Division/MentalHealth/FY2011/Locus2010/LOCUS2010.pdf)
- Adult Needs and Strengths Assessment (ANSA)
  - <http://praedfoundation.org/tools/the-adult-needs-and-strengths-assessment-ansa/>
- Daily Living Activities (DLA-20)
  - [http://www.bharp.org/sites/default/files/DLA20%20Adult\\_Assessment\\_of\\_Functioning09.pdf](http://www.bharp.org/sites/default/files/DLA20%20Adult_Assessment_of_Functioning09.pdf)



# Reviewing Assessment Tools

- Copyright laws prohibit reproduction
- Use websites to review
  - View the assessments
  - Sample questions available for iCMH by clicking on the picture of the book

# Assessment Comparison

<b>ANSA</b>	<b>LOCUS</b>	<b>interRAI CMH</b>	<b>DLA-20</b>
<b>Used in 3 BIP states</b>	<b>Used by 4 BIP states</b>	<b>Used by 4 BIP states, being considered in 1 more</b>	<b>Used by 1 BIP state, being considered in 1 more</b>
<b>Interview and observation format, other resources as available</b>	<b>Interview and observation, review of historical information</b>	<b>Interview and observation, discussion with family/care team and review of records</b>	<b>Interview format</b>
<b>8/28 CMS required topics</b>	<b>5/28 CMS required topics</b>	<b>23/28 CMS required topics</b>	<b>16/28 CMS required topics</b>
<b>30-day window with option to over-ride the rating period</b>	<b>Scores represent clinical picture at the time of the evaluation</b>	<b>Some questions ask about last 90 days; some ask about last 3 days</b>	<b>Uses a 30 day window</b>
<b>All ADLs/IADLs are covered by two elements: Self Care and Living Skills</b>	<b>ADLs/IADLs are covered by Functional Status, but only hygiene, communication, and work are specifically mentioned.</b>	<b>Positioning, bathing and dressing were not clearly addressed</b>	<b>Addresses ADLs/IADLs, some additional questions needed</b>
<b>Appropriate ages 18 and over</b>	<b>Appropriate ages 18 and over</b>	<b>Appropriate for ages 18 and over; children addressed by ChYMH</b>	<b>Appropriate ages 18 and over</b>

# Assessment Process

- Persons newly entering services – referred to BIP (Telligen) through ISIS
- Current ISIS process in place for ID Waiver and ICF/ID
- Schedulers contact member/guardian to schedule assessment
- Location of assessment in member home unless otherwise indicated
- Duration – 1- 1.5 hours

# Person-centered Process

- Member/guardian decides who will attend assessment
- Scheduler will contact participants per member direction
- Unlike the SIS, information may be obtained from other sources
  - Physician
  - Medical records
  - Case manager
  - Provider

# Assessor Assignment and Training

- Scheduler assigns to assessor in same region as member
- Assessors strategically located across the state
  - Experienced in working with persons impacted by disabilities
  - Trained by the creators of the assessment
  - Demonstrated proficiency

# Quality Assurance

- Quality Assurance Plan
  - Introductory script for all assessors
  - Trained quality assurance reviewers provide ongoing oversight
  - Process of tracking key questions to ensure consistent interpretation of assessment items
  - Ongoing testing for consistency and accuracy

# Appeal Process

- If the member doesn't agree with the determination of the core standardized assessment
  - Same process as is currently used
  - Assessment itself is not a decision
  - Information from assessment is used to inform decisions
  - Level of care or service decision may be appealed as it currently is done

# Hard to Reach Members

- Many new families are hesitant to schedule the assessment
- For IDW we have made 12 attempts to contact and rescheduled up to 5 times
- Will request assistance from the case manager if needed
- Have not defined a limit to number of attempts



# Assessment Results

- Will provide results to case manager
- Will provide training to case managers
  - Interpretation of results
  - Use in service planning

# Comments/Questions Assessment Selection

- Initial comments about assessments presented?
- What positives do you identify?
- What are your concerns?

# Comments/Questions

## Assessment Process

- What are the most important features of the assessment process to consider?
  - Scheduling
  - Setting
  - Method of assessment
  - Length of assessment
  - Participants
- What are your process preferences?

# Comments/Questions

- What should we have asked you that wasn't asked today?
- Other comments?

# Recap – Purpose of Webinar

- Provide background on BIP, assessments and requirements
- Obtain feedback from Iowa Medicaid stakeholders
- Inform selection of assessment and process for Children's Mental Health Waiver and Habilitation
- Email comments to [DHSCoreStandardizedAssessments@dhs.state.ia.us](mailto:DHSCoreStandardizedAssessments@dhs.state.ia.us)

# Contact Information

- Toll free number – 877-563-6972
- BIP/CSA Webpage  
<http://dhs.iowa.gov/ime/about/initiatives/BIPP/CSA>
- Email  
[DHSCoreStandardizedAssessments@dhs.state.ia.us](mailto:DHSCoreStandardizedAssessments@dhs.state.ia.us)

Thank you!