



ICD-10 Provider Readiness Survey #4

Survey Date: August 2014 – September 2014

Summary Analysis

IME survey result reveals progressive trend among providers toward implementation of ICD-10 as October 1, 2015 deadline approaches

- 40 percent of providers surveyed indicated that they are updating their own current software for ICD-10 compliance while 60 percent rely on their clearinghouse/vendors to update their system.
- Of the 40 percent of providers that are updating their own system, 90 percent are already engaged in the implementation process with 30 percent indicating that they have completed between 1-75 percent of IT systems update and 22 percent indicating 76-100 percent completion of their systems update. This shows a significant increase in ICD-10 implementation activity among providers compared to the average of the previous three surveys as the 2015 deadline approaches.
- Of the 60 percent of providers that indicated reliance on their vendors to update their system, more than half indicated that their clearinghouse/vendors **are ready** for ICD-10 (a 13 percentage point increase from the average of the previous three surveys) while only 5% indicated that their clearinghouse/vendors **are not ready** (a 46 percentage point drop from the average of the previous three surveys). This is consistent with the recent result of the [Workgroup for Electronic Data Interchange \(WEDI\) vendor survey](#).
- 83 percent of the providers surveyed indicated that they will be ready to utilize ICD-10 codes by the CMS compliance date of October 1, 2015. The survey reveals that approximately 60 percent of the providers that responded do not plan to begin end-to-end testing with payers like the IME until the beginning of 2015 with 34 percent indicating that they intend to do testing between July and September 2015.

1. What best defines the type of provider that you are?

17 percent are Independent practitioners (physicians, nurse practitioners, PAs, therapists, psychologists).

14 percent are Home Health providers

13 percent are skilled nursing facilities and long term and intermediate care providers

2. How many clinical staff is in your organization (doctors, nurses, mid-level providers and therapists)?

87 percent of respondents indicated that their facility has between 1-50 clinical staff.

3. Do you use ICD-9 diagnosis or ICD-9 procedure codes in the work you currently do for the IME?

54 percent currently identify use of ICD-9 diagnosis and procedure codes and 40 percent using diagnosis codes only.

4. How do you currently use the ICD-9 diagnosis or ICD-9 procedure codes?

87 percent indicated that they use ICD-9 diagnosis or procedure codes to submit claims or service records electronically while 55 percent indicated that they use it to submit claims or service records via paper. This indicates that some providers use both electronic and paper submissions.

5. How complete is your planning for dedicating resources to ICD-10 implementation efforts?

1-50 percent of planning completed = 48% of respondents (compared to 44% in previous survey)
51-100 percent of planning completed = 33% of respondents (compared with 20% in previous survey)

12 percent have not started plans for dedicating resources versus 21 percent in previous survey.

6. Have you developed an ICD-10 training plan for your organization?

36 percent have developed an ICD-10 training plan compared to 29 percent in the previous survey.
46 percent have not developed a training plan compared to 51 percent in previous survey.

7. Have you received information from your major health plans on their ICD-10 plans?

12 percent answered “YES” and 20 percent answered “NO” which is virtually unchanged from the previous survey.

8. Is your clearinghouse or billing vendor ready for ICD-10?

51 percent of providers indicated that their clearinghouse/vendors are ready compared to 38 percent in the previous survey.

5 percent indicated that their clearing house/vendors are not ready compared to 50 percent in the previous survey (a significant trend toward implementation consistent with the result of the recent [Workgroup for Electronic Data Interchange \(WEDI\) vendor survey](#)).

9. Does your Patient Billing System generate 5010-compliant transactions natively or is a vendor or clearinghouse creating the 5010-compliant transactions from a print output from the Patient Bill (CMS-1450 or CMS-1500) or other Patient Transaction?

54 percent indicated that billing system generates transactions natively while 47 percent indicated that their clearinghouse/vendor generate their transaction.

This is virtually unchanged from previous survey.

10. Have your software vendors provided updated software that is ready for ICD-10?

53 percent of respondents indicated that their vendors have provided updated ICD-10 compliant software compared to 50 percent in previous survey.

Only 13 percent of respondents indicated that their vendors have not provided updated software that is ICD-10 compliant.

This is again, consistent with the result of the WEDI vendor survey.

11. If you have not begun ICD-10 implementation planning activities, please provide the reasons/obstacles.

Of the respondents that indicated that they have not begun ICD-10 implementation plans (12 percent according to Question #5), 63 percent cited “other priorities and competing projects” as the primary reason.

12. Do your strategies for systems and/or software updates, design or solution development for your ICD-10 products and services include the following?

A significant portion (64 percent) of the respondents indicated that they rely on their vendors to update their systems to be ICD-10-compliant.

13. How complete are your IT systems update, design, and/or development plan(s) related to the ICD-10 transition?

Of the 40 percent of providers that are updating their own system, 90 percent are already engaged in the implementation process with 30 percent indicating that they have completed between 1-75 percent of IT systems update and 22 percent indicating 76-100 percent completion of their systems update. This shows a significant increase in ICD-10 implementation activity among providers compared to the average of the previous three surveys as the 2015 deadline approaches. It is also important to note the significant drop (from 18 percent down to 8 percent) in the percentage of providers that “have not started” IT development plans since the last survey.

14. When do you plan to be ready to perform end-to-end testing with payers such as Iowa Medicaid Enterprise?

This question was not in the previous three surveys.

The survey reveals that approximately 60 percent of the providers that responded do not plan to begin end-to-end testing with payers like the IME until the beginning of 2015. The data reveals that there will be an accelerated rate of end-to-end testing activities from January 2015 until September 2015.

15. Do you expect to be able to utilize ICD-10 codes on the CMS compliance date of October 1, 2015?

This question was not in the previous three surveys but resembles Q #14 of the previous surveys.

83 percent of the providers surveyed indicated that they will be ready to utilize ICD-10 codes by the CMS compliance date of October 1, 2015.

16. Would you be interested in collaborating with the Iowa Medicaid Enterprise (IME) as a partner for external testing?

This question was not in the previous three surveys but resembles Q #14 of the previous surveys.

Only 16 percent of respondents indicated that they are interested in end-to-end testing with the IME while 40 percent indicated that they are NOT INTERESTED. This would be consistent with the response to Q #12 which indicates that 64 percent of the respondents rely on external vendors. It is important to note that the [WEDI vendor survey](#) indicates that 66 percent of vendors are ready now for end-to-end testing.

RESULT OF SURVEY # 4

If you have any questions on ICD-10 please send an email to: ICD-10project@dhs.state.ia.us
For more information (including the full survey results), please visit the [ICD-10 website](#).