

Osceola County Annual Report
F.Y.E. 6/30/13

This report is being prepared as a review of the goals and objectives as stated in the Osceola County Management Plan for the Fiscal Year 2013. This report, in accordance with Iowa Administrative Rule 441-25.17 will include, but is not limited to: 1) Progress towards goals and objectives, 2) Documentation of stakeholder involvement, 3) Actual Provider Network, 4) Actual Expenditures, 5) Actual Scope of Services, 6) Number, type and resolution of appeals, 7) Quality assurance implementation, findings, and impact on plan, and 8) Waiting list information.

Progress towards goals and objectives

Progress toward goals and objectives:

Goal 1: Osceola County will assist Seasons Center for Community Mental Health to develop a fee for service system and eliminate the current block grant funding system.

Measurable Objective A:

Osceola County and Seasons Center will develop cost saving and fee for service rates for the following services: Evaluation, Medication checks, Community Support Services and Outpatient therapy.

The CPC Administrator has been working with the other county CPC Administrators (in the region covered by Seasons Service Area) and the provider and although progress has been made toward this goal, it has not been met. There is resistance from the provider as they do not believe a complete fee for service payment system is plausible, they have taken steps to provide to the counties the information requested. The provider has also worked extensively to organize their data system to facilitate cost centers, in the effort to move toward a fee for service system.

Measurable Objective B:

Osceola County and Season Center will develop cost saving and fee for service rates for the following services: Consultation and Education, Indigent Medication Program.

The CPC Administrator has been coordinating efforts with other CPC Administrators in the Seasons coverage area to increase accountability from this provider as to the use of the block grant dollars provided by the counties for operation purposes. Unfortunately, instead of decreasing this dollar amount, due to the financial constraints of the provider, counties in the coverage area were asked to not only continue the block grant dollars but also make a payment to the provider to infuse their cash flow. Though the county Board of Supervisor were in support of this cash infusion, because of the dire budget constraints of the mental health fund Osceola County was not able to provide the dollars asked for by this provider. The County instituted a waiting list as of 5/24/2011 for the 6/30/11 fiscal year, since the "catchment area fee/monthly block grant" is not a mandated service, nor can be cost tracked to any one individual who meets the waiting list criteria of needing critical care, the county has not paid the monthly amount to Seasons Center, but continues to pay for the fee for service billing. We continue to have difficulty in getting client information that supports the use of dollars for the services encompassed in the Consultation and Education and Indigent Medication Programs, though we do receive generic counts of individuals that have use or accessed this service, thus this goal has not been met.

Measurable Objective C:

Osceola County and Season Center will develop cost saving and fee for service rates for the following services: Emergency Services and Administrative costs.

To date, no conversations have been held that would indicate to the county that Seasons has the desire to move toward this goal. Seasons will participate for the first time in the rate negotiations process established by the Northwest Iowa Contracting Consortium for rate setting for the 6/30/14 fiscal year. This remains a goal of the Seasons executive board also, but to date, there has been no progress on this issue.

This goal remains unmet for the 6/30/13 fiscal year, the Northwest Iowa Contracting Consortium allowed mental health centers to be pre-empted from our current rate negotiations process due to all the changes that occurred with the addition of CPT coding. In the midst of this change and the regional change there was no progress made toward this objective.

Goal 2: Osceola County will improve public access to services and programs.

Measurable Objective A:

By the end of Fiscal Year 2010, Osceola County will work with local providers to provide ongoing public/consumer education regarding the Managed Mental Health/Developmental Disabilities Care Plan, the CPC processes, and the services offered through the plan.

The CPC Administrator participates in several local health planning boards, to provide ongoing public education regarding the Managed Mental Health/Development Disabilities Care Plan, and annually participates in local Health Fairs to help provide information to the public about the services the county can provide to its residents. Also, as part of the Public Health five year assessment, a recent Mental Health Committee has been formed to address the need of lack of knowledge of mental health services in the area.

Measurable Objective B:

By the end of Fiscal Year 2011, Osceola County CPC Administrator will participate in the processes that use a collaborative approach to transition from school to adult services.

The CPC Administrator participates in the quarterly Transition Planning Council with representatives of AEA, schools, and other counties in the AEA coverage area to discuss topics relating to the issue of transitioning students to the services and supports they need as they are graduating out of high school and planning for the future. The CPC's that attend this group have prepared helpful tools and "cheat sheets" for the teachers and schools to utilize when determining whether county funded services will be needed for success of their students.

Measurable Objective C:

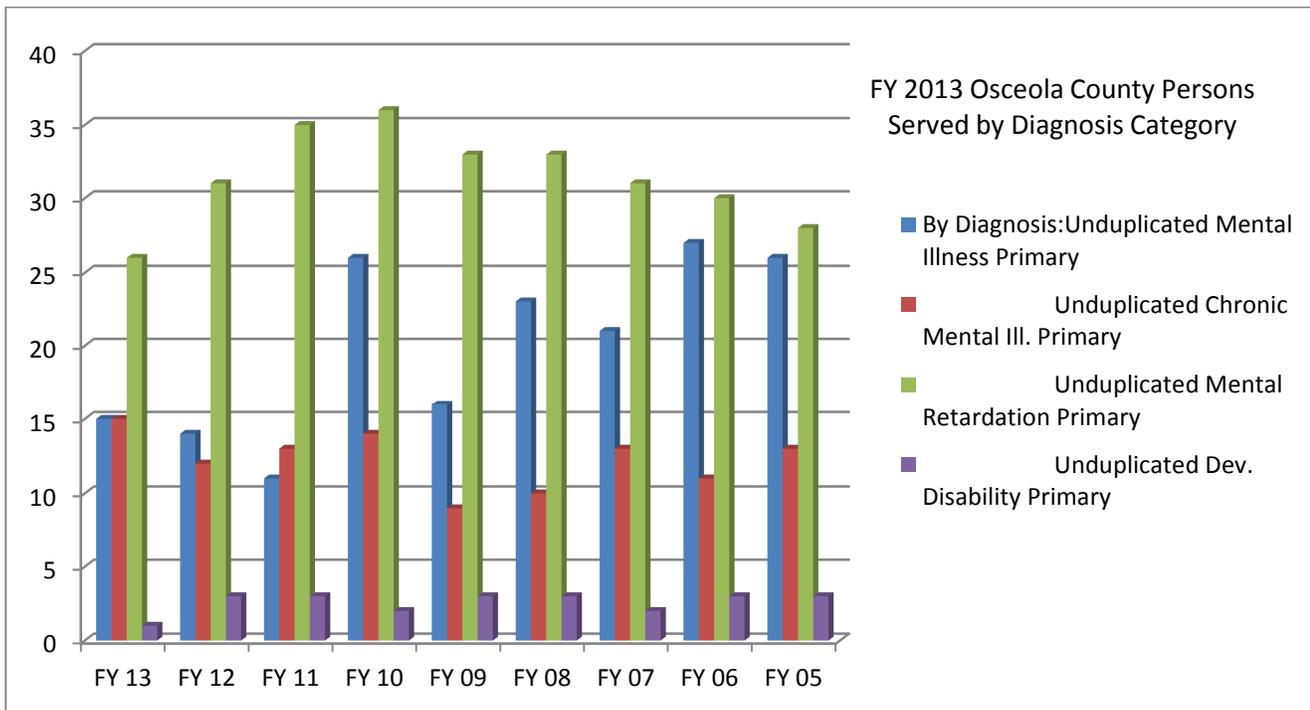
By the end of Fiscal Year 2012, Osceola County will participate with local providers in publication of a countywide directory of services to be issued through local community schools, providers, and agencies.

While this remains a goal for the CPC Administrator, this has not yet been accomplished, and may not be realistic to attain due to time constraints, but the CPC Administrator will continue to work with other providers and schools in the area to inform the public about the MH/DD service system. This goal remains unmet.

General Information

Osceola County has had no new referrals of consumers receiving case management services in the current fiscal year. The total numbers of individuals receiving inpatient services was reduced to 5 individuals (4 of which were juveniles that the county financial responsibility was only legal representation and sheriff's transportation). The county continued to receive billing for one of its individuals that was court committed in 2007 to the geriatric psychiatric unit at the Clarinda Mental Health Complex even though this individual has passed away, but the Mental Health Center has been several months behind in its billing for those services. Osceola County currently serves a total of 57 consumers (a decrease of 3 from F.Y. 2012), 2 of which are children with mental retardation (the same as 2012) and 6 that have court commitment costs associated with

those juveniles. Forty nine are adults, 10 with Mental Illness (16 in '12), 14 with Chronic Mental Illness (compared to 10 in '12), 27 with Mental Retardation (compared to 29 in '12), and 1 with a Developmental Disability (3 in '12).



Stakeholder Involvement:

Osceola County emphasizes the importance of natural support systems not only in times of crisis, but also for day to day operations. The Osceola County CPC sits on the AEA Transition Advisory Board, which has been very helpful in earmarking potential clients before becoming an adult. The CPC is invited to the students IEP so transition planning begins many times in the sophomore year of school, which is a big plus when it comes to accessibility, coordination and continuity of services for our clientele. For clients currently in our system we encourage active participation on the client and families part and try to meet their needs ongoing. Osceola County has tried to make a conscientious effort to move all clients into a more independent living situation as appropriate.

The CODO Planning Council met four times over the past year with stakeholders such as CPC’s, Board of Supervisors, Parents, Clients, Providers, Case managers, Dept. of Human services and others interested, at which time we discussed various issues confronting our four county area. Members from our planning council also function as the Seasons Center case management advisory board. The county’s Community Services Consultant from the Dept. of Human Services representative is very helpful in keeping us informed of what is going on locally and statewide level. The CPC also has attended the local suicide prevention coalition meetings at the local hospital and met on a quarterly basis with regional CPC and our contracted mental health center. We have 14 consumers receiving targeted case management services for which consumer satisfaction is a continual process, and the CPC has attended yearly and bi-yearly staffing for 20 of the 43 consumers currently being served that are not receiving targeted case management services.

Actual Provider Network

Area Education Agency
1382 4th Ave. NE
Sioux Center, IA 51250
712-722-4378

Consumer Transitioning

Cherokee Mental Health Institute
1200 West Cedar Loop
Cherokee, IA 51012
712-226-2594

Inpatient Involuntary Hospitalization
Inpatient Voluntary Hospitalization
Outpatient Psychiatric Services

Clarinda Treatment Complex
1800 North 16th Street
Clarinda, IA 51632

Inpatient Involuntary Hospitalization

Client Community Services, Inc.
P. O. Box 23
Worthington, MN 56187
507-376-3171

MR Waiver Services, Respite Services

County Case Management Services
501 SW 7th St., Suite Q
Des Moines, IA 5039-09-4540

Case Management Consultation

Hope Haven, Inc.
1800 19th Street
Rock Valley, IA 51247
712-476-2737

Work Activity, Sheltered Work, Community Support Apartment Living, Supported Employment, Day Treatment, ICR/MR, RCF/MR, Support Services, Supported Work, Waiver Services

Nobles County DAC
P.O. Box 456
Worthington, MN 56187

Work Activity

Osceola Employment Services
1109 Egret Ave.
Sibley, IA 51249
712-754-2635

Work Activity, Supported Community Living, Supported Work

Osceola County Sheriff's Office
309 6th St.
Sibley, IA 51249

Commitment Transportation

The Pride Group (LeMars & Primghar)
& Spirit Lake)
1240 Lincoln Street NE
LeMars, IA 50131
712-544-2400

RCF

Echo Plus, Inc.
 1808 Jackson Ave.
 Spirit Lake, IA 51360
 712-362-5929

Work Activity, Supported Community Living,

Seasons Center for Community Mental Health
 201 E. 11th Street
 Spencer, IA 51301
 712-262-2922

Consultation, Day Treatment, Case Management,
 Public Education, Prevention Programs,
 Community Support Program,
 Evaluation for services/commitment

Spencer Hospital
 1200 1st Ave. East
 Spencer, IA 51301
 712-264-6198

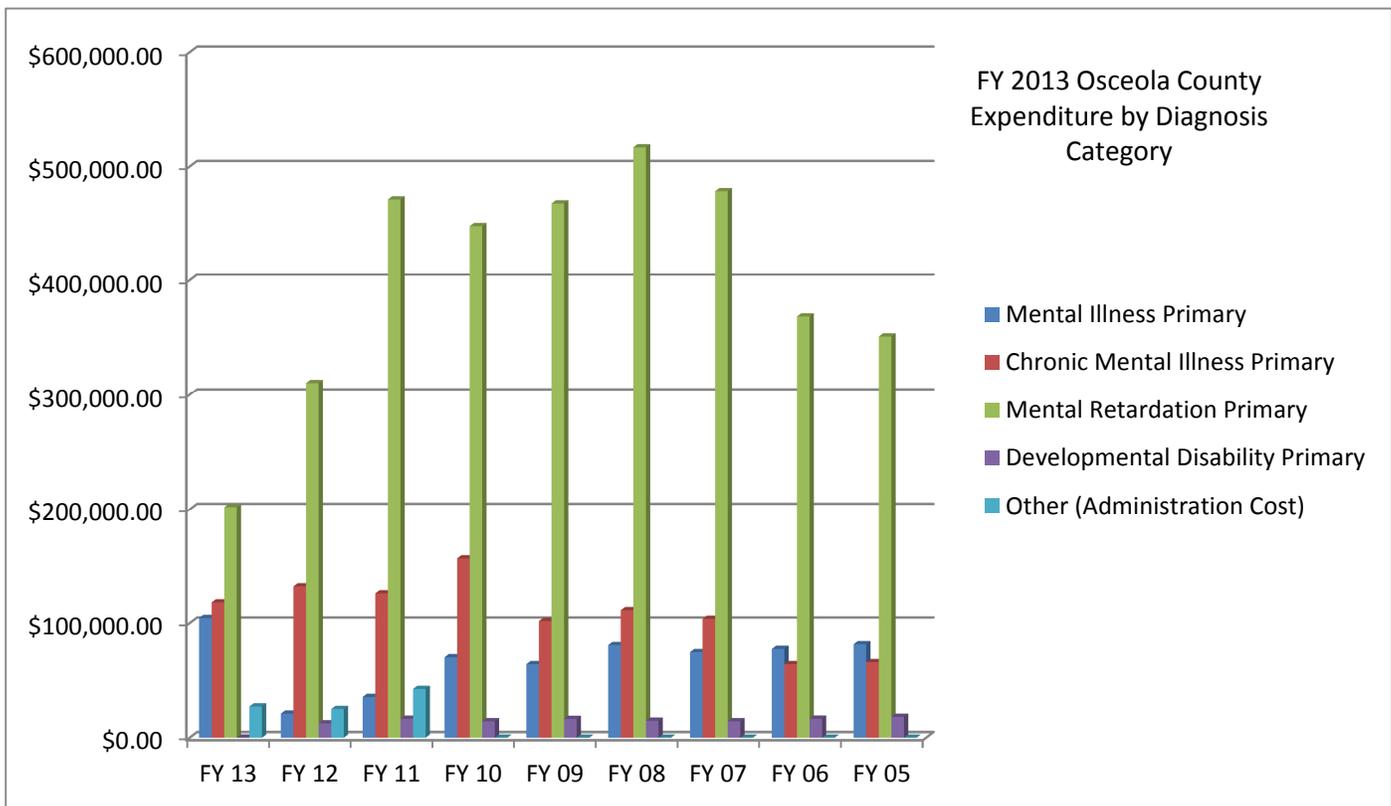
Involuntary Inpatient Hospitalizations

Village Northwest Unlimited
 330 Village Circle
 Sheldon, IA 51201
 712-324-4873

Work Activity Services, RCF, ICF/MR,
 MR Waiver Services, Community Supported
 Apartment Living

Wesco Industries
 415 S. 11th St., P.O. Box 340
 Denison, IA 51442

Work Activity Services, HCBS Habilitation,
 MR Waiver Services



County Dollars Spent by COA Code and Disability Type

Date Prepared 11/1/2013 For OSCEOLA County FY: 2013

Account	Code	Mental Illness	Chronic Mental Illness	Mental Retardation	Developmental Disability	Admin	Total
04399	Consultation	\$87,699.28					\$87,699.28
04429	Planning & Management Consultants (Non-Client Related)	\$6,220.88					\$6,220.88
11260	Direct Admin - Stationary/Forms/General Office Supplies					\$168.41	\$168.41
11413	Direct Admin - Mileage & Other Travel Expenses					\$3,452.59	\$3,452.59
11414	Direct Admin - Telecommunications Services					\$852.46	\$852.46
12429	Purchased Admin - Planning & Management Consultants					\$22,589.22	\$22,589.22
21370	Case Management - Technical Services			\$1,170.00	\$52.00		\$1,222.00
31354	Transportation - General			\$40.00			\$40.00
32329	Support Services - Supported Community Living		\$11,479.39	\$16,186.39	\$70.60		\$27,736.38
42305	Psychotherapeutic Treatment - Outpatient	\$1,480.50					\$1,480.50
50360	Voc/Day - Sheltered Workshop Services			\$7,915.80			\$7,915.80
50362	Voc/Day - Work Activity Services	\$5,715.47	\$34,470.61	\$160,944.37			\$201,130.45
50368	Voc/Day - Supported Employment Services			\$54.36			\$54.36
64314	Comm Based Settings (6+ Beds) - RCF		\$20,779.45				\$20,779.45
64329	Comm Based Settings (6+ Beds) - Supported Community Living			\$15,669.45			\$15,669.45
71319	State MHI Inpatient - Per diem charges	\$841.01	\$48,882.16				\$49,723.17
73319	Other Priv./Public Hospitals - Inpatient per diem charges		\$1,535.24				\$1,535.24
74353	Commitment - Sheriff Transportation	\$1,583.76	\$523.75				\$2,107.51
74393	Commitment - Legal Representation	\$974.72	\$405.00				\$1,379.72
74395	Commitment - Mental Health Advocates		\$15.00				\$15.00
75413	Mental Health Advocate - Mileage & Other Travel Expenses		\$92.50				\$92.50
Total	County	\$104,515.62	\$118,183.10	\$201,980.37	\$122.60	\$27,062.68	\$451,864.37

These totals will not match the reports sent by the County Auditor to the Department of Management as one of the two systems records and reports financial data on only an accrual basis and does not consider the payment date as part of that data collection.

Unduplicated Number of Persons Served by COA code and Disability Type

Date Prepared 11/1/2013 For OSCEOLA County FY: 2013

Age	Account	Code	MI	CMI	MR	DD	Total
Adult	21370	Case Management - Technical Services			16	1	17
Adult	31354	Transportation - General			1		1
Adult	32329	Support Services - Supported Community Living		4	3	1	8
Adult	42305	Psychotherapeutic Treatment - Outpatient	2				2
Adult	50360	Voc/Day - Sheltered Workshop Services			1		1
Adult	50362	Voc/Day - Work Activity Services	2	5	20		27
Adult	50368	Voc/Day - Supported Employment Services			1		1
Adult	64314	Comm Based Settings (6+ Beds) - RCF		2			2
Adult	64329	Comm Based Settings (6+ Beds) - Supported Community Living			1		1
Adult	65314	Comm Based Settings (16+ Beds) - RCF		2			2
Adult	71319	State MHI Inpatient - Per diem charges	1	2			3
Adult	73319	Other Priv./Public Hospitals - Inpatient per diem charges		1			1
Adult	74353	Commitment - Sheriff Transportation	5	4			9
Adult	74393	Commitment - Legal Representation	4	2			6
Adult	74395	Commitment - Mental Health Advocates		1			1
Adult	75413	Mental Health Advocate - Mileage & Other Travel Expenses		2			2
Child	21370	Case Management - Technical Services			1		1
Child	74353	Commitment - Sheriff Transportation	6				6
Child	74393	Commitment - Legal Representation	6				6

Osceola County also contracts with Seasons Center for Community Mental Health in a block grant situation for provision of emergency services, consultation and education services, and an indigent medication program. Information gathered from Seasons Center indicates that for Osceola County in fiscal year 2013, they provided 18 (duplicated total) incidences of emergency services totaling 12.7 hour unites of services. Seasons Center had 4 instances of Consultation and Education for a total of .75 hours of service with 4 individuals served by those instances. The indigent medication program was provided to 8 (duplicated count) individuals living in Osceola County providing \$2,112.85 dollars of medication to those individuals.

Number, type, and resolution of appeals.

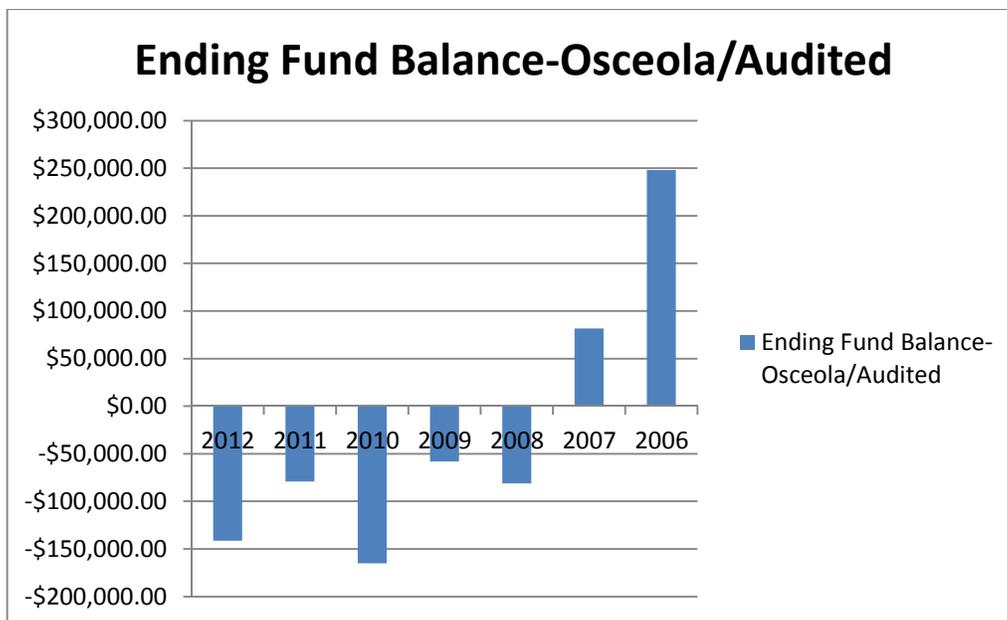
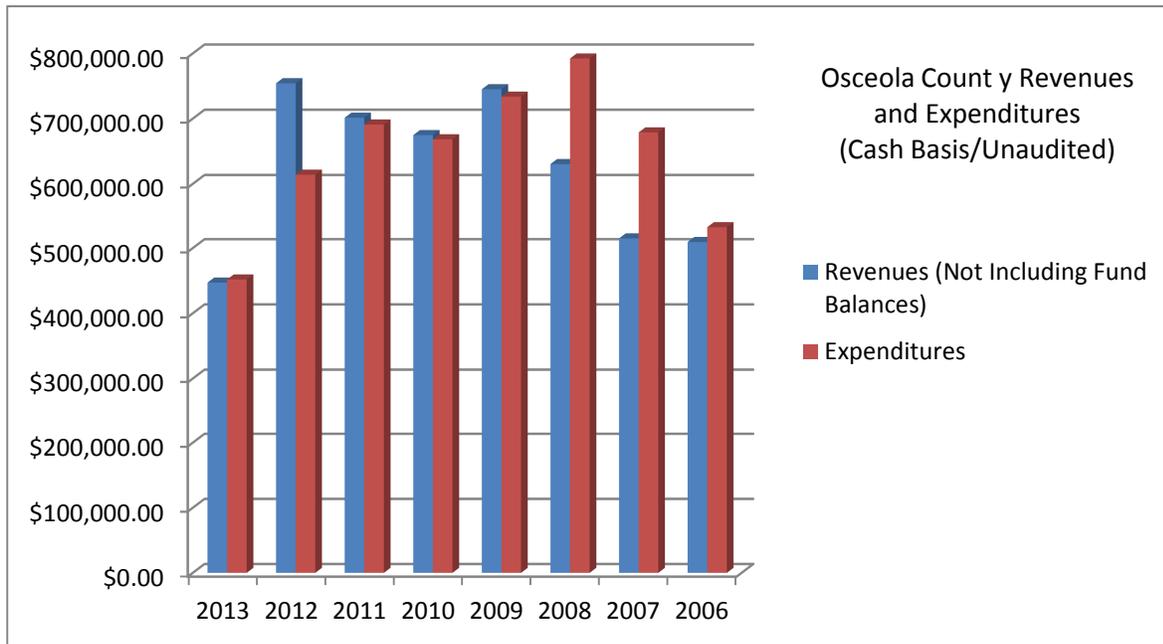
Osceola County had no appeals filed or heard for the F.Y.E. 6/30/12.

Quality assurance implementation, findings and impact on plan.

Osceola County is committed to fulfilling its responsibility regarding funding for necessary services to persons with mental illness, chronic mental illness, mental retardation and developmental disabilities as per the guiding principles and goals of this plan. The entire system shall be reviewed on an annual basis to assure quality in compliance with rule 441, 25.22.

The Osceola County CPC utilizes the opportunities presented by participation in client staffings and IEPs to assess with consumers and their families their satisfaction with services as they are being provided. There are 16 consumers receiving targeted case management in the county for which satisfaction with services is a continual evaluation. In addition, the CPC has attended staffing on at least a yearly basis for 35 current consumers. The results of these processes were utilized in the preparation and evaluation of the goals presented in this County's Management Plan.

In addition, availability of funding from the county for non-mandated services gives strong direction as to the services that the county can and does offer, the following tables illustrates the revenues received and expenses incurred by the county (in its CoMIS Database) compared in the last 6 years as well as fund balance status trends.



Waiting list information.

As you will note on the previous chart, Osceola County has essentially used up whatever fund balances that have been carried over the last several years. The county, because of its negative accrued fund balance at the close of FY '08, qualified for additional state funds which allowed us to remove the waiting list instituted in that year. The County instituted a waiting list for services because it anticipated a budget shortfall for the FY 6/30/11, this waiting list was instituted on 5/24/11, at that time, we had one consumer placed on that waiting list, as they had applied for services, but had not yet turned 18 years old and will not be accessing services until the next fiscal year.

Osceola County continued the waiting list for the FY 6/30/12 and FY 6/30/13 by Board of Supervisor action, as a result of this action we continued to have this one individual on the waiting list for

services, as well as 4 additional consumers seeking outpatient mental health services. The county also received \$37,058.25 in a risk pool allocation for use in providing services to those on the waiting list. As indicated in the risk pool report filed with the state, we have utilized \$13,150.58 of those dollars in the FY 6/30/12.

The county used the remainder of the risk pool dollars in fiscal year ending 6/30/13 and reported those expenditures in the report to the risk pool board as required.

The county also qualified for Transition funding that brought in additional dollars totaling \$247,991 that allowed the county to fully reimburse its local providers of service for the amounts past due to them, however, due to funding legislation language, was unable to utilize these dollars to repay the state what the county owed to it for Medicaid services.

Below is the description of the method used to place consumers on the list.

You are placed on a waiting list if at the time of your application the Mental Health Services Fund is projected to be fully encumbered for the fiscal year (July 1 to June 30) and the funding requested is not mandated. If you currently are receiving services, and are seeking additional or alternative services, you will be placed on a waiting list for those services if funds are not available. *Consumers will be notified of the status of the waiting list at least each 30 days and will also be informed of alternative funding sources.*

Services placed on a waiting list will be discussed during community planning sessions so that service priorities can be assessed. As funds become available, individuals on the waiting list shall be approved for service funding based on the following criteria:

- Individuals who, if they do not receive the service for which they are applying, would likely access a mandated service, shall be given priority consideration.
- Individuals with the most severe need for service shall be considered next. Examples of “severe need” include: will be homeless without requested services, children in foster care who are becoming adults and ineligible for state funding, dependent adults in abusive or neglectful situations, situations where the caretaker is subject to violence or aggressiveness by the consumer, and individuals who need service in order to avoid a return to a more restrictive setting.
- Individuals applying for services which have been designated by Osceola County as high priority services shall be considered next: *emergency services, residential programs, psychiatric in-patient hospitalization, non-Title XIX case management, supported employment, sheltered work, work activity, and out-patient counseling.*
- If all other criteria are equal, the applicant with the earliest date of application, provided the service(s) is available, shall be considered.